

Math 511A Algebra  
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Reading: Dummit and Foote, Abstract Algebra, Third Edition

Algebra is the study of abstract structures, e.g. groups, rings, modules, vector space etc.

# 1 Group Theory

## 1.1 Review of Groups

### Definition 1.1.1

A group is a set  $G$  together with a binary operation  $*$ :

$$\begin{aligned} G \times G &\rightarrow G \\ (a, b) &\rightarrow a * b \end{aligned}$$

such that

- (i)  $*$  is associative:  $(a * b) * c = a * (b * c)$ ,  $\forall a, b, c \in G$
- (ii)  $\exists e =$  identity element,  $a * e = a = e * a$ ,  $\forall a \in G$
- (iii) inverses:  $\forall a \in G$ ,  $\exists b \in G$  such that  $a * b = e = b * a$

### Definition 1.1.2

$G$  is abelian if  $a * b = b * a$   $\forall a, b \in G$ .

Multiplicative notation:  $ab = a * b$ ,  $1 = e$ ,  $a^{-1}$  for the inverse of  $a$

Additive notation:  $ab = a + b$ ,  $0 = e$ ,  $-a$  for the inverse of  $a$

Recall:

- The identity element  $e$  is unique
- The inverse of an element is unique
- $a_1 a_2 a_3 = (a_1 a_2) a_3 = a_1 (a_2 a_3)$  (unambiguous)
- In general,  $a_1 a_2 \cdots a_n = (a_1 \cdots a_i)(a_{i+1} \cdots a_n)$
- $(a_1 \cdots a_n)^{-1} = a_n^{-1} \cdots a_1^{-1}$
- Cancellation laws:  $ab = ac \implies b = c$ ,  $ba = ca \implies b = c$

Application:

$a \in G$ ,  $\varphi_a : G \rightarrow G$ ,  $\varphi_a(x) = ax$

$\varphi_a$  bijective if both injective and surjective.

Injective:  $\varphi_a(x) = \varphi_a(y) \implies x = y$

Surjective:  $\forall g \in G$ ,  $\exists x$  such that  $\varphi_a(x) = g$  with  $x = a^{-1}g$

### Definition 1.1.3

A homomorphism of groups is a map  $f : G \rightarrow H$  such that

$$f(xy) = f(x)f(y) \quad \forall x, y \in G$$

An isomorphism is a bijective homomorphism.

**Definition 1.1.4**

Order of  $G$  =  $|G|$  = cardinality of  $G$ .

For  $a \in G$

$$\text{ord}(a) = \begin{cases} \min\{n \in \mathbb{Z}_+ \mid a^n = 1\} & \text{if such } n \text{ exists} \\ \infty & \text{if there exists no such } n \end{cases}$$

Notation:

$$a^n := \begin{cases} \underbrace{a \cdots a}_{n \text{ times}} & \text{if } n > 0 \\ 1 & \text{if } n = 0 \\ \underbrace{a^{-1} \cdots a^{-1}}_{n \text{ times}} & \text{if } n < 0 \end{cases}$$

$$a^m a^n = a^{m+n}, (a^m)^n = a^{mn}.$$

**Example 1.1.5 (Cyclic Groups)**

$$C_\infty = (\mathbb{Z}, +), \quad C_n = (\mathbb{Z}/n\mathbb{Z}, +)$$

$$\mathbb{Z}/n\mathbb{Z} = \{\widehat{0}, \dots, \widehat{n-1}\}$$

$$\widehat{a} + \widehat{b} = \widehat{a+b}$$

Exercise:  $\text{ord}(\widehat{d}) = \frac{n}{(n,d)}$

**Example 1.1.6 (Symmetric Groups)**

$A$  a set, then

$$S_A = \{f : A \rightarrow A \mid f \text{ bijective ("permutation of } A")\}$$

with group operation  $\alpha\beta = \alpha \circ \beta$  forms a group.

Symmetric group on  $n$  letters  $S_n = S_{\{1,2,\dots,n\}}$

$m$ -cycles  $(a_1 \dots a_m)$  = permutation that sends  $a_1$  to  $a_2$ ,  $a_2$  to  $a_3, \dots, a_m$  to  $a_1$  and leaves everything else. Such a cycle is said to have length  $m$ .

Exercise:  $\text{ord}(m\text{-cycle}) = m$ .

$S_n$  is non-abelian for  $m \geq 3$

e.g.  $(12)(13) = (132)$ ,  $(13)(12) = (123)$

$|S_n| = m!$ ,  $S_2 = C_2$  and  $S_1 = C_1$ .

If  $\alpha = \alpha_1 \alpha_2 \cdots \alpha_k \in S_n$  product of disjoint cycles  $\alpha_1, \dots, \alpha_k$  of lengths  $m_1, \dots, m_k$ , then  $\text{ord}(\alpha) = \text{lcm}(m_1, \dots, m_k)$ .

$$\alpha = (12)(568)(3479) \in S_n$$

$$\alpha = \begin{bmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 \\ 2 & 1 & 4 & 7 & 6 & 8 & 9 & 5 & 3 & 10 \end{bmatrix} \quad \text{ord}(\alpha) = 12$$

Remark: Disjoint cycles commute.

**Theorem 1.1.7**

$\forall \alpha \in S_n$ ,  $\alpha$  is a product of disjoint cycles.

With above example, we have  $\alpha = (3479)(12)(568)(10)$ .

**Example 1.1.8 Matrices**

Matrix groups over a field  $F$ , ( $F = \mathbb{R}, \mathbb{C}$ ).

**Definition 1.1.9**

$M_n(F) = \{A \mid A = n \times n \text{ matrices with entries in } F\}$

$A = (a_{ij}), B = (b_{ij}),$

$$(A + B)_{ij} = (a_{ij} + b_{ij})$$

$M_n(F), +$  is a group.

$$(A \cdot B)_{ij} = \sum_{k=1}^n a_{ik}b_{kj}$$

$\cdot$  is associative, with identity,  $I = \begin{bmatrix} 1 & & 0 \\ & \ddots & \\ 0 & & 1 \end{bmatrix}$

**Definition 1.1.10**

$A$  is invertible if  $\exists B$  such that  $AB = BA = I$ .

**Theorem 1.1.11**

$A$  invertible  $\iff \det A \neq 0$ .

General Linear Group:

$GL_n(F) = \{A \in M_n(F) \mid A \text{ invertible}\}$

$(GL_n, \cdot)$  is a non-abelian group if  $n \geq 2$ .

$$A = \left[ \begin{array}{c|c} U & 0 \\ \hline 0 & I \end{array} \right] \quad B = \left[ \begin{array}{c|c} V & 0 \\ \hline 0 & I \end{array} \right]$$

$AB \neq BA$  if  $UV \neq VU$ .

**Example 1.1.12**

$$U = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad B = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

Orthogonal Group:

$O_n(F) = \{A \in GL_n(F) \mid A^t A = I\}$

$(O_n, \cdot)$  is a group.

Remark:

(i) Condition on  $A \implies (\det A)^2 = 1 \implies \det(A) = \pm 1$

(ii) Dot product of any column (row) of the matrix with itself equals 1

(iii) Dot product of any column (row) of the matrix with any other column (row) than itself equals 0

Special Orthogonal Group:

$SO_n(F) = \{A \in O_n(F) \mid \det A = 1\}$

Summary:

$$SO_n \subseteq O_n \subseteq GL_n$$

**Example 1.1.13**

$F = \mathbb{R}$

$$SO_2 = \{A_\theta \mid \theta \in \mathbb{R}\}$$

$$A_\theta = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \quad \text{rotation by } \theta$$

$$O_2 = \{A_\theta, B_\theta \mid \theta \in \mathbb{R}\}$$

$$B_\theta = \begin{bmatrix} \cos \theta & \sin \theta \\ \sin \theta & -\cos \theta \end{bmatrix} \quad \text{reflection about the line through origin in } \mathbb{R}^2$$

### Lemma 1.1.14

Let  $S$  be a subset of a group  $G$ ,  $S \neq \emptyset$ . If

$$(i) \quad \forall a, b \in S \implies ab \in S$$

$$(ii) \quad \forall a \in S \implies a^{-1} \in S$$

then  $S$  is a group (with binary operation on  $G$ ).

### Definition 1.1.15

A subset  $S$  as in lemma is called a subgroup of  $G$ , and we write  $S \leq G$ .

Remark: If  $G$  is finite, (i)  $\implies$  (ii) in the lemma. Since if  $S \subseteq G$ :

$$\begin{aligned} \forall a \in S, \quad (i) \implies a, a^2, a^3, \dots \text{ are in } S \\ \implies a^n = a^m \text{ for some } n \neq m \\ \implies \exists l > 0 \text{ integer such that } a^l = 1 \\ \implies \forall a \in S, \text{ord}(a) < \infty \\ \implies (ii) \end{aligned}$$

Question: Does (i)  $\implies$  (ii) if  $|G| = \infty$ ? NO.  $\mathbb{N} \not\leq \mathbb{Z}$ .

### Example 1.1.16

$$SO_n \subseteq O_n \subseteq GL_n.$$

## 1.2 Producing New Groups

(i)  $G, H$  groups

$$G \times H = \{(g, h) \mid g \in G, h \in H\}$$

where the binary operation is defined by

$$(g, h)(g', h') = (gg', hh')$$

(ii) Centre of  $G$

$$Z(G) = \{x \in G \mid xy = yx \quad \forall y \in G\}$$

Note that  $G$  abelian  $\iff Z(G) = G$

Exercise:  $Z(S_n) = \{\text{Id}\}$  if  $n \geq 3$

(iii) Intersections of subgroups in a group  $G$  is a subgroup of  $G$

(iv) If  $f : G \rightarrow H$  homomorphism of groups

$$\ker f = \{x \in G \mid f(x) = 1\} \leq G$$

$$\text{Im } f = \{f(x) \mid x \in G\} \leq H$$

### Lemma 1.2.1

For any subset  $A$  of a group  $G$ ,  $\exists$  smallest subgroup  $H$  of  $G$  that contains  $A$ . Moreover,  $H =$  set of all products of elements of  $A$  and their inverses. (repetitions allowed)

#### Proof

$$H = \bigcap_{\substack{G' \leq G \\ G' \supseteq A}} G' \quad \text{group using (iii).}$$

$$H \supseteq A$$

Let  $H' :=$  set of products of elements in  $A$  and their inverses.

**Claim:**  $H'$  is a group

**Proof of Claim:**

$$H' \supseteq A'. \quad H \subseteq G \quad \forall G' \leq G, G' \supseteq A.$$

□

### Definition 1.2.2

A subset in  $G$ .  $\langle A \rangle =$  smallest subgroup of  $G$  containing  $A =$  subgroup generated by  $A$ .

Remark:  $a \in G$ ,  $|\langle a \rangle| = \text{ord}(a)$ .

### Definition 1.2.3

A group  $G$  is cyclic if it is generated by one element, i.e.  $\exists x \in G$  such that  $G = \langle x \rangle$ .

Remark:  $G \cong \{A_{2\pi i} \mid i = 0, 1, \dots, n-1\} \leq SO_2$

Exercise: For  $n \geq 3$   $C_n$  is isomorphic to the rotational group of symmetries of the regular  $n$ -gon. (rotations that preserve  $n$ -gon)

Recall: If  $\text{ord}(x) = \infty$ ,  $G = \langle x \rangle = \{x, x^{-1}, x^2, x^{-2}, \dots\} \cong C_\infty$

Dihedral Groups:

$D_{2n} =$  group of symmetries of the regular  $n$ -gon.

$\zeta =$  rotation by  $\frac{2\pi}{n}$

$s =$  reflection

$$D_{2n} = \{1, \zeta, \zeta^2, \dots, \zeta^{n-1}, s, s\zeta, \dots, s\zeta^{n-1}\}. \quad \text{ord}(s) = 2, \text{ord}(\zeta) = n, \zeta s = s\zeta^{-1}.$$

$$Z(D_{2n}) = \begin{cases} \{1\}, & n \text{ odd} \\ \{1, \zeta^{\frac{n}{2}}\}, & n \text{ even} \end{cases}$$

" $D_4$ " =  $V$  (Klein Four Group).  $V \cong C_2 \times C_2$ .

Exercise:  $D_6 \cong S_3$  (smallest non-abelian group).

## 1.3 Facts on Groups of Symmetries

### Definition 1.3.1

A function  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is an isometry if it preserves distance. i.e.  $\forall v, w, \|f(v) - f(w)\| = \|v - w\|$ .

### Definition 1.3.2

If  $F \subseteq \mathbb{R}^n$ , the group of symmetries of  $F$  is the set of isometries that preserve  $F$ .

**Theorem 1.3.3**

Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$ , TFAE:

- (i)  $f$  is an isometry and  $f(0) = 0$
- (ii)  $f$  preserves dot product, i.e.  $f(v) \cdot f(w) = v \cdot w$
- (iii)  $f(x) = Ax, \forall x \in \mathbb{R}^n$ , where  $A \in O_n(\mathbb{R})$

Quaternion Group:

$$Q = \{\pm 1, \pm i, \pm j, \pm k \mid i^2 = j^2 = k^2 = -1, ik = kj = -ji\}.$$

**1.4 Groups of Small Order**

$ G $	$G$	$ G $	$G$
1	$C_1$	9	$C_9, C_3 \times C_3$
2	$C_2$	10	$C_{10}, D_{10}$
3	$C_3$	11	$C_{11}$
4	$C_4, D_4$	12	5 groups
5	$C_5$	13	$C_{13}$
6	$C_6, D_6$	14	$C_{14}, D_{14}$
7	$C_7$	15	$C_{15}$
8	$C_8, Q, D_8, C_2 \times C_4, C_2 \times C_2 \times C_2$		

Some Rules:

- (i)  $|G| = p$  ( $p$  prime)  $\implies G \cong C_p$
- (ii)  $|G| = 2p$  ( $p$  prime)  $\implies G \cong C_{2p}$  or  $D_{2p}$
- (iii)  $|G| = p^2$  ( $p$  prime)  $\implies G \cong C_{p^2}$  or  $G \cong C_p \times C_p$

**Example 1.4.1 (Homomorphisms)**

- (i)  $F$  a field,  $GL_1(F) = F^\times (= F \setminus \{0\})$   
Determinant map:

$$\det : GL_n(F) \rightarrow F^\times$$

$$\det(AB) = \det(A) \det(B)$$

- (ii) (Cayley's Theorem:)  $\exists$  injective homomorphism

$$\alpha : G \rightarrow S_G$$

$$\alpha(a) = \varphi_a$$

$$\varphi_a : G \rightarrow G$$

$$\varphi_a(x) = ax \quad \forall x \in G$$

Last time:  $\varphi_a \in S_G$ ,  
 $\alpha$  is a homomorphism:

$$\alpha(ab) = \varphi_{ab} = \varphi_a \circ \varphi_b = \alpha(a) \circ \alpha(b) \quad \forall a, b, \in G$$

$\alpha$  is injective:

Let  $a \in G$  such that  $\alpha(a) = Id \in S_G$ ,

$$\implies \varphi_a = Id \implies \varphi_a(x) = Id(x) \quad \forall x \implies ax = x \quad \forall x \implies a = 1.$$

**Corollary 1.4.2**

If  $|G| = n$ ,  $G = \{a_1, \dots, a_n\}$ , then  $G \leq S_n$ .

Multiplication table of  $G$

$\cdot$	$a_1$	$a_2$	$\dots$	$a_n$
$a_1$	$a_1^2$	$a_1 a_2$	$\dots$	$a_1 a_n$
$a_2$	$a_2 a_1$	$a_2^2$	$\dots$	$a_2 a_n$
$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$
$a_n$	$a_n a_1$	$a_n a_2$	$\dots$	$a_n^2$

Any row is a permutation of  $a_1, \dots, a_n$ .

$\alpha(a_i)$  = permutation given by  $i$ -th row.

$$\left[ \begin{array}{c} a_1 \dots a_n \\ \text{---}i\text{th row---} \end{array} \right]$$

Properties of homomorphisms:

(i)  $f(a^n) = f(a)^n \quad \forall a \in G, \quad \forall n$

(ii)  $f(a^{-1}) = f(a)^{-1}$

**1.5 Cosets**

$G$  a group,  $S \subseteq G$  subset

$$aS = \{ax \mid x \in S\}$$

$$Sa = \{xa \mid x \in S\}$$

Remark:  $abS = a(bS) = (ab)S$

**Definition 1.5.1**

$H \leq G$ .

$aH$  = left coset of  $H$  in  $G$

$Ha$  = right coset of  $H$  in  $G$

**Proposition 1.5.2**

Let  $H \leq G$ . Then:

(i)  $a \in G$  belongs to  $bH \iff aH = bH$

(ii)  $a, b \in G \implies$  either  $aH = bH$  or  $(aH) \cap (bH) = \emptyset$  (so left cosets form a partition of  $G$ )

(iii)  $aH = bH \iff a^{-1}b \in H$

(iv) Any 2 left cosets of  $H$  in  $G$  have the same cardinality (possibly  $\infty$ )

In particular,  $|aH| = |H| \quad \forall a \in G$

Remark: Same for right cosets, except in (iii).  $Ha = Hb \implies ab^{-1} \in H$

**Definition 1.5.3**

The index  $|G : H|$  of  $H$  in  $G$  is the number of left cosets of  $H$  in  $G$ .

Remark:  $\#$  left cosets =  $\#$  right cosets,  $\exists$  bijection

$$\{aH \mid a \in G\} \rightarrow \{Hb \mid b \in G\}$$

surjective:

$$\begin{aligned} aH &\mapsto Ha^{-1} \\ b^{-1}H &\mapsto Hb \end{aligned}$$

injective:

$$\begin{aligned} Ha^{-1} = Hb^{-1} &\iff a^{-1}(b^{-1})^{-1} = a^{-1}b \in H \\ &\iff aH = bH \end{aligned}$$

**Example 1.5.4**

$$|G : 1| = |G|, |G : G| = 1$$

**Theorem 1.5.5 (Lagrange)**

Let  $G$  be a finite group. Let  $H \leq G$ . Then

$$|G : H| = \frac{|G|}{|H|}$$

In particular,  $|H| \mid |G|$ .

**Proof**

Let  $k = |G : H|$ .  $G$  finite  $\implies k < \infty$  Consider the  $k$  distinct cosets.

$$a_1H, a_2H, \dots, a_kH$$

These cosets form a partition of  $G$

$$\implies |G| = \sum_{i=1}^k |a_iH| = k|H|$$

□

**Corollary 1.5.6**

$G$  a finite group, then the order of any element divides  $|G|$ .

**Corollary 1.5.7**

For any prime  $p$ , if  $|G| = p$ , then  $G \cong C_p$ .

Remark:  $\nexists$  "converse" to Lagrange's theorem i.e. if  $d \mid |G|$  then generally  $\nexists H \leq G$  such that  $|H| = d$ .

**Example 1.5.8**

$A_4 = \{\text{all 3-cycles in } S_4\} \cup \{(12)(34), (13)(24), (14)(23)\}$  has no subgroup of order 6.

Part of Sylow's Theorem

$|G| = p^n k, (k, p) = 1$  ( $p$  prime)  $\implies \exists H \leq G$  such that  $|H| = p^n$ .

Exercise: If either

(i)  $G$  is a  $p$ -group ( $|G| = p^n, n$  integer  $> 0$ )

(ii) or  $G$  finite abelian group

then for all  $d \mid |G|, \exists H \leq G, |H| = d$ .

## 1.6 Normal Subgroups

$S, T \subseteq G$  subsets,

$$ST = \{xy \mid x \in S, y \in T\}$$

If  $R, S, T \subseteq G$  subsets then  $RT = (RS)T = R(ST)$ .

### Definition 1.6.1

A subgroup  $N$  of  $G$  is normal if  $\forall x \in G, xNx^{-1} = N$ , and we write  $N \triangleleft G$ .

Remark:  $N \triangleleft G \iff xNx^{-1} \subseteq N \quad \forall x \in G$ .

### Proof

$$\begin{aligned} xNx^{-1} \subseteq N \quad \forall x &\iff y^{-1}Ny \quad \forall y \in G \\ &\iff N \subseteq yNy^{-1} \quad \forall y \in G \\ &\iff xNx^{-1} = N \quad \forall x \in G \end{aligned}$$

□

### Proposition 1.6.2

$N \triangleleft G \iff xN = Nx \quad \forall x \in G$ .

### Proof

$$xN = Nx \iff xNx^{-1} = N.$$

□

Remark:  $xN = Nx$  means  $\{xn \mid n \in N\} = \{n'x \mid n' \in N\}$ .

In general,  $xn \neq nx$ , but  $\forall n \in N, \exists n' \in N$  such that  $xn = n'x$ . Similarly for  $(nx = xn')$ .

### Example 1.6.3

- $\{1\} \triangleleft G, G \triangleleft G$
- $Z(G) \triangleleft G$
- Any subgroup of index 2 is normal

### Proof

Let  $H \leq G, |G : H| = 2$ . Then  $\exists$  exactly 2 distinct left cosets:  $H, xH$ , for any  $x \notin H$ .

$$\implies G = H \sqcup xH \quad (\text{disjoint union})$$

$$\implies xH = G \setminus H$$

Similarly  $Hx = G \setminus H$ . Then  $xH = Hx \quad \forall x \in G$

$$\implies xHx^{-1}H \quad \forall x \notin H \implies H \triangleleft G$$

□

- $D_{2n} = \{1, r, \dots, r^{n-1}, s, sr, \dots, sr^{n-1}\}$   $r^i s = sr^{-i}$   
 $H = \langle r \rangle \cong C_n \implies |D_{2n} : H| = 2 \implies H \triangleleft D_{2n}$   
 $r^{-1} s = sr^i \neq r^i s$  if  $i \neq \frac{n}{2} sr^{-2i}$   
 $\langle s \rangle = \{1, s\} \triangleleft D_{2n}$   
 $r^i sr^{-i} \notin \{1, s\}$  (if  $i \neq \frac{n}{2}$ ).
- Any subgroup of an abelian group is normal
- All subgroups of  $Q = \{\pm 1, \pm i, \pm j, \pm k\}$  are normal:

$$\left. \begin{array}{l} \{\pm 1, \pm i\} = \langle i \rangle \\ \langle j \rangle, \langle k \rangle \end{array} \right\} \text{index } 2$$

$$x(-1)x^{-1} \in \{\pm 1\} \quad \forall x \{\pm 1\} : i(-1)(-i) = i \cdot i = -1.$$

#### Definition 1.6.4

A group  $G$  is simple if it contains no proper non-trivial normal subgroups.

#### Example 1.6.5

(i)  $C_p$  ( $p$  prime) is simple (Lagrange)

(ii)  $G$  abelian finite simple group  $\implies G \cong C_p$ .  
 (Homework)

$$d || |G| \implies \exists H \leq G, |H| = d \quad (H \triangleleft G \text{ since } G \text{ abelian})$$

(iii) Smallest non-abelian simple group is  $A_5$  (order 60)

Remark: Simple groups can still have lots of subgroups.

$$\text{Sylow's Theorem : } |G| = p^n k \quad (k, p) = 1, p \text{ prime} \implies \exists \leq G, |H| = p^n$$

Homework  $\implies H$  has subgroups of order  $p, p^2, \dots, p^n$   
 $\implies \forall$  finite group has non-trivial subgroups, unless it's  $C_p$ .

#### Proposition 1.6.6

If  $H, N \leq G, N \triangleleft G$  then  $HN \leq G$ .

If  $H, N \triangleleft G$  then  $HN \triangleleft G$ .

#### Example 1.6.7

$G = S_3, H = \langle (12) \rangle, N = \langle (13) \rangle. HN = \{Id, (12), (13), (12)(13)\} \not\leq S_3$ .

#### Proof

Let  $hn, h'n' \in HN$

$$(hn)(h'n') = h(nh')n' = h(h'm'')n' = \underbrace{hh'}_{\in H} \underbrace{m''n'}_{\in N} \implies (hn)(h'n') \in HN$$

$$xHNx^{-1} = \underbrace{xHx^{-1}}_H \underbrace{xNx^{-1}}_N = HN \quad \forall x \in G$$

□

#### Example 1.6.8

$G = D_{2n}, N = \langle r \rangle, H = \langle s \rangle. HN = G$ .

Remark:

- $HN \leq G \iff HN = NH$
- Intersections of normal subgroups are normal subgroups

**Proof**

$$x(H_1 \cap \cdots \cap H_k)x^{-1} \subseteq H_1 \cap \cdots \cap H_k, \quad \forall x \in G \text{ if } H_1, \dots, H_k \triangleleft G \quad \square$$

Recall:

$G$  a group,  $A \subseteq G$  subset.

$$\langle A \rangle = \bigcap_{\substack{G' \leq G \\ A \subseteq G'}} = \{\text{products of } A \text{ and their inverses}\}$$

**Lemma 1.6.9**

$$\langle A \rangle \text{ is normal} \iff xAx^{-1} \subseteq A \quad \forall x \in G.$$

**Proof**

( $\Rightarrow$ ) Clear.

( $\Leftarrow$ ) The map “conjugation by  $x$ ” is a homomorphism. Let  $x \in G$ . Let

$$\begin{aligned} \psi_x : G &\rightarrow G \\ \psi_x(g) &= xgx^{-1} \quad \forall g \in G \end{aligned}$$

$$\begin{aligned} \psi_x(g_1g_2) &= \psi_x(g_1)\psi_x(g_2) \quad \forall g_1, g_2. \\ \langle A \rangle &= \{a_1a_2 \cdots a_n \mid a_i \in A \text{ or } a_i^{-1} \in A \quad \forall i\} \end{aligned}$$

$$\langle A \rangle \triangleleft G \iff \psi_x(g) \in \langle A \rangle \quad \forall g \in \langle A \rangle$$

Let  $g = a_1 \cdots a_n \in \langle A \rangle$ ,

$$\psi_x(g) = \psi_x(a_1) \cdots \psi_x(a_n)$$

If  $a \in A$ ,  $\psi_x(a) \in A$  by assumption. If  $a^{-1} \in A$ ,  $\psi_x(a) = \psi_x(a^{-1})^{-1}$ ,  $\psi_x(a^{-1}) \in A$  □

**Example 1.6.10**

$$G = D_{2n}, k \mid n$$

$$\langle s^k \rangle \triangleleft G$$

Let  $t$  be a reflection. Check  $tr^k t^{-1} \in \langle r^k \rangle$ ,

$$tr^k t^{-1} = tr^k t = r^{-k} tt = r^{-k} = r^{n-k} = r^{k(\frac{n}{k}-1)}$$

**Proposition 1.6.11**

The kernel of a homomorphism is a normal subgroup.

**Proof**

Let  $f : G \rightarrow G'$  group hom.

$$\ker f = \{y \in G \mid f(y) = 1\}$$

Let  $x \in G$ . Let  $y \in \ker f$ . Then  $f(xyx^{-1}) = f(x)f(y)f(x)^{-1} = 1$ . □

**Example 1.6.12 (Special Linear Group)**

$$\det : GL_n(F) \rightarrow F^\times$$

$$SL_n(F) := \ker(\det) = \{A \in M_n(F) \mid \det(A) = 1\}$$

is the special linear group.

$$SL_n(F) \triangleleft GL_n(F)$$

$$A, B \in GL_n, A(SL_n) = B(SL_n) \iff \det A = \det B$$

**Proposition 1.6.13**

Every normal subgroup occurs as the kernel of a homomorphism. If  $H \triangleleft G$ , then  $\exists!$  group structure on the set  $G/H$  of cosets of  $H$  in  $G$  such that the map

$$\begin{aligned} \pi : G &\rightarrow G/H \\ \pi(x) &= xH \end{aligned}$$

is a group homomorphism.

**Proof**

$G/H = \{xH \mid x \in G\}$ . Define  $(xH) \cdot (yH) = xyH, \forall x, y \in G$ .

Need to prove:

- (i) This is well defined
- (ii) This gives a group structure on  $G/H$
- (iii)  $\pi$  is a hom
- (iv) uniqueness

(iii) and (iv) are clear:

$$\begin{aligned} \pi(xy) &= \pi(x)\pi(y) \quad \forall x, y \\ \implies xyH &= (xH)(yH) \quad \forall x, y \end{aligned}$$

(i) Want to show that if  $xH = x'H, yH = y'H$  then  $xyH = x'y'H$ . Equivalently, if  $m = (xy)^{-1}x'y'$ , then  $m \in H$ :

$$mH = (xy)^{-1}(x'y')H = y^{-1}x^{-1}H(x'y') = y^{-1}(x^{-1}Hx')y' = H$$

□

Universal Property of the Quotient map  $\pi : G \rightarrow G/H$ 

$\forall f : G \rightarrow G'$  group homomorphism such that  $f(H) = \{1\}$ , then  $\exists! \bar{f} : G/H \rightarrow G'$  such that the diagram

$$\begin{array}{ccc} G & \xrightarrow{f} & G' \\ \pi \downarrow & \nearrow \exists! \bar{f} & \\ G/H & & \end{array}$$

commutes,  $\bar{f} \circ \pi = f$ .

Define  $\bar{f} : G/H \rightarrow G', \bar{f}(xH) = f(x)$ . ( $\bar{f}$  unique such that  $\bar{f} \circ \pi = f$ )

$\bar{f}$  is well-defined:

If  $\underbrace{xH = yH}_{x^{-1}y \in H}$  then  $f(x) = f(y)$ ,

$f(x^{-1}y) = 1$  since  $f(H) = \{1\}$  then  $f(x)^{-1}f(y) = 1 \implies f(x) = f(y)$

$\bar{f}$  is a homomorphism:

$$\bar{f}((xH)(yH)) = \bar{f}(xyH) = f(xy) = f(x)f(y) = \bar{f}(xH)\bar{f}(yH)$$

□

### Example 1.6.14 (Quotient Groups)

(i)  $C_n = \mathbb{Z}/n\mathbb{Z}$

(ii)  $A_4$  has unique non-trivial subgroup

$$H = \{Id, (12)(34), (13)(24), (14)(23)\}$$

$$A_4/H \cong C_3.$$

(iii)  $k \mid n, \langle r^k \rangle \triangleleft D_{2n}$

Exercise:  $D_{2n}/\langle r^k \rangle \cong D_{2k}$ .

$$\{\text{Subgroups of } G/H\} \longleftrightarrow \{\text{Subgroups of } G \text{ that contains } H\}$$

$$K/H \longleftarrow H \subseteq K \subseteq G$$

$$\bar{K} \subseteq G/H \longmapsto \pi^{-1}(\bar{K})$$

## 1.7 Isomorphism Theorems

### Theorem 1.7.1 (First Isomorphism Theorem)

For any group homomorphism  $f : G \rightarrow G'$ ,  $H := \ker f$  is a normal subgroup of  $G$ ,  $\text{Im}(f)$  is a subgroup of  $G'$  and  $f$  factors into the composite of a surjection, an isomorphism and an injective map.

$$\begin{array}{ccc}
 G & \xrightarrow{f} & G' \\
 \pi \downarrow & \nearrow \bar{f} & \uparrow \iota \\
 G/H & \xrightarrow[\cong]{\varphi} & \text{Im } f
 \end{array}$$

#### Proof

$H \triangleleft G$ , proved last time.

$\text{Im } f \leq G'$  clear!

Universal property of quotients.

$$\implies \exists \bar{f} : G/H \rightarrow G' \text{ group homomorphism such that } f = \bar{f} \circ \pi$$

Define:

$$\begin{aligned}
 \varphi : G/H &\rightarrow \text{Im}(f) = \text{Im}(\bar{f}) \\
 \varphi(xH) &= f(x) \quad \forall x \in G
 \end{aligned}$$

**Claim:**  $\varphi$  is an isomorphism

**Proof of Claim:**

$\varphi$  is a homomorphism. Surjectivity is clear.

$$G_1 \xrightarrow{h \text{ gp hom.}} G_2 \implies G_1 \rightarrow h(G_2) \text{ also a group homomorphism and is surjective}$$

$\varphi$  - injective

$$\begin{aligned} \ker \varphi &= \{xH \mid f(x) = 1\} \\ &= \{xH \mid x \in \ker f = H\} \\ &= \{H\} \quad \blacksquare \end{aligned}$$

□

**Corollary 1.7.2**

If  $f : G \rightarrow G'$  is a surjective group homomorphism then  $G/\ker f \cong G'$ .

**Example 1.7.3**

$C := \{z \in \mathbb{C} \mid |z| = 1\}$ ,  $(C, \cdot)$  is an abelian group.

Remark:

$$\begin{aligned} (C, \cdot) &\cong SO_2 \\ e^{i\theta} &\mapsto \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} = A_\theta \end{aligned}$$

Question: Is  $SO_n$  abelian for  $n \geq 3$ ?

NO

$$\left( \begin{array}{c|c} A_\theta & 0 \\ \hline 0 & I \end{array} \right) \left( \begin{array}{c|c} B_\varphi & 0 \\ \hline 0 & -I \end{array} \right)$$

$$(\mathbb{R}, +) \rightarrow \mathbb{R} \xrightarrow{f} C, f(x) = e^{2\pi ix}$$

First isomorphism theorem  $\implies \mathbb{R}/\mathbb{Z} \cong C$ .

**Example 1.7.4**

$(\mathbb{C}^\times, \cdot) \xrightarrow{f} C$  by  $f(z) = \frac{z}{|z|}$ , then

$$\mathbb{C}^\times / \mathbb{R}_+ \cong C$$

**Example 1.7.5**

$$C/\{\pm 1\} \cong C \quad (z \mapsto z^2)$$

**Example 1.7.6**

$$GL_n(F)/SL_n(F) \cong F^\times \quad \text{by } \det : GL_n(F) \rightarrow F^\times$$

$$O_n(F)/SO_n(F) \cong C_2 \quad \text{by } \det : O_n(F) \rightarrow F^\times$$

**Theorem 1.7.7 (Second Isomorphism Theorem)**

Let  $H \leq G$ ,  $N \triangleleft G$ . Then

- (i)  $HN \leq G$
- (ii)  $H \cap N \triangleleft H$

(iii) The map

$$\begin{aligned} H/(H \cap N) &\xrightarrow{f} HN/N \\ f(x(H \cap N)) &= xN \end{aligned}$$

is an isomorphism.

Remark:

- If  $H \cap N = \{1\}$ , then  $H \cong HN/N$  and  $|HN| = |H||N|$
- If  $|G| < \infty$ , then  $|H|/|H \cap N| = |HN|/|N|$
- If  $|G| = |H||N|$ , then  $G = HN$  (and  $H \cap N = \{1\}$ )

**Proof**

(i) Last time

(ii) Prove that  $\forall x \in H, x(H \cap N)x^{-1} \subseteq H \cap N$ .

(a)  $x \in H \implies x(H \cap N)x^{-1} \subseteq H \cap N$

(b)  $N \triangleleft G \implies xNx^{-1} \subseteq N$

(iii) Let  $F$  be the composition of the quotient map  $HN \rightarrow HN/N$ . ( $N \triangleleft G$  and  $N \subseteq K \leq G \implies N \triangleleft K$ )

$$\implies F(x) = xN \in HN/N$$

First isomorphism theorem  $\implies$

$$H/\ker F \cong HN/N$$

Prove that  $\ker F = H \cap N$  and  $F$  is surjective:

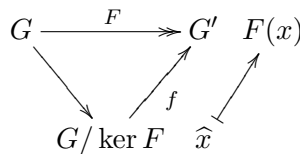
$$\begin{aligned} \ker F &= \{x \in H \mid xN = N\} \\ &= \{x \in H \mid x \in N\} \\ &= H \cap N \end{aligned}$$

Let  $yN \in HN/N$ .

Prove  $\exists x \in H$  such that  $yN = xN$ .

Let  $x := h$ . Then  $hN = hmN$ . ( $N = mN$ )

$F$  induces  $f$ ,



□

Remark: To have  $H/(H \cap N) \cong HN/N$ , it is enough to require  $H \leq$  normaliser of  $N$ .

**Definition 1.7.8**

$A \subseteq G$  a set. the normaliser of  $A$  in  $G$  is

$$N_G(A) = \{x \in G \mid xAx^{-1} = A\}$$

Properties:

- (i)  $N_G(A) \leq G$
- (ii) If  $H \leq G$ ,  $H \subseteq N_G(H) \subseteq G$
- (iii)  $H \triangleleft G \iff N_G(H) = G$
- (iv)  $H \triangleleft N_G(H)$

Exercise:  $G = S_3$ ,  $H = \langle (12) \rangle$  then  $H \not\triangleleft G$ .

**Theorem 1.7.9 (Third Isomorphism Theorem)**

Let  $f : G \rightarrow G'$  be a surjective homomorphism of groups. Let  $H := \ker f$ . Then there exists a one-to-one correspondence as follows

$$\begin{aligned} \{\text{Subgroups of } G \text{ that contain } H\} &\xleftrightarrow{1-1} \{\text{Subgroups of } G'\} \\ H \subseteq K &\longmapsto f(K) \\ f^{-1}(\overline{K}) &\longleftarrow \overline{K} \leq G' \end{aligned}$$

Moreover, if  $K \leftrightarrow \overline{K_1}$ ,  $K_2 \leftrightarrow \overline{K_2}$ , then

- (i)  $\overline{K_1} \leq \overline{K_2} \iff K_1 \leq K_2$
- (ii)  $K \triangleleft G \iff \overline{K} \triangleleft G'$
- (iii)  $f$  induces an isomorphism

$$\begin{aligned} G/K &\xrightarrow{\overline{f}} G'/\overline{K} \\ \overline{f}(xK) &= f(x)\overline{K} \end{aligned}$$

**Proof**

- (i)-(ii) Exercise
- (iii)

$$\begin{array}{ccccc} G & \xrightarrow{f} & G' & \longrightarrow & G'/\overline{K} \\ & \searrow & & \nearrow & \\ & & & & \text{projection } F \end{array}$$

$$\ker F = \{x \in G \mid f(x) \in \overline{K}\}, \quad F(x) = f(x)\overline{K}$$

□

**1.8 Direct Products**

$H_1, \dots, H_k$  groups.

$$H_1 \times H_2 \times \dots \times H_k = \{(h_1, h_2, \dots, h_k) \mid h_i \in H_i\}$$

This is a group. The (external) direct product.

**Definition 1.8.1**

$H_1, \dots, H_k \leq G$ .  $G$  is the (internal) direct product of the subgroups  $H_1, \dots, H_k$  if the map

$$\begin{aligned} H_1 \times \dots \times H_k &\xrightarrow{\varphi} G \\ \varphi(h_1, \dots, h_k) &= h_1 h_2 \cdots h_k \end{aligned}$$

is an isomorphism. i.e.

- (i)  $\forall x \in G, \exists! h_i \in H_i$  in for each  $i$  such that  $x = h_1 \cdots h_k$
- (ii) If  $x = h_1 \cdots h_k, y = h'_1 \cdots h'_k$  then  $xy = (h_1 h'_1)(h_2 h'_2) \cdots (h_k h'_k)$

**Proposition 1.8.2**

A group  $G$  is an internal direct product of subgroups  $H_1, H_2$  if

- (i)  $G = H_1 H_2$
- (ii)  $H_1 \cap H_2 = \{1\}$
- (iii)  $H_1 \triangleleft G, H_2 \triangleleft G$

or equivalently, (i),(ii) and (iii')  $xy = yx \forall x \in H_1, y \in H_2$ .

**Proof**

Assume  $G$  is an internal direct product of  $H_1, H_2$ :

- (i) Obvious:  $\exists \varphi : H_1 \times H_2 \rightarrow G, \varphi(h_1, h_2) \mapsto h_1 h_2$  isomorphism.
- (ii) Let  $x \in H_1 \cap H_2, x = x \cdot 1 = 1 \cdot x, \varphi(x, 1) = \varphi(1, x) \implies x = 1$
- (iii)  $\varphi(H_1 \times \{1\}) = H_1, \varphi(\{1\} \times H_2) = H_2$ .  
Fact exercise:  $K_1 \triangleleft G_2, K_2 \triangleleft G_2 \implies K_1 \times K_2 \triangleleft G_1 \times G_2$   
 $\implies \{1\} \times H_2 \triangleleft H_1 \times H_2, H_1 \times \{1\} \triangleleft H_1 \times H_2$ , and hence  $H_1 \triangleleft G, H_2 \triangleleft G$ .

(i),(ii), (iii)  $\implies$  (iii'):

Let  $x \in H_1, y \in H_2$ . Show that  $xyx^{-1}y^{-1} = 1$ .

Enough to show  $xyx^{-1}y^{-1} \in H_1 \cap H_2$ :

$$\begin{aligned} xyx^{-1} &\in H_2 \text{ since } H_2 \triangleleft G \\ yx^{-1}y^{-1} &\in H_1 \text{ since } H_1 \triangleleft G \end{aligned}$$

$$\implies xyx^{-1}y^{-1} \in H_1 \cap H_2.$$

(i),(ii),(iii')  $\implies$  direct product:

Let  $\varphi : H_1 \times H_2 \rightarrow G$  by  $\varphi(h_1, h_2) = h_1 h_2$

- Homomorphism clear by (iii')
- Surjectivity follows from (i)
- Injective:  $\ker \varphi = \{(h_1, h_2) \mid h_1 h_2 = 1\} = \{(1, 1)\}$  by (ii)

□

Remark: If  $G$  is an internal direct product of  $H_1, H_2$ , then

$$G/H_1 \cong H_2, G/H_2 \cong H_1$$

**Proof**

Second isomorphism theorem  $\implies H_1 H_2 / H_2 \cong H_1 / H_1 \cap H_2$

$G$  internal direct product  $\implies G = H_1 H_2, H_1 \cap H_2 = \{1\}$  so  $G/H_2 \cong H_1$

□

Difficulty:

$$\begin{aligned} \varphi : H_1 \times H_2 &\longrightarrow G \\ \varphi(h_1, h_2) &= h_1 h_2 \end{aligned}$$

$\varphi^{-1}(H_1) = H_1 \times \{1\}$  so  $G/H_1 \cong H_2$  is the same as  $H_1 \times H_2 / H_1 \times \{1\} \cong H_2$ .

**Lemma 1.8.3**

$$C_{mn} \cong C_m \times C_n \iff (m, n) = 1.$$

**Proof**

If  $(m, n) = 1$ ,  $G = C_{mn} = \mathbb{Z}/mn\mathbb{Z}$ ,  $H_1 = \langle \widehat{m} \rangle$ ,  $H_2 = \langle \widehat{n} \rangle$

$$\text{ord}(\widehat{m}) = n, \quad H_1 \cong C_n$$

$$\text{ord}(\widehat{n}) = m, \quad H_2 \cong C_m$$

Check (i),(ii),(iii):

$$(ii) \quad |H_1 \cap H_2| \mid m, n \text{ by Lagrange's Theorem. } (m, n) = 1 \implies |H_1 \cap H_2| = 1$$

$$(i) \quad \text{Second isomorphism theorem} \implies H_1H_2/H_1 \cong H_2/H_1 \cap H_2$$

$$H_1 \cap H_2 = \{1\} \implies |H_1H_2| = |H_1||H_2| = mn = |G| \implies G = H_1H_2$$

(iii') Clear since  $G$  abelian

So  $G \cong H_1 \times H_2$ .

If  $(m, n) \neq 1$ , then  $C_m \not\cong C_m \times C_n$  and so  $\nexists x \in C_m \times C_n$  such that  $\text{ord}(x) = mn$ . If  $l = \text{lcm}(m, n)$  then  $\text{ord}(x) \leq l \quad \forall x \in C_m \times C_n$ . Since  $(m, n) \neq 1$ ,  $l < mn$ .  $\square$

**Example 1.8.4**

If  $n$  odd, then  $D_{4n} \cong D_{2n} \times C_2$

If  $n$  even, then  $D_{4n} \not\cong D_{2n} \times C_2$

1st case:  $D_{12} \cong D_6 \times C_2 (\cong S_3 \times C_2)$

Assume  $n$  odd:

$$G := D_{4n} = \{1, r, r^2, \dots, r^{2n-1}, s, sr, \dots, sr^{2n-1}\}$$

$$H_1 = \langle r^n \rangle = \{1, r^n\}$$

$$H_2 = \langle r^2, s \rangle = \{1, r^2, r^4, \dots, r^{2n-2}, s, sr^2, sr^4, \dots, s^{2n-2}\} \quad (2n \text{ elements})$$

Check (i)-(iii) in proposition:

$$(iii) \quad H_2 \triangleleft G \text{ since } |G : H_2| = 2 \text{ and } H_1 = Z(D_{4n}) \triangleleft D_{4n}. \text{ (Exercise: } \langle r^i \rangle \triangleleft D_{2n} \quad \forall i)$$

$$(ii) \quad H_1 \cap H_2 = \{1\} : r^n \notin H_2 \text{ because}$$

$$r^n \neq r^{2k} \quad \forall k \text{ since } n \text{ odd}$$

$$r^n \neq sr^{2k} \quad \forall k \text{ since otherwise } s \in \langle r \rangle$$

$$(i) \quad G = H_1H_2: \text{ prove } |H_1H_2| = 4n. \text{ Use second isomorphism theorem:}$$

$$H_1H_2/H_1 \cong H_2/H_1 \cap H_2$$

$$\implies |H_1H_2| = \frac{|H_1||H_2|}{|H_1 \cap H_2|} = |H_1||H_2| = 2(2n) = 4n$$

$$\implies G = H_1H_2$$

2nd Case: Recall

$$Z(D_{2n}) = \begin{cases} \{1\} & \text{if } n \text{ odd} \\ \{1, r^{\frac{n}{2}}\} & \text{if } n \text{ even} \end{cases}$$

Exercise:  $Z(G_1 \times G_2) = Z(G_1) \times Z(G_2)$  (equality of subgroups in  $G_1 \times G_2$ ).

Assume  $n$  even. If  $D_{4n} \cong D_{2n} \times D_{2n}$ , then

$$\underbrace{Z(D_{4n})}_{2 \text{ elements}} \cong Z(D_{2n} \times D_{2n}) = \underbrace{Z(D_{2n})}_{2 \text{ elements}} \times \underbrace{Z(D_{2n})}_{2 \text{ elements}}$$

4 elements

Contradiction.

**Example 1.8.5**

$O_n \cong SO_n \times C_2$  if  $n$  odd

$O_n \not\cong SO_n \times C_2$  if  $n$  even.

For example  $O_2 \not\cong SO_2 \times C_2$ , since LHS is nonabelian, but RHS is abelian.

**Proposition 1.8.6**

$G$  group,  $H_1, \dots, H_k \leq G$ . Then  $G$  is an internal direct product of  $H_1 \dots H_k \iff$

- (i)  $G = H_1 H_2 \dots H_k$
- (ii)  $\forall j, H_j \cap H_1 \dots H_{j-1} H_{j+1} \dots H_n = \{1\}$
- (iii)  $H_j \triangleleft G \quad \forall j$

and (i)-(iii)  $\iff$  (i)-(iii)':  $\forall i \neq j, \forall x \in H_i, \forall y \in H_j, xy = yx$

**Proof**

Assume  $G$  is an internal direct product of  $H_1, \dots, H_k$  then

$$\begin{aligned} \varphi : H_1 \times \dots \times H_k &\rightarrow G \\ \varphi(h_1, \dots, h_k) &= h_1 \dots h_k \end{aligned}$$

is an isomorphism, so

- (i)  $\text{Im}(\varphi) = H_1 \dots H_k \implies G = H_1 \dots H_k$
- (ii) Let

$$A_j := \{1\} \times \dots \times \{1\} \times H_j \times \{1\} \times \dots \times \{1\}$$

Then  $\varphi(A_j) = H_j$

$$B_j := H_1 \times \dots \times H_{j-1} \times \{1\} \times H_{j+1} \times \dots \times H_k$$

Then  $\varphi(B_j) = H_1 \dots H_{j-1} H_{j+1} \dots H_k$ .

Since  $\varphi$  is an isomorphism,

$$H_j \cap (H_1 \dots H_{j-1} H_{j+1} \dots H_k) = \{1\} \iff A_j \cap B_j = \{1\}$$

- (iii)  $H_j \triangleleft G \iff A_j \triangleleft (H_1 \times \dots \times H_k)$

(i),(ii),(iii)  $\implies$  (iii)' Let  $x \in H_i, y \in H_j$ . Then  $xyx^{-1}y^{-1} = 1$  since:

$$\begin{aligned} H_j \triangleleft G &\implies (xyx^{-1}) \in H_j \implies xyx^{-1}y^{-1} \in H_j \\ \text{Same argument} &\implies xyx^{-1}y^{-1} \in H_i \\ &\implies xyx^{-1}y^{-1} = 1 \end{aligned}$$

(i)(ii)(iii')  $\implies$

$$\begin{aligned}\varphi : H_1 \times \cdots \times H_k &\rightarrow G \\ \varphi(h_1, \dots, h_k) &= h_1 \cdots h_k\end{aligned}$$

is an isomorphism.

- $\varphi$  is a homomorphism follows from (iii')
- $\varphi$  surjective follows from (i)
- $\varphi$  injective:  
Let  $h_i \in H_i$  such that  $h_1 h_2 \cdots h_k = 1$  then  $h_2 \cdots h_k = h_1^{-1} \in H_1 \cap (H_2 \cdots H_k) \implies h_1 = 1$ . Same for all  $h_i$  using (iii')

□

Remark:

- (i)  $H_1, H_2, \dots, H_k \triangleleft G$  by induction,  $k = 2$  proved.
- (ii) If  $G$  is an internal direct product of  $H_1, \dots, H_k$  then  $G$  is internal direct product of  $(H_1 \cdots H_i)(H_{i+1} \cdots H_k)$ .

### Classification of Groups of Order 8

$C_8, C_4 \times C_2, C_2 \times C_2 \times C_2, D_8, Q$ .

$G \cong C_8 \iff \exists x \in G$  with  $\text{ord}(x) = 8$ .

Assume  $\nexists x \in G$  with  $\text{ord}(x) = 8$ . Then any non-trivial  $x \in G$  will have order 2 or 4.

Assume further that  $\forall x \in G, \text{ord}(x) = 2$ .

**Claim:**  $G$  is abelian.

**Proof of Claim:**

$$\begin{aligned}(xy)^2 &= 1 \quad \forall x, y \in G \\ \implies xyxy &= 1 \implies xy = yx.\end{aligned}$$

■

Let  $x, y \in G, x, y \neq 1$ .

Let  $z \in G, z \neq 1, x, y, xy$

Let  $H_1 = \langle x \rangle, H_2 = \langle y \rangle, H_3 = \langle z \rangle$ .

Check (i),(ii),(iii'):

(iii) Clear.  $G$  abelian.

(ii)

$$\begin{aligned}H_1 \cap H_2 H_3 &= \{1\} \iff x \notin \{1, y, z, yz\} \\ H_2 \cap H_1 H_3 &= \{1\} \iff y \notin \{1, x, z, xz\} \\ H_3 \cap H_1 H_2 &= \{1\} \iff z \notin \{1, x, y, xy\}\end{aligned}$$

(i) By second isomorphism theorem:

$$|H_1 H_2 H_3| = |H_1| |H_2 H_3| / |H_1 \cap H_2 H_3| = 8$$

$$\implies G \cong H_1 \times H_2 \times H_3, C_2 \times C_2 \times C_2$$

Assume  $\exists x \in G$ ,  $\text{ord}(x) = 4$ .

Let  $H = \langle x \rangle = \{1, x, x^2, x^3\}$ ,  $H \triangleleft G$  since  $|G : H| = 2$ .

Also,  $G = H \sqcup yH$  for all  $y \notin H$ . Pick  $y \notin H$ , then

$$G = H \sqcup yH = \underbrace{\{1, x, x^2, x^3\}}_H \cup \underbrace{\{y, yx, yx^2, yx^3\}}_{yH=Hy}$$

$$xy \in Hy \implies xy \notin H \implies xy \in \{y, yx, yx^2, yx^3\}$$

**Claim:**  $xy \neq yx^2$

**Proof of Claim:**

$$x = yx^2y^{-1} \implies x^2 = (yx^2y^{-1})(yx^2y^{-1}) = 1. \quad \blacksquare$$

**Claim:**  $y^2 \in H$

**Proof of Claim:**

$$\text{In fact, } y^2 \in \{1, x^2\} \text{ since } \text{ord}(x) = \text{ord}(x^3) = 4. \quad \blacksquare$$

Four cases:

I.  $xy = yx$ ,  $y^2 = 1$

Let  $K = \langle y \rangle$ . Then  $G \cong H \times K$ .  $H \cap K = \{1\}$ . Elements of  $H$  commute with elements of  $K$  because  $xy = yx$ . So  $|HK| = 8 \implies G = HK$ , so  $G \cong C_4 \times C_2$ .

II.  $xy = yx$ ,  $y^2 = x^2$ . Same proof gives  $G \cong C_4 \times C_2$

III.  $xy = yx^3 = yx^{-1}$ ,  $y^2 = 1 \implies G = D_8$

IV.  $xy = yx^3 = yx^{-1}$ ,  $y^2 = x^2$

$$\begin{array}{l} Q \cong G \\ Q \longrightarrow G \\ i \longmapsto x \\ j \longmapsto y \end{array}$$

### Lemma 1.8.7

$G$  abelian,  $|G| = p_1 \cdots p_k$  (distinct primes), then  $G \cong C_{p_1 \cdots p_k}$ .

**Proof**

By Cauchy's Theorem, let  $x_1, \dots, x_k \in G$ ,  $\text{ord}(x_i) = p_i$ . Let  $H_i = \langle x_i \rangle$ .

**Claim:**  $G$  is an internal direct product of  $H_1, \dots, H_k$ .

**Proof of Claim:**

$$\begin{aligned} H_j \cap (H_1 \cdots H_{j-1} H_{j+1} \cdots H_k) &= \{1\} \\ |H_{i_1} \cdots H_{i_m}| &= p_{i_1} \cdots p_{i_m} \quad \forall i_1, \dots, i_m. \end{aligned}$$

So by Lagrange,  $|H_j \cap H_1 \cdots H_k|$  divides  $p_j$  and  $p_1 \cdots p_{j-1} p_{j+1} \cdots p_k$ . Also,  $G = H_1 \cdots H_k$  follows from same fact. Above uses Lagrange's theorem and induction on  $m$ .  $\blacksquare$

$$\implies G \cong C_{p_1} \times \cdots \times C_{p_k} \cong C_{p_1 \cdots p_k} \text{ by coprimality.} \quad \square$$

### Corollary 1.8.8

$G$  abelian,  $|G| = mn$ ,  $(m, n) = 1$ , then  $G \cong H \times K$ ,  $\forall H, K \leq G$  where  $|H| = m$ ,  $|K| = n$ .

## 1.9 Automorphisms

### Definition 1.9.1

An automorphism of  $G$  is an isomorphism  $G \xrightarrow{f} G$ . We write

$$\text{Aut}(G) = \{f \mid f : G \rightarrow G \text{ automorphism}\}$$

Exercise:  $(\text{Aut}(G), \circ)$  is a group.

For  $g \in G$ , consider the “conjugation by  $g$ ”

$$\begin{aligned}\psi_g : G &\rightarrow G \\ \psi_g(x) &= gxg^{-1} \quad \forall x \in G\end{aligned}$$

Exercise:  $\psi_g \in \text{Aut}(G)$

Remark:

$$\psi_{gh}(x) = gh(x)(gh)^{-1} = ghxh^{-1}g^{-1} = \psi_g \circ \psi_h(x)$$

Let

$$\begin{aligned}u : G &\rightarrow \text{Aut}(G) \\ u(g) &= \psi_g\end{aligned}$$

Remark  $\implies u$  is a group homomorphism.

### Definition 1.9.2

$$\text{Inn}(G) := \text{Im}(u) = \{\psi_g \mid g \in G\} \leq \text{Aut}(G)$$

is the inner automorphisms.

Any  $f \in \text{Aut}(G) \setminus \text{Inn}(G)$  is called an outer automorphism.

Remark: If  $G$  abelian then  $\text{Inn}(G) = \{Id_G\}$ .

### Lemma 1.9.3

The map  $u : G \rightarrow \text{Aut}(G)$  has kernel  $Z(G)$ .

#### Proof

$$\ker u = \{g \in G \mid \psi_g = Id_G\} = Z(G).$$

□

### Corollary 1.9.4

$$G/Z(G) \cong \text{Inn}(G).$$

Remark:  $\text{Inn}(G) \triangleleft \text{Aut}(G)$ .

If  $f \in \text{Aut}(G)$ ,

$$\begin{aligned}f \circ \psi_g \circ f^{-1}(x) &= f(gf^{-1}(x)g^{-1}) \\ &= f(g)xf(g)^{-1} \\ \implies f \circ \psi_g \circ f^{-1} &= \psi_{f(g)}\end{aligned}$$

**Example 1.9.5**

$G = \underbrace{C_p \times \cdots \times C_p}_{n \text{ times}} (= (\mathbb{F}_p^n, +))$  elementary abelian group of order  $p^n$

Any homomorphism  $f : G \rightarrow G'$  is determined by  $f(e_i)$  where  $e_i = (0, \dots, 0, \underbrace{\widehat{1}}_{i^{\text{th}}}, 0, \dots, 0)$ .  $\widehat{x} = \widehat{1}$ .

Why:

$$f(\widehat{x}_1, \dots, \widehat{x}_n) = x_1 f(e_1) + \dots + x_n f(e_n)$$

$$x_1, \dots, x_n \in \{0, 1, \dots, p-1\}$$

$$\begin{aligned} (\widehat{x}_1, \dots, \widehat{x}_n) &= (\widehat{x}_1, \widehat{0}, \dots, \widehat{0}) + \dots + (0, \dots, \widehat{0}, \widehat{x}_n) \\ &= x_1 e_1 + \dots + x_n e_n \end{aligned}$$

$$\text{where } \widehat{x} = \underbrace{\widehat{1} + \dots + \widehat{1}}_{x \text{ times}}$$

$\forall f : G \rightarrow G$  is given by  $f(\vec{x}) = A\vec{x}$ ,  $A \in M_n(\mathbb{F}_p)$ .

Let  $\vec{a}_i = f(e_i) \in \mathbb{F}_p^n$ . Let  $A = [a_1, \dots, a_n]$ .

$$A\vec{x} = x_1 \vec{a}_1 + \dots + x_n \vec{a}_n$$

$$\text{Aut}(G) \cong GL_n(\mathbb{F}_p).$$

If  $\begin{cases} f(\vec{x}) = A\vec{x} \\ g(\vec{x}) = B\vec{x} \end{cases}$ , then  $f \circ g(x) = ABx$

**Example 1.9.6 (Particular Case)**

$$G = C_2 \times C_2 (= V)$$

$$\text{Aut}(V) \cong GL_2(\mathbb{F}_2) = \left\{ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \right\}$$

Exercise:  $\text{Aut}(V) = S_3$ .

Or,  $V = \{1, x, y, xy\}$ . Any permutation of  $\{x, y, xy\}$  is an element in  $\text{Aut}(V)$ .

**Example 1.9.7**

$Q =$  quaternion group

$$\text{Inn}(Q) \cong Q/Z(Q) \cong V, Z(Q) = \{\pm 1\}$$

$$\text{Aut}(Q) \cong S_4$$

$$\forall f \in \text{Aut}(Q), f(i), f(j), f(k) \in \{\pm i, \pm j, \pm k\}$$

There are 4 choices for the map  $f$ .

**Example 1.9.8**

$G = S_n$  (Later:  $Z(S_n) = \{Id\}$  for  $n \neq 2$ )

. Corollary  $\implies \text{Inn}(S_n) \cong S_n$

Exercise later:

If  $n \neq 6$ , then  $\text{Aut}(S_n) = \text{Inn}(S_n) (\cong S_n)$

If  $n = 6$ , then  $|\text{Aut}(S_n) : \text{Inn}(S_n)| = 2$

Special Case:  $\text{Aut}(S_3) = S_3$ .  $\forall f \in \text{Aut}(S_3)$  permutes  $(12), (13), (23)$ .

## 1.10 Automorphisms of Cyclic Groups

### Lemma 1.10.1

Let  $G = C_n$ . Then  $\text{Aut}(G) \cong (\mathbb{Z}/n\mathbb{Z})^\times$ .

$$\begin{aligned} (\mathbb{Z}/n\mathbb{Z})^\times &= \{\widehat{k} \in \mathbb{Z}/n\mathbb{Z} \mid (k, n) = 1\} \\ &= \{\widehat{k} \in \mathbb{Z}/n\mathbb{Z} \mid \exists \widehat{l} \in \mathbb{Z}/n\mathbb{Z}, \widehat{k} \cdot \widehat{l} = \widehat{1}\} \end{aligned}$$

Euler Totient Function:  $\#\{k \in \mathbb{Z} \mid k > 0, k < n, (k, n) = 1\}$

### Proof

#### Remark:

- $\forall f \in \text{Aut}(G)$ ,  $f$  map generators of  $G$  to generators of  $G$ . Let  $\widehat{a} = f(\widehat{1})$ . Then  $(a, n) = 1$ .
- If  $f(\widehat{1}) = \widehat{a}$  a homomorphism.  $f : G \rightarrow G$ , then  $f(\widehat{m}) = \widehat{m}\widehat{a} = m\widehat{a} \quad \forall m \in \mathbb{Z}$ .

We write  $f_{\widehat{a}} : G \rightarrow G$ ,  $f_{\widehat{a}}(\widehat{m}) = \widehat{m}\widehat{a} \quad \forall m \in \mathbb{Z}$ .

- $f_{\widehat{a}}$  is a group homomorphism,  $f_{\widehat{a}} \circ f_{\widehat{b}} = f_{\widehat{a}\widehat{b}}$
- $f_{\widehat{a}} \in \text{Aut}(G) \iff (a, n) = 1$ , so  $\widehat{a} \in (\mathbb{Z}/n\mathbb{Z})^\times$ .

Define

$$\begin{aligned} u : (\mathbb{Z}/n\mathbb{Z})^\times &\longrightarrow \text{Aut}(G) \\ \widehat{a} &\mapsto f_{\widehat{a}} \end{aligned}$$

$u$  is an isomorphism of groups.  $u$  injective:  $f_{\widehat{a}}(\widehat{1}) = \widehat{a}$ . □

$$C_n = \mathbb{Z}/n\mathbb{Z}$$

$$\text{Aut}(C_n) \cong (\mathbb{Z}/n\mathbb{Z})^\times, (f : C_n \rightarrow C_n) \mapsto f(\widehat{1}) \quad (\widehat{1} = 1 \pmod n)$$

$$C_\infty = \mathbb{Z}$$

$$\text{Aut}(C_\infty) \cong C_2 = \{Id_{\mathbb{Z}}, x \mapsto -x\}$$

Question: What is  $(\mathbb{Z}/n\mathbb{Z})^\times$ ? Proved:  $C_{mn} \cong C_m \times C_n \iff (m, n) = 1$

### Theorem 1.10.2 (Chinese Remainder Theorem)

If  $(m, n) = 1$ , then

$$\begin{aligned} \mathbb{Z}/mn\mathbb{Z} &\xrightarrow{\phi} \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z} \\ (k \pmod{mn}) &\mapsto (k \pmod{m}, k \pmod{n}) \end{aligned}$$

is an isomorphism of rings.

### Proof

$$\begin{aligned} \varphi \text{ homomorphism: } & (k+l) \pmod{m} = k \pmod{m} + l \pmod{m} \\ & (k \cdot l) \pmod{m} = (k \pmod{m}) \cdot (l \pmod{m}) \end{aligned}$$

$$\varphi \text{ well-defined: } k \pmod{mn} = 0, (\text{so } mn|k) \implies \begin{aligned} k \pmod{m} &= 0 & m|k \\ k \pmod{n} &= 0 & n|k \end{aligned}$$

$\varphi$  injective:  $\begin{matrix} k \bmod m = 0 & (m|k) \\ k \bmod n = 0 & (n|k) \end{matrix} \implies k \bmod mn = 0 \quad (mn|k)$

$\varphi$  surjective:  $(m, n) = 1 \implies \exists x, y \in \mathbb{Z}, xm + yn = 1$   
 Given  $(a, b) \in \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$ ,  $\varphi(ayn + bxm) = (a, b)$   
 since  $1 = (xm + yn) \equiv (yn) \pmod{m}$ .

□

**Corollary 1.10.3**

If  $(m, n) = 1$  then  $(\mathbb{Z}/mn\mathbb{Z})^\times \cong (\mathbb{Z}/m\mathbb{Z})^\times \times (\mathbb{Z}/n\mathbb{Z})^\times$ .

Recall:  $\varphi(m) = |(\mathbb{Z}/m\mathbb{Z})^\times|$   
 $\implies \varphi(mn) = \varphi(m)\varphi(n)$  if  $(m, n) = 1$

**Corollary 1.10.4**

If  $n = p_1^{r_1} \cdots p_s^{r_s}$  ( $p_i$  distinct primes)

$$(\mathbb{Z}/n\mathbb{Z})^\times \cong (\mathbb{Z}/p_1^{r_1}\mathbb{Z})^\times \times \cdots \times (\mathbb{Z}/p_s^{r_s}\mathbb{Z})^\times$$

Question: What is  $(\mathbb{Z}/p^n\mathbb{Z})^\times$ ?

$$\varphi(p^n) = p^n - p^{n-1} = p^{n-1}(p - 1)$$

**Proposition 1.10.5** (i) If  $p$  is an odd prime, then  $(\mathbb{Z}/p^r\mathbb{Z})^\times$  is a cyclic group, i.e.  $(\mathbb{Z}/p^r\mathbb{Z})^\times \cong C_{p^{r-1}(p-1)}$

(ii) If  $p = 2$  then  $(\mathbb{Z}/2^r\mathbb{Z})^\times \cong C_{r-2} \times C_2$

**Theorem 1.10.6 (Structure Theorem for Finitely Generated Abelian Groups)**

$G$  finitely generated abelian group, then  $G$  is a product of cyclic groups.

**Theorem 1.10.7**

$F$  field,  $G \leq F^\times$  finite subgroup  $\implies G$  cyclic.

**Corollary 1.10.8**

$\mathbb{F}_p^\times = (\mathbb{Z}/p\mathbb{Z})^\times$  is cyclic.

Recall:  $G$  abelian group,  $|G| = mn$ ,  $(m, n) = 1$ . Then  $G \cong H_1 \times H_2$  for any  $H_1, H_2 \leq G$ ,  $|H_1| = m$ ,  $|H_2| = n$

**Proof of Proposition**

(i) To show  $G = (\mathbb{Z}/p^r\mathbb{Z})^\times$  is cyclic, it is enough to find  $H_1, H_2 \leq G$  cyclic  $|H_1| = p^{r-1}$ ,  $|H_2| = p - 1$

Exercise:  $\widehat{p+1} \in (\mathbb{Z}/p^r\mathbb{Z})^\times$  is an element of order  $p^{r-1}$ .

Let  $H_1 = \langle \widehat{p+1} \rangle \cong C_{p^{r-1}}$

**Claim:** Any subgroup of  $G = (\mathbb{Z}/p^r\mathbb{Z})^\times$  of order  $p - 1$  is cyclic.

**Proof of Claim:**

Let  $H \leq G$ ,  $|H| = p - 1$

$$\begin{aligned} \mathbb{Z}/p^r \mathbb{Z} &\xrightarrow{\pi} \mathbb{Z}/p \mathbb{Z} \\ \pi(k \bmod p^r) &= (k \bmod p) \end{aligned}$$

surjective ring hom.  
Let

$$\begin{aligned} f : (\mathbb{Z}/p^r \mathbb{Z})^\times &\rightarrow (\mathbb{Z}/p \mathbb{Z})^\times \\ k \bmod p^r &\mapsto k \bmod p \quad \forall k = 1, p-1 \end{aligned}$$

be the induced map on units then  $f$  is a surjective group homomorphism.  
First isomorphism theorem  $\implies$  :

$$|\ker(f)| = \frac{p^{r-1}(p-1)}{p-1} = p^{r-1}$$

Notice that since  $|H| = p - 1$ ,  $|\ker(f)| = p^{r-1}$ ,  $(p-1, p^{r-1}) = 1$ .  
So Lagrange  $\implies H \cap \ker(f) = \{1\}$ .

$$H \xrightarrow{\quad \subseteq (\mathbb{Z}/p^r \mathbb{Z})^\times \xrightarrow{f} (\mathbb{Z}/p \mathbb{Z})^\times \quad} h$$

$h : H \rightarrow (\mathbb{Z}/p \mathbb{Z})^\times$  is an injective homomorphism of groups.

Since  $H \cap \ker(f) = 1 \implies h$  isomorphism of groups.

Theorem  $\implies H \cong \mathbb{F}_p^\times$  cyclic,  $|H| = p - 1 = |(\mathbb{Z}/p \mathbb{Z})^\times$

Assuming the claim, pick any  $H_2 \leq G$ ,  $|H_2| = p - 1$ .

(ii)

Exercise:

- (a)  $\exists$  element of order  $2^{r-2}$  in  $(\mathbb{Z}/2^r)^\times$
- (b)  $(\mathbb{Z}/2^r \mathbb{Z})^\times$  not cyclic.

$$\implies \underbrace{(\mathbb{Z}/2^r \mathbb{Z})^\times}_{\text{order } 2^{r-1}} \cong \underbrace{C_{2^{r-2}}}_{C_{n_1} \times C_{n_2} \times \dots \times C_{n_k}} \times C_2$$

□

## 1.11 Characteristic Subgroups

### Definition 1.11.1

$H \leq G$  is called a characteristic subgroup if for all  $f \in \text{Aut}(G)$ ,  $f(H) = H$ .

Remark:

- (i) Enough to show  $f(H) \subseteq H \quad \forall f \in \text{Aut}(G)$
- (ii)  $H \triangleleft G \iff f(H) \subseteq H \quad \forall f \in \text{Inn}(G)$ ,  $= \{\text{aut by conjugation}\}$

$\implies$  characteristic subgroups are normal.

**Example 1.11.2**

(i)  $Z(G)$  is a characteristic subgroup of  $G$ :

If  $x \in Z(G)$ , then  $f(x) \in Z(G) \forall f \in \text{Aut}(G)$

$$\begin{aligned} f(x)f(y) &= f(y)f(x) \\ f(xy) &= f(xy) \end{aligned}$$

since  $x \in Z(G)$ .

(ii) If  $H \leq G$  is the unique subgroup of order  $m$  for some  $m$ , then  $H$  is a characteristic subgroup.

Exercise:  $H = \{Id, (12)(34), (13)(24), (14)(23)\} \leq A_4$  unique subgroup of order 4 in  $A_4$ .

$\implies H \triangleleft A_4$ .

(iii)  $G$  group.  $[x, y] := xyx^{-1}y^{-1}$

$[G, G] :=$  subgroup of  $G$  generated by all  $[x, y], \forall x, y \in G$

is called the commutator subgroup of  $G$ .

This is a characteristic subgroup of  $G$ : if  $f \in \text{Aut}(G)$ , then  $[f(x), f(y)] = f([x, y])$ .

Remark:

- $G$  abelian  $\iff [G, G] = 1$
- If  $H \triangleleft G$ , then  $G/H$  abelian  $\iff H \supseteq [G, G]$
- $N \triangleleft G, H \triangleleft G \not\Rightarrow H \triangleleft G$

**Example 1.11.3**

$G = D_8 = \{1, r, r^2, r^3, s, sr, sr^2, sr^3\}$ ,  $N = \{1, r^2, s, sr^2\}$ ,  $H = \{1, s\}$ .

$H \triangleleft N$  ( $N$  abelian),  $N \triangleleft G$ , ( $[G:N]=2$ ), but  $H \not\triangleleft G$ .

**Lemma 1.11.4**

If  $H$  is a characteristic subgroup of  $N$ ,  $N \triangleleft G$ , then  $H \triangleleft G$ .

**Example 1.11.5**

$Z(N), [N, N] \triangleleft G$  (if  $N \triangleleft G$ ).

**Proof**

$$\begin{aligned} \psi_g : G &\rightarrow G \\ \psi_g(x) &= gxg^{-1} \end{aligned}$$

is in  $\text{Aut}(G)$ .

$$N \triangleleft G \iff \psi_g(N) \subseteq N$$

$\underbrace{\psi_g|_N}_{\text{restricting } \psi_g \text{ to } N} : N \rightarrow N$  is an automorphism of  $N$ , since  $\psi_g(N) \subseteq N$ . In fact  $\psi_g(N) = N$ .

$$\implies \psi_{g|_N}(H) \subseteq H \implies \psi_g(H) \subseteq H \iff H \triangleleft G$$

□

## 1.12 Semidirect Products

$H, K$  groups.  $\varphi : K \rightarrow \text{Aut}(H)$  homomorphism of groups.

### Definition 1.12.1

The semidirect product of groups  $H$  and  $K$  is

$$H \rtimes_{\varphi} K = \{(x, y) \mid x \in H, y \in K\}$$

where multiplication is defined by

$$(x, y)(x', y') = (x\varphi(y)(x'), yy')$$

If  $\varphi$  is the trivial homomorphism, we recover the normal direct product. Even if  $H, K$  are abelian,  $H \rtimes_{\phi} K$  might not be abelian

This is a group:

- Identity  $(1_H, 1_K)$
- Associativity - straightforward
- $(x, y)^{-1} = (\varphi(y^{-1})(x^{-1}), y^{-1})$

Check:

$$\begin{aligned} (x, y)(\varphi(y^{-1})(x^{-1}), y^{-1}) &= (x\varphi(y)\varphi(y^{-1})x^{-1}, yy^{-1}) \\ &= (x[\varphi(y) \circ \varphi(y^{-1})](x^{-1}), yy^{-1}) \\ &= (xx^{-1}, 1) \\ &= (1, 1) \end{aligned}$$

Properties  $G := H \rtimes_{\varphi} K$

- (i)  $|H \rtimes K| = |H||K|$
- (ii)  $H, K$  are isomorphic to subgroups of  $G$  via this identification
- (iii)  $H \triangleleft G, G/H \cong K$  (via  $K \subseteq G \rightarrow G/H$ )
- (iv)  $H \cap K = \{1\}$
- (v)  $G = HK$  as sets
- (vi) TFAE
  - (a)  $K \triangleleft G$
  - (b)  $\varphi = \text{trivial map}$
  - (c)  $H \rtimes K \cong H \times K$  by  $(h, k) \mapsto (h, k)$

### Proof

- (i) Clear.
- (ii)  $H$  and  $K$  are isomorphic to  $H' = \{(x, 1) \mid x \in H\} \subseteq G$   
 $K' = \{(1, y) \mid y \in K\} \subseteq G$  respectively by

$$x \mapsto (x, 1) \quad \text{and} \quad y \mapsto (1, y)$$

(iii) Let  $f : G \rightarrow K$ ,  $f(x, y) = y$ . Then  $f$  is a homomorphism:

$$\begin{aligned} f((x, y)(x', y')) &= f(x\varphi(y)(x'), yy') \\ &= yy' \\ &= f(x, y)f(x', y') \end{aligned}$$

$\ker f = \{(x, 1) \mid x \in H\} = H'$ . And  $f$  is clearly surjective.

By isomorphism theorem,  $G/\ker f = G/H \cong K$ . Also:

$$\begin{array}{ccc} K \subseteq G & \xrightarrow{\quad} & G/H \\ & \searrow g & \\ & & \end{array}$$

$$y \mapsto (1, y) \mapsto \widehat{(1, y)} := \text{coset of } (1, y)$$

$g$  is a homomorphism of groups.

$$\ker(g) = \{y \in K \mid (1, y) \in H\} = \{(1, 1)\}$$

$g$  is surjective:

Let  $(x, y) \in G$ , show

$$\widehat{(x, y)} = \widehat{(1, y)} \quad (\iff (x, y)^{-1}(1, y) \in H)$$

$$\begin{aligned} (\varphi(y^{-1})(x^{-1}), y^{-1}) &= (\varphi(y^{-1})(x^{-1})\varphi(y^{-1})(1), y^{-1}y) \\ &= (x, y)^{-1} \end{aligned}$$

$\implies g$  is an isomorphism.

(iv)  $H' \cap K' = \{(1, 1)\}$

(v)  $(x, y) = (x, 1)(1, y) = (x\varphi(1)(1), 1 \cdot y)$

(vi) (b)  $\implies$  (c), clear

(c)  $\implies$  (a)

$$K' = \{1\} \times K \subseteq H \times K \text{ normal subgroup}$$

$\implies K \triangleleft G$

(a)  $\implies$  (b)

$$K \triangleleft G \iff \forall x \in H$$

$(1, y') = (x, 1)(1, y)(x, 1)^{-1} \in K$  for some  $y' \in K$ .

$(x, 1)^{-1} = (\varphi(1^{-1})(x^{-1}), 1^{-1}) = (x^{-1}, 1)$

$$\begin{aligned} (x, 1)(1, y)(x^{-1}, 1) &= (1, y') \\ \implies (x\varphi(x^{-1}), y) &= (x, y)(x^{-1}, 1) = (1, y') \\ \implies x\varphi(y)(x^{-1}) &= x^{-1} \quad \forall x \in H, \forall y \in K \\ \implies \varphi(y)(x^{-1}) &= x^{-1} \quad \forall x \in H, \forall y \in K \\ \implies \varphi(y) &= Id_H \quad \forall y \in K \\ \implies \varphi &= \text{trivial} \end{aligned}$$

□

### Proposition 1.12.2

Let  $H, K$  be subgroups of a group  $G$ . Assume that

- (i)  $G = HK$
- (ii)  $H \cap K = \{1\}$
- (iii)  $H \triangleleft G$

Then  $G \cong H \rtimes_{\varphi} K$  where

$$\begin{aligned} \varphi : K &\rightarrow \text{Aut}(H) \\ \varphi(y)(x) &= yxy^{-1} \quad \forall x \in H, y \in K \end{aligned}$$

**Proof**

Note:  $\varphi(y) : H \rightarrow H$   
 $\varphi(y)(x) = yxy^{-1}$ , since  $H \triangleleft G$ .  $\varphi(y) = \psi_y|_H \in \text{Aut}(H)$ .  
 $\implies \varphi : K \rightarrow \text{Aut}(H)$ ,

$$\varphi(yy') = \psi_{yy'}|_H = (\psi_y \circ \psi_{y'})|_H = \psi_y|_H \circ \psi_{y'}|_H = \varphi(y) \circ \varphi(y')$$

Let us define  $f : H \rtimes_{\varphi} K \rightarrow G$   
 $f(h, k) = hk$  for all  $h \in H, k \in K$

$f$  surjective because of (i)

$\ker(F) = \{1\}$ :

$$hk = 1 \iff h = k^{-1} \in H \cap K \stackrel{(ii)}{=} \{1\} \implies h = 1, k = 1$$

$f$  is a homomorphism:

$$\begin{aligned} f((h, k)(h', k')) &= f(h\varphi(k)(h'), kk') \\ &= h\varphi(k)(h')kk' \\ &= hkh'k^{-1}kk' \\ &= hkh'k' \\ &= f((h, k))f((h', k')) \end{aligned}$$

□

Remark: Equivalently,

$$G \cong H \rtimes K \iff \left\{ \begin{array}{l} H \triangleleft G \\ K \subseteq G \longrightarrow G/H \\ \quad \quad \quad \underbrace{\hspace{2cm}}_g \\ \text{is an isomorphism} \end{array} \right.$$

**Proof**

- ( $\implies$ ) Proved.
- ( $\impliedby$ ) Check (i)-(iii)

- (ii)  $\ker g = K \cap H$   
 $g$  isomorphism  $\implies K \cap H = \{1\}$

(iii)  $H \triangleleft G$  by assumption.

$g$  surjective  $\implies \forall x \in G, \exists k \in K$  such that  $g(k) = kH = xH$ .

$$\begin{aligned} xk^{-1} \in H &\implies xk^{-1} = h \in H \\ &\implies x = hk \in HK \end{aligned}$$

□

**Example 1.12.3**

$D_{2n} \cong C_n \times C_2$ .

$G = D_{2n} = \{1, r, \dots, r^{n-1}, s, sr, \dots, sr^{n-1}\}$ .

$H = \langle r \rangle, K = \langle s \rangle, H \cap K = \{1\}, H \triangleleft G, G = KH = HK$ , since  $G = HK \leq G$ .

$$\implies G \cong H \rtimes K = C_n \rtimes C_2$$

$$\begin{aligned} \varphi : C_2 &\rightarrow \text{Aut}(C_n) & \varphi(s)(r) &= r^{-1} \\ s &\mapsto (x \mapsto -x) & \varphi(s)(r^i) &= sr^i s^{-1} = sr^i s = r^{-i} \end{aligned}$$

**Example 1.12.4**

$A_n$  = alternating group of even permutations.

$A_n = \ker(\varepsilon)$  where  $\varepsilon : S_n \rightarrow \{\pm 1\}$ .  $A_n \triangleleft S_n, |S_n/A_n| = 2$ .

$S_n \cong A_n \rtimes \langle \tau \rangle, \tau$  any transposition.

$K = \langle \tau \rangle, H = A_n \triangleleft S_n, H \cap K = \{1\}, S_n = HK$ .

$$\begin{array}{ccc} \langle \tau \rangle \subseteq S_n & \xrightarrow{\varepsilon} & \{\pm 1\} \cong C_2 \\ & \searrow \cong & \nearrow \\ & & \end{array} \quad \begin{array}{l} \varphi : C_2 \rightarrow \text{Aut}(A_n) \\ \varphi(\tau)(\sigma) = \tau\sigma\tau^{-1} \end{array}$$

**Example 1.12.5 (Non-example)**

$Q \not\cong$  semidirect product of  $H, K \leq G, H, K \neq G$ .

Assume

$$\left. \begin{array}{l} Q \cong H \rtimes K \\ H, K \neq G \end{array} \right\} \implies |H|, |K| \in \{2, 4\}$$

Remark: Any subgroup with 4 elements of  $Q$  is cyclic. ( $Q$  had only one element of order 2, namely -1)

$Q \not\cong C_4 \rtimes_{\varphi} C_2$ :

$$\begin{aligned} \varphi : C_2 &\rightarrow \text{Aut}(C_4) = (\mathbb{Z}/4\mathbb{Z})^{\times} = \{\widehat{1}, \widehat{3}\} \cong C_2 \\ \text{Aut}(C_4) &= \{Id, x \mapsto -x\} \end{aligned}$$

$\varphi$  - nontrivial  $\implies \varphi(\widehat{1})(\widehat{m}) = -\widehat{m}, \forall \widehat{m} \in C_4 = \mathbb{Z}/4\mathbb{Z}$ .

If  $Q \cong C_4 \rtimes_{\varphi} C_2$  for  $\varphi$  as above, then  $Q \cong D_8$ . Contradiction.  $D_8$  has more elements of order 2.

Show:  $Q \not\cong C_2 \rtimes_{\varphi} C_4$ .

$$\forall \varphi : C_4 \rightarrow \underbrace{\text{Aut}(C_2)}_{(\mathbb{Z}/2\mathbb{Z})^{\times} = \{1\}} = \{Id\}$$

$\implies Q \cong C_4 \times C_2$  which is abelian. Contradiction.

□

### Example 1.12.6

$D_6$  and  $C_6$  are both semidirect products.

$C_6 \cong C_3 \times C_2$ ,  $D_6 \cong C_3 \rtimes_{\varphi} C_2$ .  $(\mathbb{Z}/3\mathbb{Z})^{\times} = \{\widehat{1}, \widehat{2}\}$ ,  $\text{Aut}(C_3) = \{Id, x \mapsto -x\}$ .

2 possibilities:

- (i)  $\varphi : C_2 \rightarrow \text{Aut}(C_3)$   
 $\varphi$  trivial  $\implies C_6$
- (ii)  $C_2 \rightarrow \text{Aut}(C_3)$   
 $T \mapsto (x \mapsto (x \mapsto -x)) \implies D_6$

### Example 1.12.7

Dicyclic group:  $m \geq 2$  integer

$$G = \{1, z, z^2, \dots, z^{2m-1}, y, zy, \dots, z^{2m-1}y\}$$

Multiplication:

$$\begin{aligned}
z^a \cdot z^b &= z^{a+b} \\
z^a(z^b y) &= z^{a+b} y \\
(z^a y) \cdot z^b &= z^{a-b} y \quad (*) \\
(z^a y)(z^b y) &= z^{a-b+m} \quad (**)
\end{aligned}$$

Exercise: Associativity holds for this multiplication.

Remark:

$$y^2 = z^m, \quad yz = z^{-1}y \quad (*)$$

$$\text{ord}(z) = 2m, \quad \text{ord}(y) = 4 : \quad (z^m y)(z^m y) = z^m \quad (**)$$

$$\implies yz^m y = 1 \implies yz^m y^2 = y \implies z^{2m} = 1 = y^4 \implies y^2 = z^m$$

$m = 2$ : This is  $Q$ . ( $z \mapsto i, y \mapsto j$ ).

$m = 3$ : This is  $C_3 \rtimes_{\varphi} C_4$  (New group of order 12).

## 1.13 Examples of $H \rtimes K$

### Example 1.13.1 (Dicyclic Group of order 12)

$G = \{1, z, z^2, \dots, z^5, y, yz, \dots, yz^5\}$ .

$\text{ord}(z) = 6, \text{ord}(y) = 4, z^3 = y^2, zy = yz^{-1}, z^i y = yz^{-i}$ .

Remark:  $G$  is nonabelian and  $\text{ord}(yz^i) = 4$

**Claim**:  $G \cong C_3 \rtimes C_4, \varphi : C_4 \rightarrow \text{Aut}(C_3) \cong C_2, \{Id, x \mapsto -x\}$

**Proof of Claim**:

$\varphi$  nontrivial  $\iff \varphi(\widehat{1})(x) = -x$

Let  $H = \langle z^2 \rangle = \{1, z^2, z^4\}, K = \langle y \rangle = \{1, y, z^3, yz^3\}$

$H \cap K = \{1\}, G = HK : H \triangleleft G, H$  is a characteristic subgroup since  $H$  is the only subgroup of  $G$  that has 3 elements.

$\implies G \cong H \rtimes_{\varphi} K, G$  nonabelian  $\implies \varphi$  nontrivial. ■

Remark (Prove later):  $|G| = 12$ , then  $G$  must be one of:

$C_3 \times C_4$ ,  $C_3 \times C_2 \times C_2$  - abelian  
 $A_4$ ,  $D_{12}$ ,  $C_3 \rtimes_{\varphi} C_4$  - non-abelian

Elements of order 2:

$A_4 : 3$ ,  $D_{12} : \geq 6$ ,  $C_3 \rtimes_{\varphi} C_4 : 1$ .

Remark:  $G$  group,  $|G| = p^2$  ( $p$  odd prime)  $\implies G \cong H \rtimes_{\varphi} K$ ,  $H, K \leq G$ ,  $\varphi$  non-trivial.

$\forall C_p \rtimes_{\varphi} C_p$  has  $\varphi =$  trivial

$$\varphi : C_p \rightarrow \text{Aut}(C_p) \cong C_{p-1}$$

$\nexists$  non-trivial homomorphism  $C_p \rightarrow C_{p-1}$ .

$\forall f : C_p \rightarrow C_{p-1}$  has  $\ker f = C_p$ .

If  $\ker f \neq C_p \implies \ker f = C_p \implies C_p \leq C_{p-1}$ . Contradiction.

Groups of order  $p^3$  ( $p$  odd prime)

Look at:

$C_{p^2} \times C_p$   
 $(C_p \times C_p) \times C_p$   
 $C_p \times C_{p^2}$   
 $C_p \times (C_p \times C_p)$

All  $C_p \times C_{p^2}$  is  $C_p \times C_{p^2}$ .

$\nexists$  non-trivial homomorphisms

$$C_{p^2} \rightarrow \text{Aut}(C_p) \cong C_{p-1}$$

So all  $C_p \times (C_p \times C_p)$  is  $C_p \times (C_p \times C_p)$ .

$\nexists$  non-trivial homomorphism:  $C_p \times C_p \rightarrow \text{Aut}(C_p) \cong C_{p-1}$ .

## 1.14 Examples of non-abelian groups $G$ with $p^3$ elements

(i)  $G = C_{p^2} \rtimes_{\varphi} C_p$ ,  $\varphi =$  non-trivial

$$\varphi : C_p \rightarrow \text{Aut}(C_{p^2}) \cong C_p \times C_{p-1}$$

All non-trivial  $\varphi(C_p) \cong C_p \times \{1\}$

Claim 1:  $\forall \varphi_1, \varphi_2$

$$C_{p^2} \rtimes_{\varphi_1} C_p \cong C_{p^2} \rtimes_{\varphi_2} C_p$$

Exercise:  $G = \langle a, b \rangle$  where  $\text{ord}(a) = p^2$ ,  $\text{ord}(b) = p$ ,  $bab^{-1} = a^{p+1}$ .

(ii)  $G = (C_p \times C_p) \rtimes_{\varphi} C_p$ ,  $\varphi =$  non-trivial,

$$\varphi : C_p \rightarrow \text{Aut}(C_p \times C_p) \cong GL_2(\mathbb{F}_p)$$

Claim 2:  $\forall \varphi_1, \varphi_2$  nontrivial  $\implies (C_p \times C_p) \rtimes_{\varphi_1} C_p \cong (C_p \times C_p) \rtimes_{\varphi_2} C_p$ .

### Example 1.14.1

$G = \langle a, b, c \rangle$ ,  $\text{ord}(a) = \text{ord}(b) = \text{ord}(c) = p$ ,  $b = cac^{-1}a^{-1}$ ,  $ba = ab$ ,  $bc = cb$ .

**Criterion for  $H \rtimes_{\varphi} K \cong H \rtimes_{\varphi'} K$ :**

(i)  $\exists \alpha \in \text{Aut}(H)$  such that the following diagram commutes:

$$\begin{array}{ccc} K & \xrightarrow{\varphi'} & \text{Aut}(H) \\ & \searrow \varphi & \swarrow \alpha \circ f \circ \alpha^{-1} \\ & & \text{Aut}(H) \end{array}$$

(ii)  $\exists \beta \in \text{Aut}(K)$  such that the following diagram commutes:

$$\begin{array}{ccc} K & \xrightarrow{\varphi'} & \text{Aut}(H) \\ & \searrow \beta & \swarrow \varphi \\ & & K \end{array}$$

(iii)  $K$  cyclic,  $\varphi(K)$ ,  $\varphi(K')$  are conjugate subgroups of  $\text{Aut}(H)$ , i.e.  $\exists \alpha \in \text{Aut}(H)$  such that  $\varphi'(K) = \alpha(\varphi(K))\alpha^{-1}$ .

Claim: Claim 1 follows from (iii)

$\forall \varphi, C_p \rightarrow C_p \times C_p$  has  $\varphi(C_p) = C_p \times \{1\}$ , so  $\forall \varphi_1 \varphi_2$  non-trivial, then  $\varphi_1(C_p) \cong \varphi_2(C_p)$ .

Claim: Claim 2 follows from (iii)

$\varphi : C_p \rightarrow GL_2(\mathbb{F}_p)$  Any two subgroups of order  $p$  of  $GL_2(\mathbb{F}_p)$  are conjugate. (Theorem (Sylow):  $|G| = p^n \cdot m$ ,  $(m, p) = 1$ , then any two subgroups of order  $p^n$  are conjugate)

$$|GL_2(\mathbb{F}_p)| = (p^2 - 1)(p^2 - p) = p(p - 1)^2(p + 1):$$

$$GL_2(\mathbb{F}_p) = \{[a_1, v_2] \mid a_2 \text{ is not a multiple of } a_1\}$$

# of choices for  $a_1$  is  $p^2 - 1$ .

# of choices for  $a_2$  is  $p^2 - p$ .

## 1.15 Classification of Groups of Order $2p, p^2, p^3$ , $p$ odd prime

### Theorem 1.15.1

$$|G| = 2p \implies G \cong C_{2p} \text{ or } D_{2p}.$$

#### Proof

$$\text{Cauchy's Theorem} \implies \begin{cases} \exists x \in G, \text{ord}(x) = p \\ \exists y \in G, \text{ord}(y) = 2 \end{cases}$$

Let  $H = \langle x \rangle$ ,  $K = \langle y \rangle$ . Then  $H \cong C_p$ ,  $K \cong C_2$ .

$H \triangleleft G$  since  $|G : H| = 2$ .

$H \cap K = \{1\}$  by Langrange's Theorem (since  $p$  is odd).  $H \triangleleft G \implies HK \leq G$ .

Second isomorphism theorem  $\implies$

$$HK/H \cong K/(H \cap K) \implies |HK| = |H||K| = 2p$$

$$\implies G = HK \implies G \cong H \rtimes_{\varphi} K, \text{ for some } \varphi : K \rightarrow \text{Aut}(H).$$

Any hom  $\varphi : C_2 \rightarrow \text{Aut}(C_p) \cong C_{p-1}$  is one of  $\begin{matrix} \varphi = \text{trivial} \\ \varphi(\widehat{1})(x) = x \end{matrix}$ .

Use:  $C_{p-1}$  has a unique element of order 2:  $\frac{p-1}{2} \varphi = \text{trivial} \implies G \cong C_p \times C_2$   
 $\varphi \neq \text{trivial} \implies G \cong C_{2p}$ . □

**Theorem 1.15.2**

$|G| = p^2 \implies G$  abelian and  $G \cong C_p \times C_p$  or  $C_{2p}$ .

**Proposition 1.15.3**

$|G| = p^n \implies Z(G) \neq \{1\}$ . (prove later)

**Lemma 1.15.4**

$G/Z(G)$  cyclic  $\implies G$  abelian.

**Proof**

Denote  $\widehat{x} = xZ(G) \in G/Z(G)$ .

Let  $G/Z(G) = \langle \widehat{x} \rangle$ . Then any  $\widehat{y} \in G/Z(G)$  is of the form  $\widehat{y} = \widehat{x}^i \iff yx^{-1} \in Z(G)$ .

So  $\forall y \in G$  is of the form  $y = x^i z$  for some  $z \in Z(G)$ .

Let  $y_1, y_2 \in G$ , then  $y_1 = x^i z_1, y_2 = x^j z_2$  for some  $z_1, z_2 \in Z(G)$ . Then  $y_1 y_2 = x^i z_1 x^j z_2 = x^i x^j z_1 z_2 = x^j x^i z_2 z_1 = x^j z_2 x^i z_1 = y_2 y_1$ . □

**Proof of Theorem**

$|G| = p^2, Z(G) \neq \{1\} \implies |Z(G)| = p$  or  $p^2$ .

If  $|Z(G)| = p$  then  $G/Z(G)$  cyclic (has  $p$  elements).

$\implies G$  abelian, i.e.,  $G = Z(G)$ . Contradiction.

Lemma  $\implies |Z(G)| = p^2 \implies G = Z(G)$  □

$p$  odd prime.

**Theorem 1.15.5**

If  $|G| = p^3$ , then either  $G$  is abelian, so isomorphic to

$$C_{p^3}, C_{p^2} \times C_p, C_p \times C_p \times C_p$$

or  $G$  is nonabelian, and is isomorphic to either

$$\begin{matrix} C_{p^2} \rtimes_{\varphi} C_p & \varphi \text{ nontrivial} \\ (C_p \times C_p) \rtimes_{\varphi} C_p & (\text{independent of } \varphi) \end{matrix}$$

$p = 2$ , two nonabelian groups:  $D_8$  and  $Q$ .

Two Facts:

(i)  $G$   $p$ -group  $\implies Z(G) \neq \{1\}$

(ii)  $G$  finite and  $p$  smallest prime such that  $p \mid |G| \implies$  every subgroup of index  $p$  in  $G$  is normal.

**Proof**

Suppose  $G$  nonabelian, then  $Z(G) \neq G$ . Every element in  $G$  has order  $p$  or  $p^2$ .

Last time:  $G/Z(G)$  cyclic  $\implies G$  abelian.

So  $G/Z(G)$  not cyclic. Therefore  $|Z(G)| = p$ ,  $|G/Z(G)| = p^2$  and  $G/Z(G)$  not cyclic  $\implies G/Z(G) \cong C_p \times C - p$ , by classification of groups of order  $p^2$ . So  $x \in G \implies x^p \in Z(G)$ .

Recall: Commutator subgroup  $[G, G] = \langle xyx^{-1}y^{-1} \mid x, y \in G \rangle$ .

$$G/H \text{ abelian} \iff [G, G] \subseteq H$$

$G/Z(G)$  abelian  $\implies [G, G] \subseteq Z(G)$ .

$[G, G]$  non-trivial as  $G$  is nonabelian.  $Z(G)$  order  $p \implies [G, G] = Z(G)$ .

**Lemma 1.15.6**

If  $[G, G] \subseteq Z(G)$ , then  $\forall x, y \in G$ ,

$$(xy)^n = x^n y^n [y, x]^{n(n-1)/2} \quad \forall n \in \mathbb{Z}$$

$$[y, x] = y^{-1} x^{-1} y x.$$

**Example 1.15.7**

$$\begin{aligned} (xy)^2 &= xyxy \\ &= xx y [y^{-1} x^{-1} y x] y \\ &= x^2 y^2 [y, x] \end{aligned}$$

$$\phi : G \rightarrow Z(G), \phi(x) = x^p,$$

$$(xy)^p = x^p y^p [y, x]^{p(p-1)/2}$$

( $p$ th power in  $Z(G)$  and  $|Z(G)| = p$ ).

Case 1:

$|\ker \phi| = p^2 \iff \phi$  surjective  $\iff G$  has an element of order  $p^2$ .

$\exists x \in G, x^p \neq 1 \implies x$  has order  $p^2$

$\exists y \in \ker \phi$  such that  $y \notin \langle x \rangle$ .  $y$  has order  $p$ . Let  $H = \langle x \rangle \cong C_{p^2}$  and  $K = \langle y \rangle \cong C_p$ .

$[G : H] = p \implies H \trianglelefteq G$ .  $H \cap K = \{e\} \implies HK = G \implies G \cong H \rtimes_{\varphi} K$ ,  $\varphi$  nontrivial.

Case 2

$|\ker \phi| = p^3 \iff \phi$  triv  $\iff G$  has exponent  $p$ .

Let  $x$  be a generator of  $Z(G)$ .  $|\langle x \rangle| = |Z(G)| = p$

$$G \rightarrow G/Z(G) \cong C_p \times C_p$$

Choose  $y$  and  $z \in G$  that map to  $(0, 1)$  and  $(1, 0)$  under this map.

$$H = \langle x, y \rangle, K = \langle z \rangle.$$

- $H$  does not surject onto  $G/Z(G)$ , so  $H \neq G$ .  $g \notin \langle x \rangle$  so  $|H| > p$ . So  $|H| = p^2$  and  $|K| = p$ .  
 $[G : H] = p \implies H \trianglelefteq G$ .

- $H \cap K = \{1\}$ .

- Suppose  $y^i x^j = z^l, y^i Z(G) = z^l Z(G) \implies i, l \equiv 0 \pmod p. \implies i, j, k \equiv 0 \pmod p. \implies HK = G$

$\implies H \rtimes_{\varphi} K \cong G$ . □

**Example 1.15.8**

$$\left\{ \left( \begin{array}{cc} a & b \\ 0 & 1 \end{array} \right) \in GL_2(\mathbb{Z}/p^2\mathbb{Z}) \mid a \equiv 1 \pmod{p}, b \in \mathbb{Z}/p^2\mathbb{Z} \right\}$$

**Example 1.15.9**

$$\left\{ \left( \begin{array}{ccc} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{array} \right) \in GL_3(\mathbb{Z}/p\mathbb{Z}) \mid a, b, c \in \mathbb{Z}/p\mathbb{Z} \right\}$$

**1.16 Group Actions****Definition 1.16.1**

$G$  group,  $X$  set.

A left action of  $G$  on  $X$  is a map

$$G \times X \rightarrow X \quad (g, x) \mapsto g \cdot x$$

such that

$$(i) \quad 1 \cdot x = x \quad \forall x \in X$$

$$(ii) \quad g \circ (h \cdot x) = (gh) \cdot x \quad \forall g, h \in G, x \in X$$

$X$  is called a (left)  $G$ -set.

$g \in G, t_g : X \rightarrow X, t_g(x) = g \cdot x$  left translation by  $g, t_{g^{-1}} = (t_g)^{-1}$ .

**Example 1.16.2**

$G$  acts on  $G$  by left multiplication:  $G \times G \rightarrow G, (g, h) \mapsto g \cdot h$ .

$t_g(h) = g \cdot h, t_g \in S_X$ .

$S_X = \{f : X \rightarrow X \mid f \text{ is a bijection}\}$  symmetric group on  $X$ .

Homomorphism:

$G \rightarrow S_X, g \mapsto t_g, gh \mapsto t_{gh}$ :

$$\begin{aligned} t_{gh}(x) &= (gh) \cdot x \\ &= g \cdot (h \cdot x) \\ &= g \cdot t_h(x) \\ &= t_g(t_h(x)) \end{aligned}$$

Given hom  $f : G \rightarrow S_X$  define:

$$G \times X \rightarrow X \text{ by } (g, x) \mapsto f(g)(x)$$

$$(i) \quad (e, x) \mapsto f(e)(x) = id(x) = x$$

$$(ii) \quad g(h \cdot x) = f(g)(h \cdot x) = f(g)(f(h)(x)) = (f(g) \circ f(h))(x) = f(gh)(x) = (gh)x$$

{Left  $G$ -actions on  $X$ }  $\leftrightarrow$  {permutation representation  $G \rightarrow S_X$ }

**Definition 1.16.3**

An action  $G$  on  $X$  is faithful if its permutation representation  $G \rightarrow S_X$  is injective.  $\iff$  for each  $g \in G \setminus \{1\}, \exists x \in X$  such that  $gx \neq x$ .

Exercise:  $S_X$  acts on  $X$ ,  $\sigma \in S$ ,  $x \in X$ ,  $\sigma \cdot x = \sigma(x)$ .

Exercise:  $G \leq S_X$   $G \hookrightarrow S_X$  faithful since if  $\sigma \neq 1$ , then  $\exists x \in X$  such that  $\sigma(x) \neq x$ .

**Example 1.16.4**

$H \leq G$ ,  $H$  acts on  $G$  by left multiplication  $H \times G \rightarrow G$ ,  $(h, g) \mapsto hg$ .

If  $h \neq 1$ , then  $h \cdot g \neq g \forall g \in G$ .

So faithful  $\implies H \hookrightarrow S_G$ .

**Theorem 1.16.5**

(Cayley's Theorem) Every group is isomorphic to a subgroup of a symmetric group.

**Proof**

$H = G$  in last example  $\implies G \in S_G$ . □

**Example 1.16.6**

$H \leq G$ . Then  $G$  acts on the quotient  $G/H$

$$g \cdot (xH) = (gx)H$$

Not faithful if  $H \neq 1$ .

**Example 1.16.7**

$G$  acts on  $G$  by conjugation,

$$\begin{aligned} G \times G &\rightarrow G \\ (g, x) &\mapsto gxg^{-1} \\ ghx(gh)^{-1} &= g(hxh^{-1})g^{-1} \end{aligned}$$

is a left action.

**Example 1.16.8**

$G$  acts on the set of subgroups of  $G$  by conjugation.  $(g, H) = gHg^{-1}$ .

**Example 1.16.9**

$N \trianglelefteq G$ ,  $G$  acts on  $N$  by conjugation,  $(g, n) \mapsto gng^{-1}$ .

$G$  acts on  $G/N$  by conjugation,  $(g, xN) \mapsto gxg^{-1}N$ .

**1.17 Examples of Actions  $G$  on  $X$**

- (i)  $S_n$  acts on  $X = \{1, 2, \dots, n\}$
- (ii)  $G \times G \rightarrow G$ ,  $(g, x) \mapsto gx$
- (iii)  $H \leq G$ ,  $G/H =$  left cosets of  $H$  in  $G$

$$G \times G/H \rightarrow G/H, \quad (g, xh) \mapsto g \times H$$

- (iv)  $G \times G \rightarrow G$ ,  $(g, x) \mapsto gxg^{-1}$
- (v)  $N \triangleleft G$ ,  $G \times N \rightarrow N$ ,  $(g, x) \mapsto gxg^{-1}$

$$G \times G/N \rightarrow G/N, \quad (g, \hat{x}) \mapsto g\hat{x}g^{-1}, \quad \hat{x} = xN$$

(vi)  $G$  acts on {subgroups of  $G$ },  $(g, H) \mapsto gHg^{-1}$

(ii) (iii) left multiplication, (iv)-(vi) conjugation.

### Example 1.17.1

$G$  group,  $\text{Aut}(G)$  acts on  $G$ :  $(f, x) \mapsto f(x)$ .

$G$  = group of isometries of  $\mathbb{R}^n$  (bijective  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$ ), preserving distance,  $G$  acts on  $\mathbb{R}^n$ ,  $f \cdot x = f(x)$ .

## 1.18 Orbits

Let  $G$  acts on  $X$ .

### Definition 1.18.1

A subset  $S \subseteq X$  is stable under the action of  $G$  if  $\forall g \in G, \forall x \in S, g \cdot x \in S$ .

If  $S$  is stable under the action of  $G$  on  $X$ , then  $G$  acts on  $S$ .

### Definition 1.18.2

Let  $x \in X$ .

$$\mathcal{O}(x) = \{g \cdot x \mid \forall g \in G\}$$

$(Gx)$  - orbit of  $x$  (smallest  $S$  such that  $x \in S$  and  $S$  is  $G$ -stable).

### Lemma 1.18.3

Let  $X$  be a  $G$ -set. The relation (defn)  $x \sim y \iff \exists g \in G$  such that  $y = gx$  is an equivalence relation.

The equivalence class of  $x$  is  $\mathcal{O}(x) = \{y \in X \mid y \sim x\}$ .

### Corollary 1.18.4

Distinct orbits form a partition of  $X$ .

### Definition 1.18.5

The action is called transitive if  $\exists$  just one orbit.

### Example 1.18.6

$S_n$  acting on  $\{1, \dots, n\}$  is transitive.

For all  $i, j \in \{1, \dots, n\}$ , let  $\alpha = (i j)$ ,  $\alpha \cdot i = \alpha(j) = j$

### Example 1.18.7

$G \times G \rightarrow G$ ,  $(g, x) \mapsto gx$  is transitive

### Example 1.18.8

$G \times G/H \rightarrow G/H$ ,  $(g, xH) \mapsto gxH$  is transitive

### Example 1.18.9

$G \times G \rightarrow G$ ,  $(g, x) \mapsto gxg^{-1}$

Orbits - conjugacy classes

$$\mathcal{O}(x) = \{gxg^{-1} \mid g \in G\} \quad \text{- conjugation of } x$$

Remark:

- $\mathcal{O}(x) = \{x\} \implies x \in Z(G)$ .

$$\begin{aligned}\mathcal{O}(x) &= \{gxg^{-1} \mid g \in G\} = \{x\} \\ &\iff gxg^{-1} = x \quad \forall g \in G \\ &\iff gx = xg \quad \forall g \in G \\ &\iff x \in Z(G)\end{aligned}$$

- This is not a transitive action if  $G \neq \{1\}$ .
- Conjugacy classes in  $GL_n(\mathbb{F}) = \underline{\text{similarity classes}}$
- A subset  $S$  is  $G$ -stable  $\iff S$  is a union of orbits.  
A subgroup  $H$  of  $G$  is normal  $\iff H$  is stable under the action by conjugation:

$$G \times G \rightarrow G, \quad (g, x) \mapsto gxg^{-1}$$

$\implies H$  is normal in  $G \iff H$  is a union of conjugacy classes.

## 1.19 Stabilisers

$G$  acts on  $X$ ,  $x \in X$ , the stabiliser of  $x$  is

$$\text{Stab}(x) = G_x = \{g \in G \mid gx = x\} \subseteq G$$

and is a subgroup of  $G$ .

$$\begin{aligned}g \in G_x &\implies g^{-1} \in G_x : gx = x \iff x = g^{-1}x \\ g, h \in G_x &\implies gh \in G_x : (gh)x = g \cdot (hx) = gx = x\end{aligned}$$

### Example 1.19.1

$S_n$  acts  $X = \{1, \dots, n\}$ .

$$i \in X : G_i = \{\alpha \in S_n \mid \alpha(i) = i\} = \text{permutations of } X \setminus \{i\} \cong S_{n-1}$$

### Example 1.19.2

$H \leq G$ ,  $H \times G \rightarrow G$ ,  $(h, x) = hx$ .  $x \in G$ ,  $G_x = \{h \in H \mid hx = x\} = \{1\}$ .

### Example 1.19.3

$$\begin{aligned}G_{xH} &= \{g \in G \mid gxH = xH\} \\ &= \{g \in G \mid x^{-1}gx \in H\} \\ &= xHx^{-1}\end{aligned}$$

### Example 1.19.4

$G \times G \rightarrow G$ ,  $(g, x) \mapsto gxg^{-1}$ ,

$$G_x = \{g \in G \mid gxg^{-1} = x\} = \{g \in G \mid gx = xg\}$$

This is the centraliser of  $x$

$$C_G(x) := \{g \in G \mid gx = xg\} = N_G(x)$$

**Definition 1.19.5**

$A \subseteq G$  subset. The centraliser of  $A$  is

$$C_G(A) = \{g \in G \mid ga = ag \quad \forall a \in A\}$$

Recall:  $N_G(A) = \{g \in G \mid gAg^{-1} = A\}$

Remark:

- $Z(G) \subseteq C_G(A) \subseteq N_G(A)$ .
- $Z(G) = \bigcap_{x \in G} C_G(x)$

**Example 1.19.6**

$G =$  group of isometries of  $\mathbb{R}^n$ ,  $G$  acts on  $\mathbb{R}^n$ . Stabiliser of  $0 \in \mathbb{R}^n$ ,  $G_0 = O_n(\mathbb{R})$

$$H \triangleleft G \iff N_G(H) = G.$$

**Example 1.19.7**

$G$  acts on  $\{\text{subgroups of } G\}$ ,  $(g, H) \mapsto gHg^{-1}$ .

$$G_H = \{g \in G \mid gHg^{-1} = H\} = N_G(H)$$

Let  $T \subseteq G$  be the subgroup

$$T = \{t_v \mid t_v(x) = x + v\} \quad - \text{ translation by } v$$

Exercise:  $T \triangleleft G$ ,  $G \cong T \rtimes O_n(\mathbb{R})$ .

Remark:

- $O_n(\mathbb{R}) \not\triangleleft G$ :  $f \in O_n(\mathbb{R})$ .  $f(x) = Ax$ ,  $A \in O_n(\mathbb{R})$ . Note that  $t_v^{-1} = t_{-v}$ ,

$$t_v \circ f \circ t_v^{-1}(x) = A(x - v) + v = Ax + v - Av \neq Ax$$

$\implies t_v \circ f \circ t_v^{-1} \neq f$  in general.

- $G_x \not\triangleleft G$  in general for  $G$  acting on  $X$ .  $G_{xy} = xG_yx^{-1}$ .  
 $g \in G_{xy} \iff g(xy) = xy \iff (x^{-1}gx)y = y \iff x^{-1}gx \in G_y$ .
- 

$$\bigcap_{x \in G} G_x = \ker(G \rightarrow S_x) = \{g \in G \mid t_g = Id_x, gx = x \quad \forall x \in X\}$$

$G$  acts on  $X$  faithfully  $\iff \bigcap_{x \in G} G_x = \{1\}$ .

**Theorem 1.19.8**

$G$  a group,  $H \leq G$ .  $G/H =$  left cosets of  $H$  in  $G$ .  $G$  acts on  $G/H$  by  $(g, xH) = gxH$ . Let  $\pi_H : G \rightarrow S_{G/H}$  be the associated permutation representation. Then:

- (i)  $G$  acts transitively on  $G/H$
- (ii)  $G_H = H$
- (iii)  $\ker(\pi_H) = \bigcap_{x \in G} xHx^{-1}$  is the largest normal subgroup of  $G$  contained in  $H$ .

**Proof**

- (i) Already proved
- (ii) Recall  $G_{xH} = xHx^{-1} \implies G_H = H$
- (iii) Remark  $\implies \ker(\pi_H) = \bigcap_{x \in G} G_{xH} = \bigcap_{x \in G} (xHx^{-1}) \implies$  normal in  $G$  (kernel of  $\pi$ ).  
Let  $N \triangleleft G$ ,  $N \subseteq H$ . Prove  $N \subseteq \ker(\pi_H) = \bigcap_{x \in G} xHx^{-1}$ . Show  $\forall x \in G, N \subseteq xHx^{-1}$ .  
But  $x^{-1}Nx \subseteq N \subseteq H \implies N \subseteq xHx^{-1} \forall x \in G$ .  
normal

□

Remark:

- If  $H$  contains no non-trivial normal subgroup of  $G$ , then

$$\pi_H : G \rightarrow S_{G/H} \text{ is injective.}$$

Cayley's theorem:  $H = \{1\} : G \rightarrow S_G$  is injective. For example if  $G$  is simple.

- If  $|G|$  does not divide  $|G : H|!$ , then  $G$  cannot be simple.

**Example 1.19.9**

$|G| = 99$ ,  $H = \langle x \rangle$  for some  $x \in G$ ,  $\text{ord}(x) = 11$ .

$$\pi_H : G \rightarrow S_{G/H} = S_9, \quad 99 \nmid 9!$$

$\ker(\pi_H) \subseteq H$  (largest) normal subgroup of  $G$  contained in  $H$ .

$\implies \ker(\pi_H) \neq \{1\}$  (since  $99 \nmid 9!$ )

$\implies \ker(\pi_H) = H \triangleleft G$ .

**Corollary 1.19.10**

$G$  finite group of order  $n$ .  $p =$  smallest prime such that  $p|n$ . Then  $\forall H \leq G$ ,  $|G : H| = p$  is normal.

**Proof**

$\pi_H : G \rightarrow S_{G/H} \cong S_p$ . Let  $K = \ker \pi_H$ .

By theorem,  $K \triangleleft G$ ,  $K \subseteq H$ , so have  $K \subseteq H \subseteq G$ .  $|G : K| = |G : H||H : K|$ .

Let  $k = |H : K|$ . Then  $|G : K| = pk$ .

$K = \ker \pi_H \implies G/K \rightarrow S_p$  is injective,  $\implies pk = |G/K|$  divides  $p! \implies k|(p-1)!$ .  $k = |H : K|$  divides  $|H|$ ,  $|G| = n$ .  $p =$  smallest prime dividing  $n \implies k = 1$  or  $\exists q$  prime  $q|k$ .

But  $k|(p-1)! \implies k = 1 \implies K = H \triangleleft G$ . □

**Theorem 1.19.11**

Let  $G$  act on  $X$ ,  $x \in X$ . Then  $\exists$  bijection

$$\begin{aligned} f : \mathcal{O}(x) &\rightarrow G/G_x \\ f(g \cdot x) &= gG_x \end{aligned}$$

In particular,  $|\mathcal{O}(x)| = |G : G_x|$ .

**Proof**

$f$  surjective: Clear.

$f$  injective:

$$gG_x = hG_x \iff g^{-1}h \in G_x \iff (g^{-1}h) \cdot x = x \iff h \cdot x = g \cdot x.$$

□

**Corollary 1.19.12**

If  $|G| < \infty$ , then  $|\mathcal{O}(x)| = \frac{|G|}{|G_x|}$  so  $|G| = |\mathcal{O}(x)||G_x|$ .

**Corollary 1.19.13**

- The number of conjugates  $gxg^{-1}$  of  $x \in G$  is  $|G : C_g(x)|$ .
- The number of conjugates  $gHg^{-1}$  of  $H \leq G$  is  $|G : N_G(H)|$ .

Recall: Disjoint orbits form a partition of  $X$  for any action  $G$  on  $X$ .

Consequence:  $G$  acts on  $X$ ,  $G$  finite,

$$|X| = \sum_{\substack{\text{disjoint} \\ \text{orbits}}} |\text{orbit } \mathcal{O}(x)| = \sum_{\substack{\text{disjoint} \\ \text{rep } x}} \frac{|G|}{|G_x|}.$$

**The Class Equation**

$$|G| = \sum_{\substack{\text{disjoint} \\ \text{rep } x}} |G : C_g(x)| = |Z(G)| + \sum_{\substack{\text{rep. } y \\ \text{of order } > 1}} |G : C_g(y)|$$

Use:

$$\begin{aligned} \mathcal{O}(x) = \{x\} &\iff z \in Z(G) \\ \mathcal{O}(x) = \{x\} &\iff C_G(x) \text{ is a proper subgroup of } G \end{aligned}$$

**Theorem 1.19.14 (Cauchy's Theorem)**

If the prime  $p$  divides  $|G| < \infty$  then  $G$  contains an element of order  $p$

**Proof**

Induction on  $|G|$ :

If  $\exists y \notin Z(G)$  such that  $p \nmid |G : C_g(y)|$  then  $p \mid |C_G(y)|$ .

$y \notin Z(G) \iff C_g(y) < G$  proper subgroup.

If  $p \mid |C_g(y)|$  and  $y \notin Z(G)$ , done by induction. □

Claim: Cauchy's Theorem is true for abelian groups  $Z$ .

**Proof**

Induction on  $|Z|$ :

Enough to find  $z \in Z$  such that  $p \mid \text{ord}(x)$ .

If  $\text{ord}(x) = pk$ , then  $\text{ord}(x^k) = p$ .

Pick any non-trivial  $x \in Z$ . If  $p \mid \text{ord}(x)$ , done.

Assume  $p \nmid \text{ord}(x)$ . Then  $p \mid |Z/\langle x \rangle|$ . By induction,  $\exists \hat{y} \in Z/\langle x \rangle \ni \text{ord}(\hat{y}) = p$ .

Let  $l = \text{ord}(y)$ , then  $y^l = 1 \implies \hat{y}^l = 1 \implies p \mid l$ . □

**Theorem 1.19.15**

If  $|G| = p^n$ , then  $Z(G)$  is non-trivial.

**Proof**

If  $y \notin Z(G)$ , then

$$C_g(y) < G \implies p \mid |G : C_g(y)| = \frac{|G|}{|C_g(y)|}.$$

By class equation,  $p \mid |Z(G)|$ . □

## 1.20 Presentations

### Definition 1.20.1

If  $\alpha = \begin{bmatrix} 1 & \cdots & n \\ \alpha(1) & \cdots & \alpha(n) \end{bmatrix}$ , then the pair  $(i, j)$  is an inversion of  $\alpha$  if  $\alpha(i) > \alpha(j)$  when  $i < j$ .

$\alpha$  is even (odd) if the number of inversions of  $\alpha$  is even (odd).

Define

$$\text{sgn}(\alpha) \text{ or } \varepsilon(\alpha) = \begin{cases} 1 & \text{if } \alpha \text{ is even} \\ -1 & \text{if } \alpha \text{ is odd} \end{cases}$$

### Example 1.20.2

$$\alpha = \begin{bmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 3 & 5 & 1 & 4 & 2 & 6 \end{bmatrix}$$

# inversions = # crossings.

### Proposition 1.20.3

$\varepsilon : S_n \rightarrow \{\pm\}$  is a group homomorphism.

### Proof

Let  $x_1, \dots, x_n$  be variables. For every polynomial  $Q$  in  $x_1, \dots, x_n$  and  $\alpha \in S_n$ , define:

$$\alpha Q := \text{polynomial obtained from } Q \text{ by } x_i \mapsto x_{\alpha(i)}$$

Let  $P = (x_2 - x_1)(x_3 - x_1) \cdots (x_n - x_1)(x_3 - x_2) \cdots (x_n - x_{n-1})$

Claim 1:  $\alpha(P) = \varepsilon(\alpha)P$

Claim 2:  $\beta(\alpha(P)) = (\beta\alpha)(P)$

$$\begin{aligned} \beta(\alpha(P)) &: x_i \mapsto x_{\alpha(i)} \\ &x_k \mapsto x_{\beta(k)} \quad \forall k \end{aligned}$$

which is  $(\beta\alpha)(P)$ . ■

Thus,

$$\begin{aligned} \varepsilon(\beta\alpha)(P) &= (\beta\alpha)(P) = \beta(\alpha(P)) = \beta(\varepsilon(\alpha)P) = \varepsilon(\alpha)\beta(P) = \varepsilon(\alpha)\varepsilon(\beta)(P) \\ \implies \varepsilon(\beta\alpha) &= \varepsilon(\beta)\varepsilon(\alpha) \end{aligned}$$

□

### Definition 1.20.4

$A_n = \ker(\varepsilon)$ .

So  $A_n \triangleleft S_n$ . In fact,  $|S_n : A_n| = 2$  for  $n \geq 2$  by first isomorphism theorem since  $\varepsilon$  is surjective.

Exercise:  $\varepsilon(ij) = -1$ .

### Theorem 1.20.5

Every  $\alpha \in S_n$  is a product of disjoint cycles and is unique upto reordering.

### Proof

Let  $\alpha \in S_n$ . Let  $H = \langle \alpha \rangle \leq S_n$ . Consider the action  $H$  on  $X = \{x_1, \dots, x_n\}$ .

Then the orbits have the form  $\mathcal{O}(i) = \{i, \alpha(i), \dots, \alpha^{r-1}(i)\}$  where  $r = \min\{k \mid \alpha^k(i) = i\}$ .

Note that  $|\mathcal{O}(i)| = r$ . To  $\mathcal{O}(i)$ , associate  $\gamma$  by  $\gamma_i(i, \alpha(i), \alpha^2(i), \dots, \alpha^{r-1}(i))$ . Then  $\forall x \in \mathcal{O}(i), \alpha(x) = \gamma_i(x)$ .

Let  $\mathcal{O}_1, \mathcal{O}_2, \dots, \mathcal{O}_m$  be all distinct orbits of  $H$  acting on  $X$ . Then

$$X = \bigsqcup_{j=1}^m \mathcal{O}_j$$

Note that  $\gamma_1, \dots, \gamma_m$  are disjoint cycles and  $\text{length}(\gamma_i) = |\mathcal{O}_i|$ .

**Claim:**  $\alpha = \gamma_1 \cdots \gamma_m$

**Proof of Claim:**

Let  $i \in \mathcal{O}_k$ . Then  $\alpha(i) = \gamma_k(i) = \gamma_1 \cdots \gamma_m(i)$ . ■

Uniqueness: Any decomposition  $\alpha = \gamma_1 \cdots \gamma_m$ , disjoint cycles gives a partition of  $X$  into orbits for  $H = \langle \alpha \rangle$  acting on  $X$ . □

### Corollary 1.20.6

$\forall \alpha \in S_n$ ,  $\alpha$  is a product of transpositions.

### Corollary 1.20.7

$A_n$ ,  $n \geq 3$ , then  $A_n$  is generated by 3-cycles.

### Proof

$\forall \alpha \in A_n$ ,  $\alpha$  is a product of an even number of transpositions. Any  $(i j)(k l)$  is a product of 3-cycles.

$$(i j)(k l) = \begin{cases} (i j k) & \text{if } k = j \\ (i j k)(j k l) & \text{if } \{i, j, k, l\} \\ 1 & \text{if } \{i, j\} = \{k, l\} \end{cases}$$

□

## 1.21 Conjugacy Classes in $S_n$

Remark: If  $\alpha \in S_n$ , then  $\alpha(a_1 \dots a_k)\alpha^{-1} = (\alpha(a_1) \alpha(a_2) \dots \alpha(a_k))$ .

### Lemma 1.21.1

Any two  $k$ -cycles are conjugate.

### Proof

Let  $u = (a_1 \dots a_k)$  and  $v = (b_1 \dots b_k)$ .

Define  $\alpha \in S_n$  by  $\alpha(a_i) = b_i \forall i$  and send  $\alpha(x)$  to something not  $b_i$  for each  $x \neq a_i$ , no repeating. □

### Definition 1.21.2

A partition of  $n$  is a sequence of integers  $1 \leq n_1 \leq n_2 \leq \dots \leq n_k \leq n$  such that  $n_1 + \dots + n_k = n$ . If  $\alpha = \gamma_1 \cdots \gamma_m$  disjoint cycles then this gives a partition of  $n = \sum_{i=1}^m \text{length}(\gamma_i)$ .

### Proposition 1.21.3

Two elements  $\alpha, \beta \in S_n$  are conjugate iff  $\alpha$  and  $\beta$  define the same partition of  $n$ .

### Proof

$\alpha = \gamma_1 \cdots \gamma_m, \beta = \gamma'_1 \cdots \gamma'_m, \text{length}(\gamma_i) = \text{length}(\gamma'_i)$ . □

Application: Homework Q5-6.

$|G| = 2k, k \text{ odd} \implies \exists H \leq G, |G : H| = 2.$

$G \xrightarrow{\pi} S_G$  by left multiplication  $g \mapsto (x \mapsto gx) = \sigma_g.$

Claim:  $\text{ord}(g) = 2 \implies \pi(g) = \text{product of } k \text{ disjoint transpositions.}$

Remark: If  $g \neq 1 \implies gg_i \neq g_i, \text{ord}(g) = 2 \implies (\sigma_g)^2 = 1 \implies \text{ord}(\sigma_g) = 2.$

$\sigma_g$  is a product of disjoint transpositions (product of  $(g_i, gg_i)$ ).

$G \xrightarrow{\pi} S_{2k}, \pi(G) \not\subseteq A_{2k}. \text{ Let } H = \pi^{-1}.$

$G/H \rightarrow S_{2k}/A_{2k}. G \xrightarrow{f} G', 1 \rightarrow G/f^{-1}(H') \rightarrow G' \rightarrow G'/H' \rightarrow 1.$

### Corollary 1.21.4

$Z(S_n) = \{1\}.$

#### Proof

$G$  acts on  $G, g \mapsto (x \mapsto gxg^{-1}).$

Orbits

$$\mathcal{O}(x) = \{gxg^{-1} \mid g \in G\}, \quad \mathcal{O}(x) = \{x\} \iff x \in Z(G)$$

$\alpha \in S_n$  is an  $Z(S_n) \iff \# \text{of conjugacy class of } \alpha = 1, \text{ only } \alpha = 1.$  □

Application: For  $\alpha \in S_n, \alpha = m\text{-cycle } (a_1, \dots, a_m), (m \leq n).$

Compute (i) # of conjugates of  $\alpha, (ii) C_{S_n}(\alpha).$

(i) # of conjugates of  $\alpha = \#m\text{-cycles in } S_n = \frac{n \cdot (n-1) \cdots (n-m+1)}{m}$

(ii)  $C_G(x) = \text{stabiliser } G_x \text{ for } G \text{ acting on } G \text{ by conjugation, } |G| = |\mathcal{O}(x)||G_x|$

$$|C_{S_n}(\alpha)| = \frac{|S_n|}{\# \text{ conjugates of } \alpha} = \frac{n!}{\frac{n!}{(n-m)!m}} = m(n-m)!$$

### Corollary 1.21.5

If  $\alpha = (a_1 \cdots a_m)$  an  $m$ -cycle, then

$$C_{S_n}(\alpha) = \{\alpha^i \beta \mid 0 \leq i < m, \beta \in S_X\}$$

where  $X = \{1 \cdots m\} \setminus \{a_1 \cdots a_m\}.$

#### Proof

Clearly,  $\alpha^i \beta \in C_{S_n}(\alpha), \forall i, \beta \in S_n.$

Count: # elements in RHS = internal direct product of  $\langle \alpha \rangle, S_X$

$\implies \# \text{ elements in RHS} = (n-m)!m.$  □

## 1.22 Conjugacy Classes in $A_n$

In  $S_4 := \{Id, \{(i j)\}, \{(i j k)\}, \{(i j k l), (i j)(k l)\}\}$

In  $A_4: \{Id, \{(1 2 3), (1 4 2), (1 3 4), (2 4 3)\}, \{(1 3 2), (1 2 4), (1 4 3), (2 3 4)\}$  and  $\{(i j)(k l)\}.$

In  $A_5$ :  $\{Id\}, \{(i j k)\}, \{(ij)(kl)\}, \{(1 2 3 4 5), \dots\}, \{(1 5 4 3 2), \dots\}$

Claim:  $\alpha$  3-cycle, # conjugates of  $\alpha$  in  $A_5 = 20$ :

$$\# \text{ conjugates of } \alpha = \frac{|G|}{|C_G(\alpha)|} = \frac{|A_5|}{|C_G(\alpha)|} = \frac{60}{|C_G(\alpha)|}$$

**Proof**

$\langle \alpha \rangle = C_G(\alpha)$ , so  $|C_G(\alpha)| = 3$ .

Let  $\beta \in C_G(\alpha)$ , then  $\beta\alpha\beta^{-1} = \alpha$ .

Let  $\alpha = (i j k)$ , then  $\beta(i j k)\beta^{-1} = (\beta(i)\beta(j)\beta(k))$

$$(\beta(i)\beta(j)\beta(k)) = (i j k) \iff \beta = Id \quad \text{or} \quad \beta = (i j k)(= \alpha) \quad \text{or} \quad \beta = (i k j)(= \alpha^2)$$

□

**Example 1.22.1**

$\alpha$  =5-cycle  $\implies$  # conjugates of  $\alpha = \frac{60}{5} = 12$

# 5-cycles in  $S_5 = 4! = 24$ .

**Example 1.22.2**

$(1 2 3 4 5), (2 1 3 4 5)$  are not conjugate in  $A_5$ .

**Corollary 1.22.3**

$A_5$  is simple.

**Proof**

If  $N \triangleleft A_5$ , then  $H =$  union of conjugacy classes. No pair of  $\{1, 20, 15, 12, 12\}$  sums to a divisor of 60. □

**Theorem 1.22.4**

$A_n$  is simple for all  $n \geq 5$ .

**Proof**

We will take the following steps:

1.  $A_n$  is generated by 3-cycles
2. If  $H \triangleleft A$  and  $H$  contains a 3-cycle, then  $H$  contains all 3-cycles.
3.  $\forall H \leq A_n, n \geq 5$ , non-trivial,  $H$  contains a 3-cycle

2. Let  $\gamma = (i j k) \in H'$ . Let  $\beta = (a b c), \exists \alpha \in S_n, \alpha\gamma\alpha^{-1} = \beta$ .

If  $\alpha \in A_n$ , done (since  $H$  is normal).

Assume  $\alpha \notin A_n$ . Pick  $t =$  transposition in  $S_n$  such that  $t$  disjoint from  $\beta, (n \geq 5)$ .

Then  $t\alpha \in A_n$  and  $\beta = t\beta t^{-1} = t(\alpha\gamma\alpha^{-1})t^{-1} = (t\alpha)\gamma(t\alpha)^{-1}$ .

3. Let  $H \triangleleft A_n, H \neq \{1\}$ . Let  $\alpha \in H, \alpha \neq 1$  not a 3-cycle. Then  $\alpha$  has one of the following forms:

- (i)  $\alpha = (i_1 i_2 i_3 \dots)(\dots)$
- (ii)  $\alpha = (i_1 i_2)(i_3 i_4) \dots$  disjoint

Construct  $\alpha' \in H$  such that  $\alpha'$  fixes more elements from  $\{1, \dots, n\}$  than  $\alpha$ .

Case (i)  $\alpha \neq (i_1 i_2 i_3)(i_1 i_2 i_3 i_4)$  (not in  $A_4$ )

$\implies \alpha$  moves two numbers other than  $i_1, i_2, i_3$ .

Let  $\gamma = (i_3 i_4 i_5) \in A_n$ .  
 Let  $\alpha_1 = \gamma\alpha\gamma^{-1} \in H$ , ( $H \triangleleft A_n$ ).  
 Let  $\alpha' = \alpha_1\alpha^{-1}$ . Then  $\alpha' \in H$ .

**Claim:**  $\alpha'$  fixes  $i_2$  and all elements fixed by  $\alpha$ , ( $u \neq i_1, \dots, i_5$ )

**Proof of Claim:**

$$\begin{aligned}\alpha'(i_2) &= \gamma\alpha\gamma^{-1}\alpha^{-1}(i_2) = \gamma\alpha\gamma^{-1}(i_1) \\ &= \gamma\alpha(i_1) = \gamma(i_2) = i_2 \\ \gamma'(u) &= \gamma\alpha\gamma^{-1}\alpha^{-1}(u) = \gamma\alpha(u) = \gamma(u) = u\end{aligned}\quad \blacksquare$$

Case 2: Form  $\gamma, \alpha_1, \alpha'$  as before with  $i_4$  as in  $u$  and  $i_5 \neq i_1, \dots, i_4$ .

**Claim:**  $\alpha' = (\gamma\alpha\gamma^{-1}\alpha^{-1})$  fixes  $i_1, i_2$ , and  $u \neq i_1, \dots, i_5$

**Proof of Claim:**

Omitted. \(\square\)

### Corollary 1.22.5

$Z(A_n) = \{1\}$  if  $n \geq 5$ .

### Corollary 1.22.6

If  $n \geq 5$ , then the only normal subgroups of  $S_n$  are  $\{1\}$ ,  $A_n$  and  $S_n$ .

Remark:  $H$  = Klein subgroup in  $A_n$ ,  $H$  characteristic in  $A_4$ .  $H$  characteristic in  $K$ ,  $K \triangleleft G \implies H \triangleleft G \mid H \triangleleft S_4$ .

### Proof Corollary

Let  $H \triangleleft S_n$ ,  $H \neq \{1\}$  or  $S_n$ . Then  $H \cap A_n \triangleleft A_n$ .

Theorem  $\implies H \cap A_n$  is  $\{1\}$  or  $A_n$ . If  $H \cap A_n = A_n \implies A_n \leq H$ .

$\implies H = A_n$  (since  $|S_n : A_n| = 2$ ).

If  $H \cap A_n = \{1\}$ ,  $S_n \supseteq H \xrightarrow{f} S_n/A_n \cong C_2$ .

Then  $H \cap A_n = \{1\} \iff \ker f = \{1\}$ .

$\implies \exists$  injective map  $f : H \rightarrow C_2 \implies |H| = 2$ .

If  $H \neq \{1\}$ ,  $|H| = 2 \implies \alpha \in H$  with  $|\alpha| = 2 \implies (a_1 a_2) = \alpha$  not normal. \(\square\)

## 1.23 More Actions

$G$  acts on subgroups of  $G$  by conjugation:  $(x, H) \mapsto xHx^{-1}$ .

Remark:  $\psi_x : G \rightarrow G$ ,  $\psi_{x|_H} : H \mapsto xHx^{-1}$  is an isomorphism.  $|H| = |xHx^{-1}|$ .

Stabiliser  $G_H = \{x \in G \mid xHx^{-1} = H\} = N_G(H)$

$H \subseteq N_G(H) \subseteq G$ ,  $N_G(H)$  is the largest subgroup of  $G$  in which  $H$  is normal.

Fact: # conjugates of  $H = |G : N_G(H)|$ . ( $|\mathcal{O}(x)| = |G : G_x|$ )

### Example 1.23.1

$G = S_p$ ,  $p$  prime.

If  $H_p$  of order  $p$ , ( $H = \langle \alpha \rangle$ ,  $\alpha = p$ -cycle), then  $|N_{S_p}(H)| = p(p-1)$ .

**Proof**

$$|N_{S_p}(H)| = \frac{|S_p|}{\# \text{ conjugates of } H}.$$

$$\# \text{ conjugates of } H = \frac{\# \text{ } p\text{-cycles in } S_p}{\# \text{ } p\text{-cycles in } H} = \frac{(p-1)!}{p-1} = (p-2)!$$

So

$$\frac{p!}{(p-2)!} = p(p-1) = |N_{S_p}(H)|.$$

□

Remark:  $H = \langle \alpha \rangle$ ,  $\alpha = p$ -cycle,  $H \leq C_{S_p}(H) \leq N_{S_p}(H)$ .

Recall:  $|C_{S_n}(\alpha)| = (n-m)!m$ .

In particular, if  $\alpha = p$ -cyclic in  $S_p$ , then  $C_{S_p}(\alpha) = \langle \alpha \rangle$ . So

$$|N_{S_p}(\langle \alpha \rangle)/C_{S_p}(\langle \alpha \rangle)| = p-1.$$

If  $H \triangleleft G$ , then  $G$  acts on  $H$  by conjugation  $(g, x) \mapsto gxg^{-1}$ . Associated presentation representation:  $\varphi : G \rightarrow \text{Aut}(H)$ ,  $\varphi(g) = \psi_{g|_H} : H \rightarrow H$ .

**Proposition 1.23.2**

$$\ker(\varphi) = C_G(H).$$

**Proof**

$$\ker(\varphi) = \{g \in G \mid gxg^{-1} = x \quad \forall x \in H\} = C_G(H).$$

□

**Corollary 1.23.3**

$G/C_G(H)$  is isomorphic to a subgroup of  $\text{Aut}(H)$ . In particular,

$$N_G(H)/C_G(H) \leq \text{Aut}(H).$$

Remark: If  $H = G$ ,  $C_G(G) = Z(G) \implies G/Z(G) \leq \text{Aut}(G)$ . This is  $\text{Inn}(G)$ .

**Example 1.23.4**

$S_p$ ,  $p$  prime,  $\alpha = p$ -cycle.

$$N_{S_p}(\langle \alpha \rangle)/C_{S_p}(\langle \alpha \rangle) \cong \text{Aut}(\langle \alpha \rangle) = \text{Aut}(C_p) \cong C_{p-1}$$

**Example 1.23.5**

$$|G| = 203 = 7 \cdot 29.$$

Assume  $G$  has a normal subgroup  $H$ ,  $|H| = 7$ . Then

- $H \subseteq Z(G)$
- $G$  is abelian.

**Proof**

Let  $\varphi : G \rightarrow \text{Aut}(H)$  as before. Then  $\varphi$  must be trivial since  $(203, 6) = 1$ .  $\implies \ker \varphi = G$ , but  $\ker \varphi = C_G(H)$ . So  $H \subseteq Z(G)$ .

Want to prove:  $G = C_7 \times C_{29}$ .

$K \leq G$ ,  $|K| = 29$ , by Cauchy's theorem we have  $H \triangleleft G$ ,  $|H| = 7$ .

$K \triangleleft G$  since  $|G : K| = 7$ , smallest prime. Then  $G = H \times K$ .

□

**Example 1.23.6**

$G = D_{4n} = \langle r, s \rangle, |r| = 2n.$

Let  $H = \langle r^2, s \rangle = D_{2n}$ . Then  $\varphi : G \rightarrow \text{Aut}(H)$  induces a map  $G/C_G(H) \rightarrow \text{Aut}(H)$ .

$C_G(H) = \{1, r^n\} \subseteq G$

$\implies D_{4n}/\langle r^n \rangle \cong D_{2n}$  is isomorphic to a subgroup of  $\text{Aut}(D_{2n})$ .

$|\text{Aut}(D_{2n})| = \phi(n)n, (n \geq 3).$

For  $n = 4, D_8 \cong |\text{Aut}(D_8)|$ .

**Definition 1.23.7**

- If  $|G| = p^\alpha$  ( $\alpha > 0, p$  prime), then  $G$  is a  $p$ -group
- If  $H \leq G, |H| = p^\alpha$ , then  $H$  is a  $p$ -subgroup
- $|G| = p^\alpha m, (p \nmid m)$ , if  $H \leq G, |H| = p^\alpha, H$  is a Sylow  $p$ -subgroup
- $\text{Syl}_p(G) = \{\text{Sylow } p\text{-subgroups}\}, n_p := |\text{Syl}_p(G)|$ .

**1.24 Sylow's Theorems****Theorem 1.24.1 Sylow 1**

$p$  prime,  $|G| = p^\alpha m$  ( $p \nmid m, \alpha > 0$ ). Then  $\text{Syl}_p(G) \neq \emptyset, (\exists H \leq G, |H| = p^\alpha)$ .

**Theorem 1.24.2 Sylow 2**

- (i) Any two Sylow  $p$ -subgroups are conjugate
- (ii) Any  $p$ -subgroup of  $G$  is contained in a Sylow  $p$ -subgroup

**Theorem 1.24.3 Sylow 3**

- (i)  $n_p \equiv 1 \pmod{p}$
- (ii)  $n_p | m$
- (iii)  $n_p = |G : N_G(P)|$  for any  $P \in \text{Syl}_p(G)$

**Corollary 1.24.4**

If  $P \in \text{Syl}_p(G)$ , then  $P \triangleleft G \iff n_p = 1$ . (Follows from 1.24.3 (iii))

(Thm 1.24.3 (ii) and  $|G : N_G(P)| = \#$  conjugates of  $P \implies$  Thm 1.24.3 (iii))

**Example 1.24.5**

$G$  finite group,  $|G| = p_1^{n_1} \cdots p_k^{n_k}$ .

If  $n_{p_i} = 1$  for all  $i$ , then  $G$  is the internal direct product of its Sylow  $p_i$ -subgroups.

**Example 1.24.6**

Groups of order  $pq, p, q$  distinct primes,  $p < q$ .

Theorem  $\implies n_p \equiv 1 \pmod{p}$  and  $n_p$  divides  $q \implies n_p = 1$  or  $q$   
 $n_q \equiv 1 \pmod{q}$  and  $n_q$  divides  $p \implies n_q = 1$

If  $n_p = 1$ ,  $G \cong C_p \times C_q \cong C_{pq}$ .  
 $n_p = q$ :  $n_p \equiv 1 \pmod{p} \iff p|q-1$ .

If  $p \nmid q-1$ ,  $\nexists$  non-cyclic group  $G$  with  $|G| = pq$  if  $p \nmid q-1$ . (exercise:  $|G| = 15 \implies G$  cyclic)

Assume  $n_p = q$ ,  $p|q-1$ . Let  $P \in \text{Syl}_p(G)$ ,  $Q \in \text{Syl}_q(G)$ . Then  $Q \triangleleft G$  and  $P \not\triangleleft G$  since  $n_p = 1 \iff P \triangleleft G$ .  
Exercise:  $G = PQ$ ,  $P \cap Q = \{1\} \implies G \cong Q \rtimes_{\varphi} P$  for some  $\varphi : P \rightarrow \text{Aut}(Q)$  non-trivial.

$\varphi : C_p \rightarrow \text{Aut}(C_q) \cong C_{q-1}$ .  
 $\varphi$ -nontrivial  $\implies \varphi$  injective. Since  $p|q-1$ ,  $\varphi(C_p)$  is the unique subgroup of order  $p$  in  $C_{q-1}$ .  
 $\implies Q \rtimes_{\varphi_1} P = Q \rtimes_{\varphi_2} P$ , where  $\varphi_1, \varphi_2$  nontrivial.

**Lemma 1.24.7**

$|G| = p^n m$ ,  $Q := p$ -subgroup of  $G$ ,  $P \in \text{Syl}_p(G)$ , then  $N_G(P) \cap Q = P \cap Q$ .

**Proof**

Later. □

**Proof of Sylow 3(ii)**

$P \subseteq N_G(P) \subseteq G \implies |G : N_G(P)| \mid |G : P|$ . □

**Proof of Sylow 1**

Remark: if  $\alpha = 0$ , then theorem holds.

Induction on  $|G|$ . Assume  $|G| > 1$ . Fix a prime  $p$ ,  $|G| = p^\alpha m$ ,  $\alpha > 0$ . Assume  $\text{Syl}_p(G) \neq \emptyset \quad \forall G'$ ,  $|G'| < |G|$ .

Case I:  $p \mid |Z(G)|$ .

By Cauchy's theorem for abelian groups,  $\exists H \leq Z(G)$ ,  $|H| = p$ .  $H \triangleleft G \implies$  apply induction to  $G/H$ :  
 $|G/H| p^{\alpha-1} m$ .  
 $\implies \exists \bar{K} \leq G/H$ ,  $|\bar{K}| = p^{\alpha-1}$ . Then  $\bar{K} = K/H$  for some  $H \subseteq K \subseteq G$ . Then  $|K| = |\bar{K}| |H| = p^\alpha$ .

Case II:  $p \nmid |Z(G)|$ .

By the class equation:

$$|G| = |Z(G)| + \sum |G : C_g(y)$$

where the summation is over  $y$  as conjugacy class rep for classes with  $> 1$  element.

$\implies \exists y$  such that  $p \nmid |G : C_g(y)|$ . So  $|C_g(y)| = p^\alpha k$  ( $k < m$ ).

By induction,  $|H| = p^\alpha$ ,  $H \leq C_g(y)$ . □

**Proof of Sylow 2, 3(i)**

$G$  acts on {subgroups of  $G$  of order  $l$ } by conjugation. In particular,  $G$  acts on  $\text{Syl}_p(G)$ .

Fix  $P \in \text{Syl}_p(G)$ . (By Sylow 1,  $\exists$  at least one)

Set

$$\mathcal{J} := \{P_1, \dots, P_r\} \quad \text{all disjoint conjugates of } P.$$

$G$  acts on  $\mathcal{J}$  transitively. If  $Q \leq G$ ,  $Q$  acts on  $\mathcal{J}$ , say. ( $Q$  arbitrary).

$$\mathcal{O}_1 = \{P_1, \dots\}, \mathcal{O}_2 = \{P_2, \dots\}, \dots, \mathcal{O}_s = \{P_s, \dots\} \quad 1 \leq s \leq r$$

Then:

- $r = |\mathcal{J}| = \sum_{i=1}^s |\mathcal{O}_i|$
- $|\mathcal{O}_i| = |Q_{P_i}|$
- $Q_{P_i} = \{x \in Q \mid xP_ix^{-1} = P_i\} = N_G(P_i) \cap Q$

Then if  $Q = P_1$ , lemma  $\implies Q_{P_i} = P_i \cap Q$ .

$$\implies r = \sum_{i=1}^s |P_1 : P_1 \cap P_i|. \begin{array}{l} \text{If } P_i = P_1 \implies |P_1 : P_1| = 1 \\ \text{If } P_i \neq P_1 \implies p \mid |P_1 : P_i \cap P_1| \end{array}$$

$$\implies r \equiv 1 \pmod p.$$

If  $Q = p$ -subgroup of  $G$ ,

**Claim:**  $Q \subseteq P_i$  for some  $i$ .

**Proof of Claim:**

(Claim  $\implies \mathcal{J} = \text{Syl}_p(G)$ ,  $P' \subseteq P_i \implies r = n_p \implies n_p \equiv 1 \pmod p$ )

$$r = \sum_{i=1}^s |Q : P_i \cap Q|.$$

Assume  $Q \not\subseteq P_i$  for all  $i$ . Then  $P_i \cap Q \neq Q$ , so

$$|Q : P_i \cap Q| = 1 \implies P \mid |Q : P_i \cap Q| \quad \forall i \implies p \mid r$$

Contradiction.  $\blacksquare$

□

Remark:  $H, K \leq G$ .  $H \subseteq N_G(K) \implies$

- $HK \leq G$
- $K \triangleleft HK$  and  $H \cap K \triangleleft H$  and  $HK/K \subseteq H/K \cap H$ .

### Proof of Lemma 1.24.7

Let  $H = N_G(P) \cap Q$ . Want to show  $H \subseteq P \cap Q$ .

Clearly,  $H \subseteq Q$ . We will show that  $P = PH$ . ( $\implies H \subseteq PH = P$ )

Enough to prove that  $PH$  is a  $p$ -subgroup.

Remark  $\implies |PH| = \frac{|P||H|}{|P \cap H|}$  (all  $p$ -subgroups).

□

### Example 1.24.8

Groups of order 30:  $30 = 2 \cdot 3 \cdot 5$

$n_5 \equiv 1 \pmod 5$ ,  $n_5 \mid 6 \implies n_5 = 1$  or  $6$ .

$n_3 \equiv 1 \pmod 3$ ,  $n_3 \mid 10 \implies n_3 = 1$  or  $10$ .

If  $n_5 = 6$  and  $n_3 = 10$ , then:  $\begin{array}{l} 24 \text{ elements of order } 5 \\ 20 \text{ elements of order } 3 \end{array}$ , cannot happen!

Let  $P \in \text{Syl}_5$ ,  $Q \in \text{Syl}_3$ . Either  $P \triangleleft G$  or  $Q \triangleleft G$ .

Let  $H := PQ$ , so  $H \leq G$ ,  $|H| = 15 \implies H = C_{15}$ ,  $H \triangleleft G$ .  $G$  has element of order 2  $\implies G \cong H \rtimes_{\varphi} C_2$ ,  $\varphi : C_2 \rightarrow \text{Aut}(C_{15}) = C_2 \times C_4$ .

$\implies$  4 such homomorphisms:

Have 4 non-isomorphic groups of order 30:  $C_{30}, D_{30}, C_5 \times S_3, C_3 \times D_{10}$ .

	$n_3$	$n_5$	$n_2$
$C_{30}$	1	1	1
$D_{30}$	1	1	15
$C_5 \times C_3$	1	1	3
$C_3 \times D_{10}$	1	1	5

## 1.25 Applications of Sylow's Theorem

### General Situation

$H \leq G$ ,  $k = |G : N_G(H)| = \# \text{ conjugates, } H_1, \dots, H_k, \text{ of } H$ .

Recall:  $G \xrightarrow{\varphi} S_k$  has  $\ker(\varphi) = \bigcap_{i=1}^k N_G(H_i)$ .

Remark: If  $G$  simple,  $k > 1$ ,  $(H \not\triangleleft G) \implies \ker(\varphi) = \{1\}$ .

$$\implies G \hookrightarrow S_k \implies |G|/k!$$

So if  $H \in \text{Syl}_p(G)$ , then  $k = n_p$ .

### Example 1.25.1 (Groups of order 12)

Sylow's Theorem  $\implies n_3 = 1 \text{ or } 4, n_4 = 1 \text{ or } 3$ . Let  $P \in \text{Syl}_3, Q \in \text{Syl}_2$ .

Assume  $P \not\triangleleft G$  ( $n_3 = 4$ )

$G$  acts on  $\text{Syl}_3 = \{P_1, \dots, P_4\}$ ,  $|P_i| = 3$

$\implies G \xrightarrow{\varphi} S_4$ ,  $\ker(\varphi) = \bigcap_{i=1}^4 N_G(P_i)$

$4 = n_3 = |G : N_G(P_i)| \implies |N_G(P_i)| = 3 \implies N_G(P_i) = P_i$

$\implies \ker(\varphi) = \bigcap_{i=1}^4 P_i = \{1\}$ , ( $P_i$ 's distinct, order 3).

$\implies G \leq S_4$ ,  $n_3 = 4 \implies 8$  elements of order 3.

Elements of order 3 in  $S_4$ :  $(ijk)$ , ( $\# = 8$ )

$\implies$  all 3-cycles in  $S_4$  are in  $G$ .

$\implies A_4 \subseteq G$  ( $A_4 =$  generates by 3-cycles)

$|G| = |A_4| = 12 \implies G = A_4$ .

Case:  $P \triangleleft G$  ( $n_3 = 1$ )

$P \triangleleft G$ ,  $|P| = 3$ ,  $Q \in \text{Syl}_2$ ,  $|Q| = 4$ .

$\implies G \cong P \rtimes_{\varphi} Q$ ,  $\varphi : Q \rightarrow \text{Aut}(P) \cong C_2$ , ( $P \cong C_3$ ).

$Q \triangleleft G \iff \varphi = \text{trivial}$ .

If  $n_2 = 1$ , ( $Q \triangleleft G$ ):  $G \cong P \times Q$ , have  $G \cong C_3 \times C_4$  or  $G \cong C_3 \times C_2 \times C_2$ .

Assume  $Q \not\triangleleft G$  ( $n_2 = 3$ ):  $\varphi \neq \text{trivial}$ .

Case  $Q \cong C_4$ :  $\exists! \varphi : C_4 \rightarrow C_2$  non-trivial

$\varphi(\hat{1}) = \hat{1}$ ,  $C_2 \cong \text{Aut}(C_3) = \{Id, x \mapsto -x\}$

$\implies G \cong C_3 \rtimes_{\varphi} C_4$  - Dicyclic group of order 12.

Case  $Q \cong C_2 \times C_2$ :  $\exists 3 \varphi : C_2 \times C_2 \rightarrow C_2$  non-trivial

$\implies$  isomorphic  $C_3 \rtimes_{\varphi} (C_2 \times C_2)$  since the image of  $\varphi = C_2$

Claim:  $G \cong C_2 \cong C_2 \times S_3$

-  $\exists$  normal subgroup of order 3

-  $\nexists$  element of order 4

### Example 1.25.2

$|G| = 60$ ,  $G$  simple  $\implies G \cong A_5$ .

### Proof

$G$  simple  $\implies n_p \neq 1 \forall p = 2, 3, 5$

$n_2 \neq 1, n_2 | 15 \implies n_2 = 3, 5 \text{ or } 15$ .

$$n_5 \neq 1, n_5 \equiv 1 \pmod{5}, n_5 | 6 \implies n_5 = 6.$$

$$G \text{ acts on } \text{Syl}_p \implies G \xrightarrow{\varphi} S_{n_p}$$

$$n_p \neq 1, G \text{ simple} \implies G \xrightarrow{\varphi} S_{n_p} \\ \implies n_2 \neq 3, |G| = 60 > |S_3|.$$

$$\text{Case } n_2 = 5: G \xrightarrow{\varphi} S_5$$

$$|G| = 60, G \leq S_5 \implies |S_5 : G| = 2 \implies G \triangleleft S_5 \\ \implies G = A_5.$$

This actually happens:  $\exists$  5 subgroups of order 4 (and not 15). All these subgroups are not cyclic, elements of order 2 in  $A_5$  looks like  $(ij)(kl)$  and there are 15 of them.  $\implies n_2 \neq 15$ .

Case  $n_2 = 15$ : If any  $P, Q \in \text{Syl}_2$  ( $P \neq Q$ ) have  $P \cap Q = \{1\}$ , then  $\exists 15 \cdot 3 = 45$  elements of order 2 or 4

$$n_5 = 6 \implies 6 \cdot 4 = 24 \text{ elements of order 5} \\ \implies 45 + 24 > 60 \\ \implies \exists P, Q \in \text{Syl}_2, |P \cap Q| = 2 \quad (|P| = |Q| = 4)$$

$$\text{Let } N = N_G(P \cap Q): P, Q \subseteq N, P \neq Q \implies 4 || N |, |N| > 4. |N| | 60 \implies |N| = 12, 20 \text{ or } 60.$$

Case  $|N| = 60$ :  $N = G \implies P \cap Q \triangleleft G$ . Contradiction,  $G$  is simple.

Case  $|N| = 20$ :  $|G : N| = 3 \implies \#$  conjugates of  $N$  is 3,  $N_1, N_2, N_3$ .  $G$  acts on  $\{N_1, N_2, N_3\}$ .  $\implies G \rightarrow S_3$  non-trivial  $\implies \ker \varphi = G, \{1\}$ . Contradiction.

Case  $|N| = 12$   $|G : N| = 5 \implies \exists 5$  conjugates for  $N, N_1, \dots, N_5$ .

$\implies G \xrightarrow{\varphi} S_5$  non-trivial  $\implies \ker \varphi = \{1\} \implies G \cong A_5, |S_5 : G| = 2$ . This case does not occur.  $\square$

## 1.26 Simple Groups of Order $\leq 200$

Find all  $n \leq 200$  such that  $\exists G, |G| = n, G$  simple. ( $n \neq p$  prime)

Remark:  $|G| < \infty, G$  abelian.  $G$  simple  $\iff G \cong C_p, p$  prime.

Step 0: Sylow's Theorem. If  $n_p = 1$  then  $G$  is not simple:

$|G| = p^\alpha m, p \nmid m, m > 1$  - all  $P \in \text{Syl}_p$  is normal  $|G| = p^\alpha, \alpha > 1$  -  $Z(G) \neq \{1\}, Z(G) \triangleleft G \implies G$  not simple

This rules out everything except 12, 24, 30, 36, 48, 56, 60, 72, 80, 90, 96, 105, 108, 112, 120, 132, 144, 150, 160, 168, 180, 192.

For example:  $189 = 9 \cdot 21 = 27 \cdot 7$

$$n_7 | 27, n_7 \equiv 1 \pmod{7} \implies n_7 = 1.$$

Step 1 If  $G$  acts on  $X$  of size  $n$  in a nontrivial way, then  $f : G \rightarrow S_n$  nontrivial map.

$\ker f \triangleleft G$  and  $\ker f = \{1\}$ , i.e.  $f$  injective  $\implies |G|$  divides  $n!$ .

In particular, if  $X = \text{Syl}_p(G), |X| = n_p, |G| | n_p!$  for all  $p || G|$ .

### Example 1.26.1

$$|G| = 72 = 2^3 \cdot 3^2, n_3 | 8, n_3 \equiv 1 \pmod{3} \implies n_3 = 4, \text{ but } 72 \nmid 4!.$$

$$|G| = 192 = 2^8 \cdot 3, n_2 | 3, n_2 \equiv 1 \pmod{2} \implies n_2 = 3, 192 \nmid 3!.$$

Remark: May apply same argument for all  $f : G \rightarrow S_n$ .

- $G$  acts on {conjugates of  $H$ },  $H \not\triangleleft G \implies G \xrightarrow{f} S_n, n = |G : N_G(H)| > 1$ .  
 $G$  simple  $\implies f$  injective.
- $G$  acts on  $G/H = \{\text{left cosets}\}, H \not\leq G$   
 $\implies \varphi : G \rightarrow S_{G/H} \cong S_n, n = |G : H|$ .  
 $\ker \varphi$  is the largest normal subgroup of  $G$  contained in  $H \implies \ker \varphi = \{1\} \implies \varphi$  injective.

Refinement of Step 1: If  $n \geq 5, |G| > 2, G \hookrightarrow S_n$ .  $G$  simple  $\implies G \hookrightarrow A_n$ .

**Proof**

Otherwise,  $G \cap A_n \triangleleft G$  so  $xyx^{-1} \in G \cap A_n$ .

$G$  simple  $\implies G \cap A_n = G$  or  $\{1\}$ ,  $G \not\subseteq A_n \implies G \cap A_n = \{1\}$ . Bu then  $G \rightarrow S_n \rightarrow S_n/A_n \cong C_2$  and  $G \hookrightarrow C_2$  but  $|G| > 2$ . □

**Example 1.26.2**

$$|G| = 112 = 16 \cdot 7 \implies n_2 | 7 \implies n_2 = 7.$$

Then  $|G|$  divides  $7!$  but  $|G| \nmid \frac{7!}{2} = |A_n|$ .

Refinement 2: If  $G$  is simple,  $G \hookrightarrow S_n, (n \geq 5)$ , then  $G \in A_n$  (and  $|G| \mid \frac{n!}{2}$ ) and either

$$G \cong A_n \quad \text{or} \quad |A_n : G| \geq n \quad (\text{so } |G| \leq \frac{(n-1)!}{2})$$

Remark:  $n \geq 5$ , any proper  $H \leq A_n$  has index  $|A_n : H| \geq n$ .

**Proof**

Consider  $\varphi : A_n \rightarrow S_n, l = |A_n : H|$  (left multiplication on cosets of  $H$ ).

$\ker \varphi :=$  largest subgroup of  $A_n$  contained in  $H \implies \ker \varphi = \{1\}, n \geq 5$ .

$$A_n \hookrightarrow S_l \implies \frac{n!}{2} \geq l! \implies l \geq n$$

□

**Example 1.26.3**

$$|G| = 90 = 2 \cdot 3^2 \cdot 5, n_5 | 18, n_5 \equiv 1 \pmod{5} \implies n_5 = 6.$$

Now,  $90 \mid \frac{6!}{2} \implies G \neq A_6, 90 \neq \frac{(6-1)!}{2} = 3 \cdot 4 \cdot 5 = 60$ . Contradiction.

Step 2(Element Counting)

If for smallest value of  $n_p > 1$  satisfying Sylow, we have

$$\sum n_p(p-1) + 1 > |G|$$

Contradiction.

**Example 1.26.4**

$$n = 105 \implies 3 \cdot 5 \cdot 7 \implies n_7 = 15, n_5 = 21, n_3 = 7.$$

$$15 \cdot 6 + 21 \cdot 4 + 7 \cdot 2 + 1 = 90 + 84 + 12 > 105.$$

Refinement (Count overlaps)

**Example 1.26.5**

$$|G| = 56 = 7 \cdot 2^3 \implies n_7 = 8, n_2 = 7 \implies 8 \cdot 6 + 7 + 1 = 56.$$

$7 + 1 = |P|$ ,  $P \in \text{Syl}_2$  but  $n_2 > 1$  so there must be some  $Q \in \text{Syl}_2$ ,  $P \neq Q$ , so  $|P \cap Q| \leq 4$ . (Same for 132)

Step 3 Construct  $H$ , with small  $|G : H|$ .

For example,  $H = N_G(P \cap Q)$ ,  $P, Q \in \text{Syl}_p$ ,  $P \neq Q$ .

**Example 1.26.6**

$$|G| = 144 = 2^4 \cdot 3^2, n_3 | 16 \implies n_3 = 4 \text{ or } 16, \text{ but } 144 \nmid 4! \implies n_3 = 16.$$

If  $P \cap Q = \{1\}$ , for all  $P \neq Q$  in  $\text{Syl}_3$ , then  $16 \cdot (9 - 1) + 16 \neq 144$ ,  $16 = \text{Syl}_2$ .  $\implies n_2 = 1 \implies |P \cap Q| = 3$ .

Let  $H = N_G(P \cap Q)$ , since  $P \cap Q \triangleleft P$  (index 3),  $P \cap Q \triangleleft Q \implies P, Q \subset H$ .

So  $|H| > 9 \implies |H| = 18, 36, 72, 144$ .

- $|H| = 144 \implies |H| = |G| \implies P \cap Q \triangleleft G$
- $|H| = 72 \implies |G : H| = 2 \implies H \triangleleft G$
- $|H| = 18 \implies P, Q \triangleleft H$  (index 2)  $\implies P, Q \in \text{Syl}_3(H)$ , contradiction
- $|H| = 36 \implies |G : H| = 4$ , but  $|G| \nmid 4!$  ( $\varphi : G \hookrightarrow S_4 = S_{G/H}$ )

Exercise:  $n = 180$ .

For  $n = 168$ ,  $G = GL_3(\mathbb{F}_2)$ .

$$|G| = \underbrace{(2^3 - 1)}_{\text{choice for row 1}} \underbrace{(2^3 - 2)}_{\text{choice for row 2}} (2^3 - 2 \cdot 2) = 7 \cdot 6 \cdot 4 = 168$$

Exercise:  $|GL_n(\mathbb{F}_p)| = ?$

**1.27 Nilpotent and Solvable Groups****Definition 1.27.1**

Let  $G$  be a group. The upper central series of  $G$  is the chain of subgroups of  $G$

$$\{1\} = Z_0(G) \subseteq Z_1(G) \subseteq \dots$$

defined as  $Z_1(G) = Z(G) = Z(G/Z_0(G))$ ,

$$Z_{i+1}(G) \leq G \text{ such that } Z_{i+1}(G)/Z_i(G) \cong Z(G/Z_i(G)).$$

( $Z_{i+1}$  is the pre-image of the centre)

Remark:

- (i) All  $Z_i(G) \triangleleft G$
- (ii) If  $Z(G) = \{1\}$ , then all  $Z_i(G) = 1$
- (iii) If  $Z_k(G) = G$  for some  $k$ , then  $Z_i(G) = G$  for all  $i \geq k$

**Example 1.27.2**

$G = Q_8$ , then  $Z_1(G) = \{\pm 1\}$ ,  $Z_2(G) = Z(Q/\{\pm 1\}) = Q_8$ .

**Definition 1.27.3**

If  $Z_c(G) = G$  for some  $c$ , then  $G$  is nilpotent and that smallest such  $c$  is the nilpotency class of  $G$ .

Remark:

- Abelian groups are nilpotent
- $G$  nilpotent with class  $c \iff G/Z(G)$  nilpotent with class  $c - 1$
- $D_{2k}$  nilpotent  $\iff k = 2^l$  for some  $l$

**Example 1.27.4**

$D_{2^n}$  is nilpotent with class  $n - 1$ .

**Proof**

Induction  $n$ ,  $Z(D_{2^{n+1}}) = \{1, r^{2^{n+1}}\}$

$$D_{2^{n+1}}/Z(D_{2^{n+1}}) \cong D_{2 \cdot 2^n} = D_{2^n}$$

□

**Theorem 1.27.5**

$G$  finite,  $|G| = p_1^{n_1} \cdots p_k^{n_k}$ . Let  $P_i \in \text{Syl}_{p_i}(G) \forall i = 1, \dots, k$ . Then  $G$  nilpotent  $\iff G \cong P_1 \times \cdots \times P_k$ .  
( $\iff n_{p_i} = 1 \forall i$ )

**Proposition 1.27.6**

If  $G$  is a  $p$ -group,  $|G| = p^a$ , then  $G$  is nilpotent of class  $\leq a - 1$ .

**Proof**

Induction on  $a$ .  $|G| = p$  abelian  $\implies$  nilpotent.

Suppose true for all  $a < k$ .

Then if  $|G| = p^k$ , it has a non-trivial centre,  $|Z(G)| \geq p$  and  $|G/Z(G)| = p^a$  where  $a < k$ . By induction,  $G/Z(G)$  is nilpotent of class  $\leq a - 1$ , so  $G$  is nilpotent of class  $\leq a \leq k - 1$  by remark (ii). □

**Proof of Theorem**

Follows from proposition. □

**Lemma 1.27.7**

If  $P \in \text{Syl}_p(G)$ , then  $PZ(G)/Z(G) \in \text{Syl}_p(G/Z(G))$  and  $P \cap Z(G) \in \text{Syl}_p(Z(G))$ . In particular,  $P, Q \in \text{Syl}_p(G) \implies P \cap Z(G) = Q \cap Z(G)$  since  $|\text{Syl}_p(Z(G))| = 1$ .

**Proof**

Suppose  $p^a \parallel |G|$  and  $p^{a+1} \nmid |G|$  ( $p^a \parallel |G|$ ) and  $p^b \parallel |G|$ . Now,

$$PZ(G)/Z(G) \cong P/P \cap Z(G) \quad (2\text{nd iso. thm})$$

$$|P \cap Z(G)| \leq p^b \implies |P/P \cap Z(G)| \geq p^{a-b} \quad \text{with equality} \iff |P \cap Z(G)| = p^b$$

$|PZ(G)/Z(G)|$  is a  $p$ -group in  $G/Z(G)$ ,  $p^{a-b} \parallel |G/Z(G)|$ .

So  $|PZ(G)/Z(G)| \leq p^{a-b} \implies |P/P \cap Z(G)| = p^{a-b}$

$$\implies P \cap Z(G) \in \text{Syl}_p(Z(G)) \quad \text{and} \quad PZ(G)/Z(G) \in \text{Syl}_p(G/Z(G)).$$

□

**Proof of Theorem**

Recall that if  $H, K \triangleleft G$  and  $H \cap K = \{1\}$ , then elements of  $H$  and  $K$  commute.

Then we've reduced to showing that each  $P_i \triangleleft G$ . (If  $i \neq j$ ,  $P_i \cap P_j = \{1\}$ , so  $P_1, \dots, P_k$  commute and preserve each other.)

$$P_1 \times \cdots \times P_k \rightarrow G \quad \text{by} \quad (x_1, \dots, x_n) \mapsto (x_1 \cdots x_n)$$

To show  $n_p = 1$ , induct on  $|G|$ . Suppose  $P, Q \in \text{Syl}_p(G)$ .

$\implies PZ(G)/Z(G), QZ(G)/Z(G)$  are  $p$ -subgroups of  $G/Z(G)$ .

( $|G/Z(G)| < |G|$  so  $G$  nilpotent)

So by induction  $PZ(G)/Z(G) = QZ(G)/Z(G) \implies PZ(G) = QZ(G)$ .

$P, Q \leq H \leq G \implies P, Q \in \text{Syl}_p(H)$ , but  $P \triangleleft PZ(G) = H$ .

$\implies |\text{Syl}_p(H)| = 1 \implies P = Q$ . □

**Definition 1.27.8**

A composition series for a finite group  $G$  is a series of subgroups

$$\{1\} = G_0 \triangleleft G_1 \triangleleft \cdots \triangleleft G_n = G$$

such that  $G_{i+1}/G_i$  are all simple.

**Theorem 1.27.9**

Any finite group has a composition series.

**Proof**

Induction on  $|G|$ .

Base Case: If  $G$  is simple, then  $\{1\} \triangleleft G$  is a composition series.

Induction: Suppose  $G$  is not simple  $\implies \exists$  nontrivial normal subgroup  $H \triangleleft G \implies |H| < |G| \implies H$  has a composition series

$$\{1\} = H_0 \triangleleft \cdots \triangleleft H$$

But also,  $|G/H| < |G|$ ,  $G/H$  has a composition series

$$\{1\} = K_0 \triangleleft K_1 \triangleleft \cdots \triangleleft K_l = G/H$$

$$\implies \exists! G_i \supset H \ni G_i/H = K_i. \quad (G_0 = H, G_l = G).$$

Then  $H = G_0 \triangleleft G_1 \triangleleft \cdots \triangleleft G_l = G$ . ( $G_{i+1}/G_i \cong (G_{i+1}/H)/G_i/H$  is simple).

So

$$\{1\} = H_0 \triangleleft \cdots \triangleleft H \triangleleft G_1 \triangleleft \cdots \triangleleft G_l = G$$

is a composition series for  $G$ . □

Remark: In fact, if  $H \triangleleft G$ ,  $\exists$  a composition series containing  $H$ . Moreover, if  $1 \triangleleft H_1 \triangleleft \cdots \triangleleft H_n \triangleleft G$ , then there is a composition series containing all  $H_i$  (a refinement).

**Theorem 1.27.10 (Jordan-Hölder)**

Suppose  $\{1\} = G_0 \triangleleft \cdots \triangleleft G_n = G$  and  $\{1\} \triangleleft H_0 \triangleleft \cdots \triangleleft H_m = G$  are two composition series for  $G$ . Then  $m = n$ , and  $\{G_{k+1}/G_k\} = \{H_{k+1}/H_k\}$  up to isomorphism.

**Example 1.27.11**

If  $K, K'$  simple, then

$$\{1\} \triangleleft K \times \{1\} \triangleleft K \times K' \quad \text{and} \quad \{1\} \triangleleft \{1\} \times K' \triangleleft K \times K'.$$

**Proof**

See book for complete proof.

Hint: Consider a simple group  $H_1$ .

$$\{1\} \triangleleft G_1 \cap H_1 \triangleleft G_2 \cap H_2 \triangleleft \dots \triangleleft G_n \cap H_n = H_1$$

$$\implies \exists k \text{ such that } \begin{matrix} G_i \cap H_1 = H_1 & \forall i \geq k \\ G_i \cap H_i = \{1\} & \forall i < k \end{matrix} \cdot \text{ So}$$

$$H_1 \cong G_{i+1} \cap H_1 / G_i \cap H_1 \rightarrow G_{i+1} / G_i \text{ simple.}$$

□

**Definition 1.27.12**

$G$  is solvable if all of its quotients  $G_{i+1}/G_i$  in a composition series for  $G$  are cyclic. (prime order)

( $\iff \exists 1 \triangleleft H_1 \triangleleft \dots \triangleleft H_n = G$  such that  $H_{i+1}/H_i$  is abelian.)

**Remark:** Thus, nilpotent groups are solvable since  $\{1\} = Z_0 \triangleleft Z_1 \triangleleft \dots \triangleleft Z_n = G$  and  $Z_{i+1}/Z_i = Z(G/Z_i)$  is abelian.

The reverse is not true:  $S_4$  is solvable but not nilpotent.

Recall:  $G' :=$  commutator subgroup of  $G$ ,  $G^{(n)} = (G^{(n+1)})'$ .

$$G \triangleright G^{(1)} \triangleright G^{(2)} \triangleright \dots$$

**Theorem 1.27.13**

$G$  solvable  $\iff G^{(n)} = \{1\}$  for some  $n$ .

**Proof**

( $\Leftarrow$ )  $G^{(i)}/G^{(i+1)}$  is abelian.

( $\Rightarrow$ ) Suppose  $\{1\} \triangleleft G_0 \triangleleft \dots \triangleleft G_m = G$  solvable, then  $G_m/G_{m-1}$  is abelian  $\implies G^{(i) \leq G_{m-1}}$ . By induction,  $G^{(i)} \leq G_{m-i}$ . □

**Corollary 1.27.14**

If  $H \leq G$ ,  $G$  solvable  $\implies H$  is solvable.

**Proof**

$$H^{(i)} < G^{(i)}. \quad \square$$

$$\{\text{Cyclic Groups}\} \subsetneq \{\text{Abelian Groups}\} \subsetneq \{\text{Nilpotent Groups}\} \subsetneq \{\text{Solvable Groups}\}$$

$\exists$  nilpotent groups that are not abelian:  $p$ -groups,  $D_{2n}$

$G$  nilpotent  $\implies G$  solvable

$$\{1\} = Z_0(G) \subseteq Z_0(G) \subseteq Z_1(G) \subseteq Z_2(G) \subseteq \dots \subseteq Z_n(G) = G$$

$$\text{(abelian)} \quad Z_{i+1}(G)/Z_i(G) = Z(G/Z_i(G)) = G/Z_i(G)$$

$$\implies \{1\} \triangleleft Z_1 \triangleleft Z_2 \triangleleft \dots \triangleleft Z_n = G, Z_{i+1}/Z_i \text{ abelian for each } i.$$

$\implies G$  solvable.

$\exists$  solvable groups that are not nilpotent:  $D_{2k}, k \neq 2^n$ .

$$\{1\} \triangleleft \langle r \rangle \triangleleft D_{2k}.$$

$S_n$  is not solvable if  $n \geq 5$ .

$\{1\} \triangleleft A_n \triangleleft S_n, A_n$  is the only non-trivial normal subgroup.

**Theorem 1.27.15 (On Solvable Groups)**

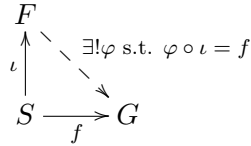
- (i) Feit-Thompson:  $|G|$  odd  $\implies G$  solvable
- (ii) Burnside:  $|G| = p^a \cdot 2^b$ ,  $p, q$ , prime  $\implies G$  solvable
- (iii) Philip Hall:  $|G| = p^a \cdot m$ ,  $p \nmid m$  prime  $\implies G$  solvable
- (iv) If  $\langle x, y \rangle$  solvable  $\forall x, y \in G \implies G$  solvable

**1.28 Free Groups**

**Definition 1.28.1**

$F$  group,  $S \subseteq F$  subset.

$F$  is a free group with basis  $S$  if  $\forall$  group  $G$  and  $\forall f : S \rightarrow G$ ,  $\exists!$  homomorphism  $\varphi : F \rightarrow G$  such that  $\varphi(x) = f(x) \quad \forall x \in S$ .



Existence of Free Groups

**Definition 1.28.2**

A word on a set  $S$  is an expression of the form  $x_1^{e_1} x_2^{e_2} \cdots x_n^{e_n}$  where  $e_i = \pm 1$ ,  $x_i \in S \cup \{1\}$ . (same as a function  $\{1, \dots, n\} \rightarrow S \cup S_{-1} \cup \{1\}$ ).

Reduced word is a word with no obvious cancellations, e.g.:  $xyy^{-1}x, x \cdot 1 \cdot y$ .

$1 =$  empty word.

Subword of  $x_1^{e_1} \cdots x_n^{e_n}$  is  $x_r^{e_r} \cdots x_s^{e_s} \quad 1 \leq r \leq s \leq n$ .

**Definition 1.28.3**

$x_1^{e_1} \cdots x_n^{e_n}$  is a reduced word if it is either 1 or

- $x_{i+1} = x_i^{-1} \quad \forall i$
- $x_i \neq 1 \quad \forall i$

**Definition 1.28.4**

Two words are equivalent if one can be obtained from the other by successively adding or deleting subwords of the form  $xx^{-1}, x^{-1}x, 1$ .

$\exists!$  reduced word in every equivalence class.

$$\begin{aligned}
 yxzz^{-1}x^{-1}y^{-1}yx &\rightarrow yxx^{-1}y^{-1}yx \rightarrow yy^{-1}yx \rightarrow yx \\
 yxzz^{-1}x^{-1}x &\rightarrow yxzz^{-1} \rightarrow yx
 \end{aligned}$$

**Definition 1.28.5**

$F(S) =$  set of equivalence classes of words on  $S$ .

Multiplication = juxta position of words.

**Example 1.28.6**

$$(xyx, x^{-1}xyzx) \mapsto xyyx^{-1}yzx$$

"1" = empty word 1.

Claim:  $F(S)$  is a free group with basis  $S$ .

Exercise:  $F(S)$  is a group.

$$(x_1^{e_1} \cdots x_n^{e_n})^{-1} = x_n^{-e_n} \cdots x_1^{-e_1}.$$

Remark:  $|S| > 1$ ,  $F(S)$  is non-abelian.

$x, y \in S$ ,  $xy \neq yx$ .  $S = \{x_1, \dots, x_n\}, \{x_1, \dots, x_n, x_1^{-1}, \dots, x_n^{-1}, 1\}$ .

$$S \xrightarrow{\iota} F(S), x \mapsto x$$

$$\begin{array}{ccc} F(S) & & \varphi(x_1^{e_1} \cdots x_n^{e_n} = f(x_1)^{e_1} \cdots f(x_n)^{e_n}) \\ \uparrow \iota & \searrow \exists! \varphi & \\ S & \xrightarrow{f} & G \end{array}$$

Remark: A free group  $F$  with basis  $S$  is unique up to isomorphism, i.e.  $F \cong F(S)$ .

$$\begin{array}{ccccc} & & \psi \circ \varphi & & \\ & \swarrow & \text{---} & \searrow & \\ F & \xrightarrow{\exists! \varphi} & F(S) & \xrightarrow{\psi} & S \\ & \swarrow & \uparrow & \searrow & \\ & & S & & \end{array}$$

$\implies \psi \circ \varphi = Id$  is the unique isomorphism  $F \rightarrow F$  such that

$$\begin{array}{ccc} F & & \\ \uparrow & \searrow \psi \circ \varphi = Id & \\ S & \longrightarrow & F(S) \end{array}$$

$\implies \psi \circ \varphi = Id$  is the unique homomorphism  $F \rightarrow F$  satisfying the diagrams  $\implies \varphi \circ \psi = Id_{F(S)}$ .

$F(S)$  = free group on the set  $S$ .  $|S| = \text{rank}$  of  $F(S)$ .

Notation:  $x^m y^n = x \cdots x y \cdots y$ ,  $m$  and  $n$  times respectively for positive  $m, n \in \mathbb{Z}$ .

### Theorem 1.28.7

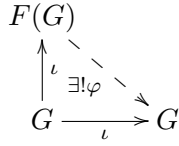
Subgroups of free groups are free.

Exercise: Free groups of rank 2 have a subgroup that is infinitely generated.

## 1.29 Presentations of a Group

$G$  group,  $\exists!$  surjective homomorphism.

$$\varphi : F(G) \rightarrow G \quad \text{such that} \quad \varphi(\underbrace{x_1^{e_1} \cdots x_n^{e_n}}_{\text{word on } G}) = \underbrace{x_1^{e_1} \cdots x_n^{e_n}}_{\text{product in } G} \quad \forall x_1, \dots, x_n \in G$$



such that  $\varphi(x) = x \quad \forall x \in G$  (LHS word, RHS in  $G$ )

If  $S \subseteq G$  subset, then  $G = \langle S \rangle \iff \exists$  surjective hom  $\varphi : F(S) \rightarrow G$  s.t.  $\varphi(x_1^{e_1} \cdots x_n^{e_n}) \forall x_1 \cdots x_n \in S$ .

**Definition 1.29.1**

A presentation for a group  $G$  is a  $\langle S \mid R \rangle$  where  $S =$  generating set and  $R =$  relations. Formally,  $S =$  set of elements in  $G$ ,  $R =$  set of words in  $F(S)$ .

To give presentations, we need:

1.  $G = \langle S \rangle \implies \varphi : F(S) \twoheadrightarrow G$
2.  $\ker \varphi =$  smallest normal subgroup of  $F(S)$  that contains  $R$

In general,  $H \leq G$ , then

$$\text{the smallest normal subgroup of } G \text{ containing } H = \langle \bigcup_{x \in G} (xHx^{-1}) \rangle$$

$$\forall K \triangleleft G, H \subseteq K \implies N \subseteq K.$$

Warning:  $\ker \varphi \not\subseteq \langle R \rangle$

e.g. if  $a^2 \in R$ , then  $a^2 = 1$  in  $G \implies xa^2x^{-1} = 1$  in  $G$ .

2.  $\implies F(S)/N \cong G$

**Definition 1.29.2**

$G$  is finitely generated if  $G$  has a presentation  $G = \langle S \mid R \rangle$  where  $S$  is finite.

$G$  is finitely presented if  $G$  has a presentation  $G = \langle S \mid R \rangle$  where  $S, R$  are finite.

Notation for relations:  $D_{2n} = \langle r, s \mid r^n = s^2 = 1, rs = sr^{-1} \rangle$ ,  $R = \{r^n, s^2, sr sr\}$

Remark: A group can have different presentations:

$$\begin{aligned}
 S_3 &\cong D_6 = \langle r, s \mid r^3 = s^2 = 1, rs = sr^2 \rangle \\
 &= \langle a, b \mid a^2 = b^2 = (ab)^3 = 1 \rangle
 \end{aligned}$$

$$a = (1\ 2), b = (1\ 3).$$

For any  $G$  such that  $G = \langle a, b \rangle$  with  $a^2 = 1, b^2 = 1, (ab)^3 = 1$  then  $G = \{1, a, b, ab (= baba), ba (= abab), aba (= bab)\}$

**Example 1.29.3**

Any finite  $G$  is finitely presented.

Criterion

$|G| = n < \infty$  then  $G = \langle S, R \rangle$  if

- (i)  $\langle S \rangle = G$
- (ii) Any group generated by elements in  $S$  with relations given by  $R$  has  $\leq n$  elements.

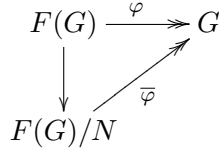
**Example 1.29.4**

$G = \{x_1, \dots, x_n\}$ , let  $S = G$

$$\varphi : F(G) \rightarrow G, \varphi(x_i) = x_i \quad \forall i$$

Let  $R =$  set of words  $x_i x_j x_k^{-1}$  for all  $x_i x_j = x_k$ .

Then  $R \subseteq \ker \varphi$ . Let  $N =$  normal subgroup generated by  $R \implies N \subseteq \ker \varphi$ .



$\bar{\varphi}$  is an isomorphism: show that  $|F(G)/N| = n$ .

Claim:  $F(G)/N = \{\widehat{x}_1, \dots, \widehat{x}_n\}$ ,  $\widehat{x}_i$  coset of  $x_i$ .

$\supseteq$  is clear.

$\{\widehat{x}_1, \dots, \widehat{x}_n\}$  closed under multiplication

$$\widehat{x}_i \widehat{x}_j = \widehat{x}_k \text{ if } x_i x_j = x_k \iff \widehat{x_i x_j x_k^{-1}} = \widehat{1}, x_i x_j x_k^{-1} \in R$$

Presentation:  $G = \langle S, R \rangle$ .

Generators:  $S \subseteq G, \langle S \rangle = G \implies F(S) \xrightarrow{\varphi} G. S \hookrightarrow F(S), G.$

$$\varphi(\underbrace{s_1^{e_1} \dots s_n^{e_n}}_{\text{word}}) = \underbrace{s_1^{e_1} \dots s_1^{e_n}}_{\in S}$$

Relations  $R \subseteq F(S)$  such that  $\ker \varphi =$  normal subgroup generated by  $R$ .

Recall:  $A \subseteq G$

Normal subgroup generated by  $A = \langle \bigcup_{x \in G} xAx^{-1} \rangle$

(smallest  $H \triangleleft G, A \subseteq H$ )

$$\implies G \cong F(S) / \langle \bigcup_{x \in F(S)} xRx^{-1} \rangle$$

Criterion

$|G| = m$ , then  $G = \langle S \mid R \rangle$  if:

- (i)  $G = \langle S \rangle$
- (ii) Elements in  $S$  have to satisfy relations in  $R$
- (iii) Any group  $G'$  with generators  $x_1, \dots, x_n$  satisfying relations in  $R$ , then  $|G'| \leq m$

**Proof**

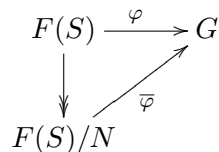
$$G = \langle S \rangle \implies F(S) \xrightarrow{\varphi}, \varphi(s_1^{e_1} \dots s_n^{e_n}).$$

Let  $N =$  normal subgroup in  $F(S)$  in  $F(S)$  generated by  $R$ .

(ii)  $\implies R \subseteq \ker \varphi \implies N \subseteq \ker \varphi$

$\implies \varphi$  factors through  $\bar{\varphi}$

$\implies \bar{\varphi}$  surjective



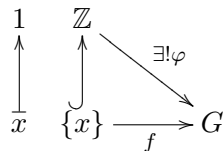
Take  $G = F(S)/N = \langle \underbrace{\widehat{s}_1, \dots, \widehat{s}_n}_{\text{satisfies relations in } R} \rangle$ ,  $\widehat{s}_i = \text{coset of } s_i$ .

(iii)  $\implies |G'| \leq n \implies \bar{\varphi}$  bijective  $\implies \ker \varphi = N$  □

**Example 1.29.5**

- $D_{2n} = \langle r, s \mid r^n = s^2 = 1, rs = sr^{-1} \rangle$   
 $\forall G = \langle x, y \mid x^n = y^2 = 1, xy = yx^{-1} \implies G = \{x^i, yx^i\} \implies |G| \leq 2n$ .
- $Q = \langle i, j \mid i^4 = 1, j^2 = i^2, ij = ji^{-1} \rangle$
- $C_n \times C_m \cong \langle x^n = y^m = 1, xy = yx \rangle$

Remark:  $\mathbb{Z} \cong F(\{x\})$



such that  $\varphi(1) = f(x)$ , define  $\varphi(n) = f(x)^n$ .

Exercise:  $\mathbb{Z} \times \mathbb{Z} \cong \langle x, y \mid xy = yx \rangle$ .

Notation: If  $r = x_1^{e_1} \dots x_n^{e_n} \in R$ , if  $H$  is any group  $h_1 \dots h_n \in H$ ,  $r(h_1 \dots h_n) = h_1^{e_1} \dots h_n^{e_n}$ .

**Proposition 1.29.6**

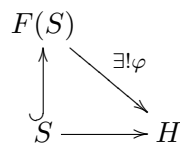
If  $G = \langle s_1, \dots, s_n \mid r_1, \dots, r_n \rangle$ , to give  $G \xrightarrow{f} H$  a homomorphism is equivalent to giving  $h_1, \dots, h_n \in H$  satisfying  $r_i(h_1 \dots h_n) = 1 \forall i = 1, \dots, k$ .

**Proof**

( $\implies$ ) Clear: Let  $h_i = f(s_i)$ .

Then  $r_i(s_1 \dots s_n) = 1 \implies \underbrace{f(r_i(s_1 \dots s_n))}_{r_i(h_1 \dots h_n)} = f(1) = 1 \implies r_i(h_1 \dots h_n) = 1$ .

( $\Leftarrow$ ) Let  $h_1 \dots h_n \in H$  such that  $r_i(h_1 \dots h_n) = 1$  for all  $i$



$N :=$  normal subgroup of  $F(S)$  generated by  $R = \{r_1, \dots, r_n\}$   $\varphi(s_i) = h_i$

Know  $G \cong F(S)/N$  ( $G = \langle S, R \rangle$ ). Prove:  $N \subseteq \ker(\varphi)$ :

$$r_i(h_1 \dots h_n) = 1 \iff r_i \in \ker \varphi$$

$\implies N \subseteq \ker \varphi \implies \varphi$  factors through  $G \cong F(S)/N \rightarrow H$ . □

**Corollary 1.29.7**

If  $\langle h_1 \dots h_n \rangle = H$ , then  $f : G \rightarrow H$  is surjective. ( $f$  as in prop)

**Example 1.29.8**

Give  $D_{2n} \rightarrow H \iff$  give  $x, y \in H$  such that  $x^n = y^2 = 1, xy = yx^{-1}$ .

**Example 1.29.9**

$Q_n = \langle a, b \mid a^{2^{n-1}} = 1, ba = a^{-1}b, b^2 = a^{2^{n-1}} \rangle$  generalised quaternion group. ( $Q_3 = Q$ )

$|Q_n| = 2^n$ .

Easy,  $Q_n \{a^i, a^i b \mid 0 \leq i \leq 2^{n-1} - 1\} \implies |Q_n| \leq 2^n$

$$\begin{array}{cc} a^{i_1}b & a^{i_2}b \\ a^i & a^i b \end{array}$$

Define  $f : Q \rightarrow GL_2(\mathbb{C})$  by

$$f(a) = \begin{bmatrix} \xi & 0 \\ 0 & \xi^{-1} \end{bmatrix} \quad \xi = \xi_{2^{n-1}} \text{ root of 1.}$$

$$f(b) = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \quad (\xi_m = e^{\frac{2\pi i}{m}} \text{ mth root of 1}).$$

Prop.  $\implies f$  is a group hom

$$h_n(f) = \left\{ \left[ \begin{array}{cc} \xi^i & 0 \\ 0 & \xi^{-i} \end{array} \right] \left[ \begin{array}{cc} 0 & \xi^i \\ \xi^{-i} & 0 \end{array} \right] \right\}$$

has  $2^n$  elements.  $0 \leq i \leq 2^{n-1} - 1 \implies |Q_n| = 2^n$ .

## 2 Commutative Rings and Their Modules

Recall that a ring is a set  $R$  with operations  $+, \cdot$  such that

(i)  $(R, +)$  abelian

(ii)  $(R, \cdot)$  is associative and distributive,  $a(bc) = (ab)c$ ,  $a(b+c) = ab+ac$ ,  $(a+b)c = ac+bc$ ,

$R$  is commutative if  $ab = ba$ ,  $a, b \in R$ .  $R$  has an identity if  $\exists 1 \in R$  such that  $a \cdot 1 = 1$ ,  $a = a \forall a \in R$ .

Now:  $R$  commutative with 1.

$\exists$  rings with out 1:  $2\mathbb{Z}/$

**Example 2.0.10**

The zero ring  $0$ ,  $\mathbb{Z}$ , any field is a ring:  $\mathbb{Q}, \mathbb{R}, \mathbb{C}, \mathbb{F}_p$ .

Recall that a ring  $R$  is a field if  $1 \neq 0$  and  $R$  is commutative with  $(R \setminus \{0\}, \cdot)$  is a group.

Remark:  $R$  a ring,  $1 = 0 \iff R = 0$ .

**Example 2.0.11**

$R$  ring  $\rightsquigarrow$  form polynomial rings:  $R[X], R[X_1, \dots, X_n]$

**Example 2.0.12**

$\{R_i\}_{i \in I}$  rings  $\implies \prod_{i \in I} R_i = \{(r_i)_{i \in I} \mid r_i \in R_i\}$ .

**Definition 2.0.13**

A map  $f : R \rightarrow R'$  is a ring homomorphism if for all  $x, y$

(i)  $f(x+y) = f(x) + f(y)$

(ii)  $f(xy) = f(x)f(y)$

(iii)  $f(1_R) = 1_{R'}$

**Example 2.0.14**

- The only ring homomorphism  $f : \mathbb{Z} \rightarrow R$  is

$$n \mapsto n \cdot 1_R = \underbrace{1_R + \cdots + 1_R}_{n \text{ times}} \quad (n > 0)$$

$$\forall f : \mathbb{Z} \rightarrow R, f(1) = 1_R$$

- $f : R \rightarrow 0$   
 $x \mapsto 0 \quad \forall x \in R$  is a ring hom.

But  $g : 0 \rightarrow R$  by  $0 \mapsto 0$  is not a ring hom, unless  $R = 0$ . ( $1_0 = 0 \not\mapsto 1_R$ )

- Evaluation map at  $a_1, \dots, a_n$ .

$$\begin{aligned} f : R[X_1, \dots, X_n] &\rightarrow R \\ x_i &\mapsto a_i \in R, \quad i = 1, \dots, n \\ f(P(X_1, \dots, X_n)) &= P(a_1, \dots, a_n), \quad \forall P \in R[X_1, \dots, X_n] \end{aligned}$$

- $\mathbb{C} \rightarrow \mathbb{C}, z \mapsto \bar{z}$ .

**Definition 2.0.15**

$R$  a ring. An  $R$ -module is an abelian group  $(M, +)$  together with  $\cdot : R \times M \rightarrow M$  such that for all  $r_1, r_2 \in R, m \in M$ :

- (i)  $r_1(r_2m) = (r_1r_2)m$
- (ii)  $(r_1 + r_2)m = r_1m + r_2m$
- (iii)  $r(m_1 + m_2) = rm_1 + rm_2$
- (iv)  $1_R \cdot m = m$

**Example 2.0.16**

- $R = \mathbb{Z}$ ,  $\mathbb{Z}$ -modules = abelian groups.  $G$  an abelian group:

$$n \cdot x = \underbrace{x + \cdots + x}_{n \text{ times}} \quad (n > 0)$$

$$n_1 \cdot (n_2 \cdot x) = (n_1n_2) \cdot x$$

- $R = F$  a field.  $F$ -modules =  $F$ -vector spaces.
- $F[X]$ -modules  $\iff F$ -vector spaces  $V$  together with linear map  $T : V \rightarrow V$ .  
 $(\implies) M$  an  $F[X]$ -module  $\implies$  take  $V = M$  is an  $F$ -vecotr space.

$$T : V \rightarrow V, \quad T(v) = x \cdot v \quad \forall v \in V$$

is a  $T$ -linear map.

$T$ -linear:  $X(v_1 + v_2) = X \cdot v_1 + X \cdot v_2$  is just

$$T(v_1 + v_2) = T(v_1) + T(v_2)$$

$$\underbrace{X \cdot (\lambda v)}_{T(\lambda v)} = \lambda \underbrace{(Xv)}_{T(v)}$$

**Example 2.0.17**

$R^n = \{(x_1, \dots, x_n) \mid x_i \in R\}$  is an  $R$ -module.

$$\lambda \cdot (x_1, \dots, x_n) = (\lambda x_1, \dots, \lambda x_n).$$

**Definition 2.0.18**

$R$  ring,  $S \subseteq R$  is a subring if  $(S, +, \cdot)$  is a ring in its own right. (not necessarily with 1).

$I \subseteq R$  is an ideal if  $(I, +) \leq (R, +)$  and  $\forall x \in R, \forall y \in I, x \cdot y \in I$ . ( $\implies (I, +, \cdot)$  subring.) We write  $I \leq R$ .

Remark:  $I$  ideal in  $R \implies R/I$  is a ring.

$$(x + I) + (y + I) = (x + y) + I$$

$$(x + I) \cdot (y + I) = (xy) + I$$

Exercise:  $(R/I, +, \cdot)$  is ring.

In fact,  $R/I$  is an  $R$ -module:  $x \in R, x \cdot (y + I) = xy + I$

Well-defined:  $x \cdot (y' + I) = xy' + I$

$$y + I = y' + I \iff y - y' \in I \implies xy - xy' \in I$$

Axioms:

$$x_1(x_2 \cdot (y + I)) = (x_1x_2)(y + I)$$

$$x_1(x_2y + I) = (x_1x_2)y + I$$

$$x_1(x_2y) + I = (x_1x_2)y + I$$

$$x(y_1 + y_2) = x((y_1 + I) + (y_2 + I)) = x(y_1 + I) + x(y_2 + I) = (xy_1 + xy_2) + I$$

$R/I$  has a 1 if  $R$  has a 1:  $(1 + I)$ .

**Definition 2.0.19**

$M, N$   $R$ -modules.

An  $R$ -module homomorphism ( $R$ -linear) is a map  $f : M \rightarrow N$  such that  $\forall r \in R, m, m_1, m_2 \in M$

$$f(m_1 + m_2) = f(m_1) + f(m_2)$$

$$f(r \cdot m) = r \cdot f(m)$$

**Example 2.0.20**

- $0 : M \rightarrow N, x \mapsto 0 \forall x$ .

$$0_R \cdot m = 0_M \quad \forall m \in M$$

$$r \cdot 0_M = 0_M \quad \forall r \in R$$

- $R = F$  a field.  $F$ -linear map = linear transformation between  $F$ -vector spaces
- What are the  $R$ -linear maps  $R^n \xrightarrow{f} R^k$ ?  $\vec{e}_i \mapsto \vec{a}_i$ .

$$\begin{aligned} f(x_1, \dots, x_n) &= \sum x_i \vec{a}_i \\ &= A \cdot \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} \end{aligned}$$

$$A = [\vec{a}_1, \dots, \vec{a}_n] \in M_{k \times n}(R).$$

$$\vec{x} = \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} = \sum x_i \vec{e}_i$$

$$\lambda \vec{e}_i = (0, \dots, 0, \lambda, 0, \dots, 0)$$

$\forall f : R^n \rightarrow R^m$   $R$ -linear maps:

$$f(\vec{x}) \rightarrow f(\sum x_i \vec{e}_i) = \sum f(x_i \vec{e}_i) = \sum x_i f(\vec{e}_i) = \sum x_i \vec{a}_i$$

$\forall A \in M_{k \times n}(R)$ ,  $f(\vec{x}) = A\vec{x}$  is  $R$ -linear.

$$A(\vec{x} + \vec{y}) = A\vec{x} + A\vec{y}$$

$$A(\lambda \vec{x}) = \lambda(A\vec{x})$$

## 2.1 Elements of Category Theory

### Definition 2.1.1

A category  $\mathcal{C}$  consists of:

- I. a class of objects  $\text{Obj}(\mathcal{C})$
- II. for all  $A, B \in \text{Obj}(\mathcal{C})$  a set,  $\text{Mor}_{\mathcal{C}}(A, B)$  of morphisms (“arrows”,  $A \rightarrow B$ )
- III.  $\forall A, B, C \in \text{Obj}(\mathcal{C})$ , a function

$$\text{Mor}_{\mathcal{C}}(A, B) \times \text{Mor}_{\mathcal{C}}(B, C) \rightarrow \text{Mor}_{\mathcal{C}}(A, C) \quad (\text{“composition”})$$

$$(A \xrightarrow{f} B, B \xrightarrow{g} C) \mapsto A \xrightarrow{g \circ f} C$$

such that

- (i)  $\text{Mor}(A, B)$ ,  $\text{Mor}(A', B')$  disjoint unless  $A = A'$ ,  $B = B'$
- (ii)  $h \circ (g \circ f) = (h \circ g) \circ f$
- (iii)  $\forall A \in \text{Obj}(\mathcal{C})$ ,  $1_A \in \text{Mor}_{\mathcal{C}}(A, A)$  such that  $f \circ 1_A = f = 1_B \circ f \quad \forall f \in \text{Mor}_{\mathcal{C}}(A, B)$

### Example 2.1.2

- $\mathcal{C} = \mathbf{Set}$ , Objects = Sets, Mor = functions
- $\mathcal{C} = \mathbf{Grp}$ , Objects = Groups, Mor = group homs
- $\mathcal{C} = \mathbf{Ab}$ , Objects = Abelian groups, Mor = groups homs
- $\mathcal{C} = \mathbf{Ring}$ , Objects = Rings, Mor = ring homs
- $R$  a ring.  $\mathcal{C} = R\text{-Mod}$ , Objects =  $R$ -modules, Mor =  $R$ -linear maps
- $F$  a field.  $\mathcal{C} = F\text{-Mod}$ , Objects =  $F$ -vector spaces, Mor =  $F$ -linear maps
- $\mathcal{C} = \mathbf{Top}$ , Objects = Topological Spaces, Mor = continuous maps

### Definition 2.1.3

$\mathcal{C}, \mathcal{D}$  categories. A covariant functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  consists of:

- (i) a map  $F : \text{Obj}(\mathcal{C}) \rightarrow \text{Obj}(\mathcal{D})$

(ii)  $\forall A, B \in \text{Obj}(\mathcal{C})$  a function

$$F : \text{Mor}_{\mathcal{C}}(A, B) \rightarrow \text{Mor}_{\mathcal{D}}(F(A), F(B))$$

such that

$$f : A \rightarrow B \mapsto F(f) : F(A) \rightarrow F(B)$$

such that

$$(a) F(1_A) = 1_{F(A)}$$

$$(b) F(g \circ f) = F(g) \circ F(f)$$

$$\begin{array}{ccc}
 A & \xrightarrow{F} & F(A) \\
 \downarrow f & & \downarrow F(f) \\
 B & \xrightarrow{F} & F(B) \\
 \downarrow g & & \downarrow F(g) \\
 C & \xrightarrow{F} & F(C)
 \end{array}
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$g \circ f$  (left curved arrow from A to C)       $F(g \circ f)$  (right curved arrow from F(A) to F(C))

### Example 2.1.4

- Forgetful functors, for example:

$$F : \mathbf{Grp} \rightarrow \mathbf{Set}$$

$G$  group  $\mapsto G$  underlying set

$$f : G \rightarrow H$$

group hom  $\mapsto$  underlying map

- $F = \text{field}$ ,  $V$  an  $F$ -vector space.

$$\text{Hom}_F(V, -) : F\text{-Vect} \rightarrow F\text{-Vect}$$

$$W \mapsto \text{Hom}_F(V, W)$$

$$(W \xrightarrow{f} W') \mapsto (\text{Hom}_F(V, W) \rightarrow \text{Hom}_F(V, W'))$$

$$(V \xrightarrow{g} W) \mapsto (V \xrightarrow{f \circ g} W') \rightsquigarrow g \mapsto f \circ g$$

More generally,  $\mathcal{C}$  =category,  $A \in \text{Obj}(\mathcal{C})$ .

Define a covariant functor:

$$M(A, -) : \mathcal{C} \rightarrow \mathbf{Set}$$

$$\text{Obj} : B \mapsto \text{Mor}(A, B)$$

$$\text{Mor} : (B \xrightarrow{f} B') \mapsto (\text{Mor}(A, B) \rightarrow \text{Mor}(A, B'))$$

$$(B \xrightarrow{f} B') \rightsquigarrow \left( (A \xrightarrow{g} B) \mapsto (A \xrightarrow{f \circ g} B') \right)$$

### Example 2.1.5

$\mathcal{C} = R\text{-mod}$ ,  $M = R\text{-module}$

$$\text{Hom}_R(M, -) : R\text{-mod} \rightarrow R\text{-mod}$$

$$N \mapsto \text{Hom}_R(M, N)$$

Remark:  $M, N$   $R$ -modules.  $\text{Hom}_R(M, N) = \{f : M \rightarrow N \mid R\text{-linear}\}$  is an  $R$ -module.

Particular Cases

- $M^* = \text{Hom}_R(M, R)$  is the dual of  $M$
- $\text{End}_R(M) = \text{Hom}_R(M, M)$

**Definition 2.1.6**

A contravariant functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is an “arrow-reversing” functor.

$$F : \text{Mor}_{\mathcal{C}}(A, B) \rightarrow \text{Mor}_{\mathcal{D}}(F(B), F(A))$$

$$A \xrightarrow{f} B \xrightarrow{g} C \rightsquigarrow F(C) \xrightarrow{F(g)} F(B) \xrightarrow{F(f)} F(A)$$

$$\xrightarrow{\quad F(g \circ f) \quad}$$

$\text{Hom}_R(-, N)$  is contravariant.

Generally,  $\mathcal{C}$  a category,  $A \in \text{Obj}(\mathcal{C})$ :

$$\text{Mor}(-, A) : \mathcal{C} \rightarrow \mathbf{Set}$$

$$B \mapsto \text{Mor}(B, A)$$

$$(B \xrightarrow{f} B') \rightsquigarrow (\text{Mor}(B', A) \rightarrow \text{Mor}(B, A))$$

$$(B' \xrightarrow{g} A) \mapsto (B \xrightarrow{g \circ f} A)$$

**2.2 An example of a covariant functor for modules**

Change of Ring

$\varphi : R' \rightarrow R$  ring homomorphism.  $M$  an  $R$ -module.  $M$  is also an  $R'$ -module via:

$$r' \cdot m = \varphi(r')m$$

and we write  ${}_{R'}M, \varphi^*M$ .

Check axioms:

- (i)  $r'_1 \cdot (r'_2 \cdot m) = r'_1 \cdot (\varphi(r'_2)m) = (\varphi(r'_1)\varphi(r'_2))m = \varphi(r'_1r'_2)m = (r'_1r'_2)m$
- (ii)  $r'(m_1 + m_2) = \varphi(r_1)(m_1 + m_2) = \varphi(r')m_1 + \varphi(r')m_2 = r'_1m_1 + r'_2m_2$
- (iii) exercise
- (iv)  $1_{R'} = \varphi(1_R)m = 1_R \cdot m = m$

If  $f : M \rightarrow N$  is  $R$ -linear, then the same map on the underlying sets is  $R'$ -linear:

$$f' : {}_{R'}M \rightarrow {}_{R'}M \quad (f' = f)$$

$$f'(r' \cdot m) = \varphi(r')m = \varphi(r')f(m) = r' \cdot f(m).$$

This gives a functor:

$$\varphi : R\text{-mod} \longrightarrow R'\text{-mod}$$

$$M \longmapsto {}_{R'}M$$

$$(f : M \rightarrow N) \longmapsto (f' : {}_{R'}M \rightarrow {}_{R'}N)$$

**Definition 2.2.1**

$M$  an  $R$ -module. An  $R$ -submodule of  $M$  is the obvious thing: a subset  $N \subseteq M$  such that  $(N, +, \cdot)$  is a module, i.e.  $(N, +) \leq (M, +)$ ,  $N$  closed under  $\cdot$ .

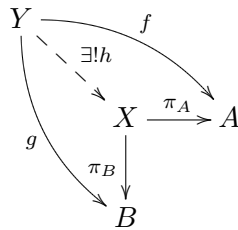
**Example 2.2.2**

$R$  a ring.  $R$  as an  $R$ -module, then the submodules of  $R$  is precisely the ideals of  $R$ .

**2.3 Products & Coproducts**

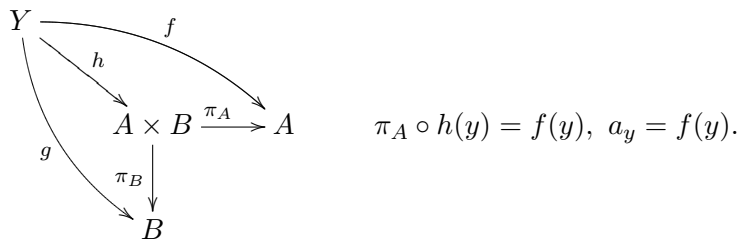
Products

$\mathcal{C}$  a category. If  $A, B \in \text{Obj}(\mathcal{C})$ , then a product of  $A, B$  in  $\mathcal{C}$  (if it exists) is an object  $X$  and 2 maps  $\pi_A : X \rightarrow A, \pi_B : X \rightarrow B$  satisfies the “universal property”:  
 $\forall Y \in \text{Obj}(\mathcal{C})$  and maps  $f : Y \rightarrow A, g : Y \rightarrow B, \exists! h : Y \rightarrow X$  such that  $f = \pi_A \circ h, g = \pi_B \circ h$ .



**Example 2.3.1**

$\mathcal{C} = \text{Set}$ .  $X = A \times B$  product of  $A$  and  $B$ .  $\pi_A : X \rightarrow A$  with  $\pi_A(a, b) = a$ .  
 $\pi_B : X \rightarrow B$  with  $\pi_B(a, b) = b$ .  
 Given  $f, g, h(y) = a_y b_b = f(y) b(y)$ .



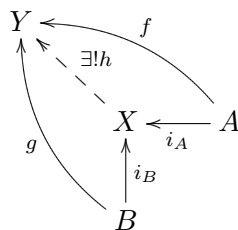
Exercise:  $\nexists$  product in the category of fields.

Similarly, we can define product,  $X$ , of objects  $\{A_i\}_{i \in I}$  in  $\mathcal{C}$ .  $\pi_i : X \rightarrow A_i$

Coproducts

If  $A, B \in \text{Obj}(\mathcal{C})$ , then a coproduct of  $A, B$  in  $\mathcal{C}$  (if it exists) is an object  $X$  with 2 maps  $i_A : A \rightarrow X$   
 $i_B : B \rightarrow X$

such that  $\forall Y \in \text{Obj}(\mathcal{C})$  and maps  $A \xrightarrow{f} Y, B \xrightarrow{g} Y, \exists! h : X \rightarrow Y$  such that  $f = h \circ i_A$   
 $g = h \circ i_B$ .

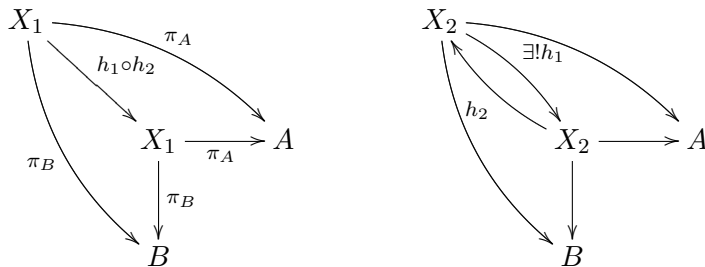


**Example 2.3.2**

$\mathcal{C} = \text{Set}$ .  $A \sqcup B =$  coproduct of  $A$  and  $B$ .

Remark: If the product, coproduct exists, it is unique up to isomorphism.

i.e.  $A \begin{matrix} \xrightarrow{f} \\ \xleftarrow{g} \end{matrix} B$  such that  $\begin{matrix} f \circ g = 1_B \\ g \circ f = 1_A \end{matrix}$ .



**2.4 Modules: Sums and Products**

$\{M_i\}_{i \in I}$  collection of  $R$ -modules.

Direct Sum

$$\bigoplus_{i \in I} M_i = \{(m_i) \mid m_i \in M_i, \text{ all but finitely many } 0\}$$

Direct Product

$$\prod_{i \in I} M_i = \{(m_i) \mid m_i \in M_i\}$$

Remark: If  $|I| < \infty$ .  $\bigoplus M_i = \prod M_i$ .

**Proposition 2.4.1**

- (i)  $\prod M_i$  is a product of  $\{M_i\}_{i \in I}$  in  $\underline{R\text{-mod}}$ .
- (ii)  $\bigoplus M_i$  is a coproduct in  $\underline{R\text{-mod}}$ .

**Proof**

□

Sum:

$R$  a ring.  $N, N' \leq M$  then

$$N + N' = \{x + y \mid n \in N, n' \in N'\}$$

is a submodule of  $M$ .

(This is just the additive notation of  $HK \leq G$ .)

$$\begin{aligned} N \times N' &\xrightarrow{f} N + N' \leq M \\ (x, y) &\mapsto x + y \end{aligned}$$

Multiplicatively:

$$\begin{aligned} H \times K &\rightarrow HK \subseteq G(\text{abelian}) \\ (x, y) &\mapsto xy \end{aligned}$$

$f$  is  $R$ -linear:  $f(r(x, y)) = f(rx, ry) = rx + ry = r(x + y) = r(f(x, y))$ .

**Definition 2.4.2**

$f : M \rightarrow N$   $R$ -linear.  $\ker(f) = \{m \mid f(m) = 0\}$ .

$\ker(f) = \{(x, y) \mid x + y = 0\} = \{(x, -x) \mid x \in N \cap N'\}$ . If  $N \cap N' = \{0\}$ , then  $f$  is an isomorphism of  $R$ -modules.

(Exercise: Bijective  $R$ -linear maps  $\iff$  isomorphism in  $\underline{R\text{-mod}}$ )

Then  $N \times N' \cong N + N'$ .  $N + N'$  is called an internal direct product of  $N$  and  $N'$  if the map  $f : N \times N' \rightarrow N + N'$  is an isomorphism ( $\iff N \cap N' = \{0\}$ ).

Remark: This is the product/direct sum.

**Definition 2.4.3**

$M$  and  $R$ -module,  $\{M_i\}_{i \in I}$  submodules.  $M$  if an internal direct sum of  $\{M_i\}$  if

$$\begin{aligned} \bigoplus M_i &\rightarrow M \\ (m_i) &\mapsto \sum m_i \end{aligned}$$

is an isomorphism.

**2.5 Quotient Modules**

$R$  a ring,  $N \leq M$  an  $R$ -module, then the abelian group

$$M/N = \{m + N \mid m \in M\}$$

is an  $R$ -module via:

$$r \cdot (m + N) = rm + N$$

Well-defined:

$$\begin{aligned} m + N &= m' + N \\ \implies m - m' &\in N \\ \implies rm - rm' &\in N \\ \implies rm + N &= rm' + N \end{aligned}$$

Axioms:

(i)  $r_1(r_2(m + N)) = r_1(r_2m + N) = r_1(r_2m) + N = (r_1r_2)(m + N)$

(iv)  $1 \cdot (m + N) = 1 \cdot m + N = m + N$ .

$\pi : M \rightarrow M/N$  by  $\pi(m) = m + N$  is  $R$ -linear.

$M$  an  $R$ -module. Write  $N \leq_R M$  (or  $N \leq M$ ) for  $N$   $R$ -submodule. e.g.  $I \leq R$  ideal in  $R$ .

Recall that for  $N, N' \leq M$ ,  $N + N' = \{x + y \mid x \in N, y \in N'\} \leq M$ . More generally, if  $\{N_i\}_{i \in I}$  are submodules of  $M$ ,

$$\sum N_i := \left\{ \sum n_i \mid n_i \in N_i, \text{ all but finitely many } 0 \right\} \leq M$$

Direct sum:

$$\bigoplus_{i \in I} N_i = \{(n_i) \mid n_i \in N_i, \text{ all but finitely many } 0\} \subseteq \prod_{i \in I} N_i$$

$$\exists f : \prod_{i \in I} N_i \rightarrow \sum N_i, f((x_i)) = \sum x_i.$$

Exercise:  $f$  is  $R$ -linear and surjective.

$\sum N_i$  is an internal direct sum if  $f$  is an isomorphism

$$\iff \forall n \in \sum N_i, \exists! n_i \in N_i \text{ such that } n = \sum n_i$$

$$\iff \forall i, N_i \cap \sum_{j \neq i} N_j = \{0\}.$$

$M$  an  $R$ -module is generated by  $\{m_i\}_{i \in I}$  if  $M = \sum_{i \in I} Rm_i$  for some  $m_i \in M$ , where  $Rm = \{rm \mid r \in R\} \leq M$ . We write  $\overline{M} = \langle m_i \mid i \in I \rangle$

$M$  is finitely generated if  $M = \langle m_1, \dots, m_k \rangle$  for some  $m_i \in M$ . For example,  $Rm = \langle m \rangle$ .

### Definition 2.5.1

$M$  is cyclic if  $M = \langle m \rangle$  for some  $m \in M$ .

Remark:

- $\mathbb{R} = \mathbb{Z}$ , f.g.  $\mathbb{Z}$ -modules = f.g. abelian groups, cyclic  $\mathbb{Z}$ -modules = cyclic abelian groups
- $R = k$  field, f.g.  $k$ -modules = finite dimensional  $k$ -vector spaces

$M$  f.g.  $R$ -module  $\iff \exists R^k \twoheadrightarrow M$  surjective and  $R$ -linear.

### Proof

( $\implies$ )  $M = \langle m_1, \dots, m_k \rangle$ . Let  $f : R^k \rightarrow M$ ,  $e_i \mapsto m_i$ .  $f(r_1, \dots, r_k) = \sum r_i m_i$ .

Exercise:  $f$  is  $R$ -linear and surjective.

( $\impliedby$ ) Let  $m_i = f(0, \dots, 0, \underbrace{1}_{i\text{th}}, 0, \dots, 0)$ . Then  $f(r_1, \dots, r_k) = \sum r_i m_i$  since  $f$  is  $R$ -linear.  $f$  surjective

$\implies M = \langle m_1, \dots, m_k \rangle$ . □

Remark:

- $M$  f.g.  $R$ -module  $\iff M \cong R^k/N$  for some  $N \leq R^k$
- $M$  is cyclic  $\iff \exists R \twoheadrightarrow M$   $R$ -linear, surjective  $\iff M \cong R/I$  for some ideal  $I \leq R$ .

## 2.6 Isomorphism Theorems For Modules

### Theorem 2.6.1 (First Isomorphism Theorem)

$f : M \rightarrow N$ ,  $R$ -linear  $\implies \ker f \leq M$ ,  $\text{Im}(f) \leq N$ .  $f$  induces an isomorphism of  $R$ -modules

$$\bar{f} : M/\ker f \rightarrow \text{Im } f$$

$$\bar{f}(x + \ker f) = f(x)$$

Remark:

- $f : M \rightarrow N$   $R$ -linear,  $N' \leq N \implies f^{-1}(N') \leq M$ . (Closed under  $\cdot$ :  $x \in f^{-1}(N')$ ,  $x \in R \implies r \cdot x \in f^{-1}(N')$ ,  $f(r \cdot x) = rf(x)$ )
- $\pi : M \twoheadrightarrow N$  surjective  $R$ -linear  $\exists$  1-1 correspondence

$$\{\text{Submodules of } N\} \leftrightarrow \{\text{Submodules of } M \text{ that contain } \ker \pi\}$$

- First Iso  $\implies N \cong M/\ker \pi$ . More generally, if  $M' \leq M$  and let  $\pi : M \rightarrow M/M'$  quotient map.

$\exists$  1-1 correspondence

$$\begin{aligned} \{\text{Submodules of } M/M'\} &\leftrightarrow \{\text{Submodules } \widetilde{M} \leq M, M' \leq \widetilde{M}\} \\ K \leq M/M' &\mapsto \pi^{-1}(K) \\ \pi(\widetilde{M}) = \widetilde{M}/M' &\leftarrow \widetilde{M} \end{aligned}$$

- $N_i \leq M, i \in I \implies \bigcap_{i \in I} N_i \leq M$

**Theorem 2.6.2 (Second Isomorphism Theorem)**

$N_1, N_2 \leq M$ . Then

$$N_1/(N_1 \cap N_2) \cong (N_1 + N_2)/N_2$$

isomorphism of  $R$ -modules.

(Recall  $H, K \leq G$  then  $H/(H \cap K) \cong HK/K$ )

**Proof**

$$\begin{array}{c} \xrightarrow{\quad f \quad} \\ N_1 \hookrightarrow N_1 + N_2 \longrightarrow N_1 + N_2/N_1 \end{array}$$

$f(x) = x + N_2$   $R$ -linear.

$$\ker f = \{x \in N_1 \mid x \in N_2\} = N_1 \cap N_2$$

First isom  $\implies N_1/N_1 \cap N_2 \cong N_1 + N_2/N_2$ . □

**Theorem 2.6.3 (Third Isomorphism Theorem)**

If  $M_3 \leq M_2 \leq M_1$  then

$$(M_1/M_3)/(M_2/M_3) \cong M_1/M_2$$

isomorphism of  $R$ -modules.

**Proof**

$f$  is  $R$ -linear:  $f(r \cdot (x + M_3)) = f(rx + M_3) = rx + M_2 = r(x + M_2)$

$\ker f = \{x + M_3 \mid x \in M_2\} = M_2/M_3$ .

First isom  $\implies$

$$(M_1/M_3)/(M_2/M_3) \cong M_1/M_2. \quad \square$$

## 2.7 Isomorphism Theorems For Rings

**Theorem 2.7.1 (First Isomorphism Theorem)**

$f : R \rightarrow S$  ring homomorphism  $\implies \ker f \leq R$ ,  $\text{Im}(f)$  is a subring of  $S$ . The map

$$\begin{aligned} \bar{f} : R/\ker f &\rightarrow \text{Im}(f) \\ \bar{f}(x + \ker f) &= f(x) \end{aligned}$$

is an isomorphism of rings.

**Proof**

$S$  is an  $R$ -module via  $r \cdot = f(r)s$ . Then  $f$  is  $R$ -linear  $\implies \ker f \leq R$ .

$\text{Im } f$  is a subring:  $f(x), f(y) \in \text{Im}(f) \implies f(x)f(y) = f(xy) \in \text{Im } f$

$\bar{f}$  is a hom of rings:  $\bar{f}((x + \ker f)(y + \ker f)) = \bar{f}(xy + \ker f) = f(xy) = f(x)f(y) = \bar{f}(x + \ker f)\overline{y + \ker f}$  □

**Theorem 2.7.2 (Second Isomorphism Theorem)**

$I \leq R, S \subseteq R$  subring  $\implies$

$$I + S = \{x + y \mid x \in I, y \in S\}$$

is a subring of  $R$ , and  $(I + S)/I \cong S/I \cap S$  isomorphism of ring.

**Proof**

$I + S$  closed under  $\cdot$ :  $x_1, x_2 \in I, y_1, y_2 \in S$ ,

$$(x_1 + y_1)(x_2 + y_2) = \underbrace{x_1(x_2 + y_2)}_{\in I} + \underbrace{x_2y_1}_{\in I} + \underbrace{y_1y_2}_{\in S} \in I + S$$

$I \subseteq I + S \subseteq R, I \cap S \subseteq S$ .

$$\begin{array}{ccc} & f & \\ S & \xrightarrow{\quad} & (I + S)/I \\ \text{ring hom.} & & \text{ring hom.} \end{array}$$

$f(x) = x + I$  ring hom, surjective.

$$\ker f = I \cap S \implies S/I \cap S \cong I + S/I$$

by first isom. In particular, if  $I, J \leq R$ , then  $I + J \leq R$  and  $I + J/I \cong J/I \cap J$  isom of rings. □

**Theorem 2.7.3 (Third Isomorphism Theorem)**

$I \leq J \leq R$ .  $(R/I)/(J/I) \cong R/J$  isomorphism of rings.

**Proof**

$R/I \xrightarrow{f} R/J, f(x + I) = (x + J)$ . Exercise:  $f$  surjective of rings,  $\ker f = J/I$ . □

## 2.8 Subrings of Fields as a Source of Rings

**Example 2.8.1**

- $\mathbb{Z} \subseteq \mathbb{Q}$
- $\mathbb{Z}[\frac{1}{p_1}, \dots, \frac{1}{p_k}] = \{\frac{x}{s} \mid s = \pm p_1^{l_1} \dots p_k^{l_k}, l_i \geq 0 \text{ integers}\} \subseteq \mathbb{Q}$  e.g.  $\mathbb{Z}[\frac{1}{2}], \mathbb{Z}[\frac{1}{3}]$
- $\mathbb{Z}_{(p)} = \{\frac{x}{s} \mid p \nmid s\} \subseteq \mathbb{Q}$  for prime  $p$ .

**Definition 2.8.2**

$R$  is an integral domain if it is a commutative ring with  $xy = 0 \implies x = 0$  or  $y = 0$  for any  $x, y \in R$ .

Field of Fractions  $\text{Frac}(R)$

$R$  an integral domain. Construct a field  $F(R)$  with  $R \hookrightarrow F(R)$ . (analogous fo  $\mathbb{Z} \subseteq \mathbb{Q}$ ).

$$\text{Frac}(R) := \{(r, s) \mid r \in R, s \in R \setminus \{0\}\} / \sim$$

where  $(r_1, s_1) \sim (r_2, s_2) \iff s_2 r_1 = s_1 r_2$ . Let  $\frac{r}{s} :=$  equivalence class of  $(r, s)$ .

$\sim$  is an equivalence relation:

$$\begin{aligned} (r_1, s_1) \sim (r_2, s_2) &\iff r_1 s_2 = r_2 s_1 \\ (r_2, s_2) \sim (r_3, s_3) &\iff r_2 s_3 = r_3 s_2 \implies r_3 s_1 = r_1 s_3. \end{aligned}$$

$r_1(r_2 s_3) = r_3 r_1 s_2 = r_2(r_3 s_1)$ . For  $r_2 \neq 0$ ,  $R$  integral domain so  $(r_1, s_1) \sim (r_3, s_3)$ . (Exercise: Let  $r_2 = 0$ ).

## 2.9 Ring of Fractions

Remark:  $K$  a field,  $R$  ring  $\subseteq K$ , then  $R$  is integral domain.

Given  $R$  an integral domain, construct a field  $\text{Frac}(R)$  with  $R \subseteq \text{Frac}(R)$  by analogy of construction of  $\mathbb{Q}$  by  $\mathbb{Z}$ .

$$\text{Frac}(R) = \{(t, s) \mid t \in R, s \in R \setminus \{0\}\} / \sim$$

where  $(t_1, s_1) \sim (t_2, s_2) \iff t_1 s_2 = t_2 s_1$ , class written as  $\frac{t}{s}$ .

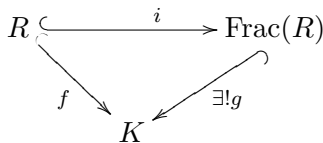
Addition and multiplication are defined as follows:

$$\begin{aligned} \frac{t_1}{s_1} + \frac{t_2}{s_2} &= \frac{t_1 s_2 + t_2 s_1}{s_1 s_2} \\ \frac{t_1}{s_1} \cdot \frac{t_2}{s_2} &= \frac{t_1 t_2}{s_1 s_2} \end{aligned}$$

Exercise: These operations are well-defined.

### Proposition 2.9.1

$\text{Frac}(R)$  has the universal property:  $\forall f : R \hookrightarrow K$  injective hom,  $K = \text{field}$ ,  $\exists! g : \text{Frac}(R) \hookrightarrow K$  injective such that  $f = g \circ i$



### Proof

Let  $g\left(\frac{t}{s}\right) = f(t)f(s)^{-1}$ ,  $s \neq 0$ ,  $f(s) \neq 0$ .

$g$  is unique such that  $g \circ i = f$ .  $g\left(\frac{t}{1}\right) = f(t)$ .

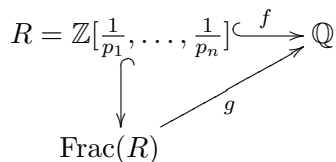
$$g\left(\frac{t}{s}\right) \cdot f(s) = g\left(\frac{t}{s}\right) \cdot g\left(\frac{s}{1}\right) = g\left(\frac{t}{1}\right) = f(t)$$

Exercise:  $g$  is well-defined and  $g$  is a ring hom.

Exercise  $K, L$  fields, any  $f : K \rightarrow L$  nonzero is injective. □

### Example 2.9.2

- $\text{Frac}\left(\mathbb{Z}\left[\frac{1}{p_1}, \dots, \frac{1}{p_n}\right]\right) = \mathbb{Q}$ , so  $g$  is an isomorphism.



Proposition  $\implies g$  is injective but  $g$  is surjective,  $g(\frac{a/p_1}{b/p_1}) = \frac{a}{b}$ .

- $R = k[x_1, \dots, x_n]$  for a field  $k$ .  
 $\text{Frac}(R) = k(x_1, \dots, x_n) = \{ \frac{P(x_1, \dots, x_n)}{Q(x_1, \dots, x_n)} \mid Q \neq 0 \}$ . - function field of dimension  $n$

## 2.10 Prime and Maximal Ideals

### Definition 2.10.1

An ideal  $I \leq R$ ,  $I \neq R$  is prime if  $xy \in I \implies x \in I$  or  $y \in I$ , maximal if  $I \subseteq J \subseteq R \implies I = J$  or  $J = R$ .

### Example 2.10.2

- $R = \mathbb{Z}$ ,  $n\mathbb{Z} = (n)$  is prime  $\iff n$  is prime or  $n = 0$ , maximal  $\iff n$  is prime.
- $(0)$  is prime in  $R \iff R$  is an integral domain
- $k = \text{field}$ ,  $R = k[x]$ ,  $f(x) \in k[x]$  irreducible  $\implies (f(x))$  is prime and maximal (proof later)  
 $I \leq k[x]$  is prime  $\iff I = (f(x))$ ,  $f = \text{irreducible}$  or  $I = (0)$ , maximal  $\iff I = (f(x))$ ,  $f$  irreducible.

### Theorem 2.10.3

$I \leq R$ ,  $I \neq R$ , then

- $I$  is prime  $\iff R/I$  is an integral domain
- $I$  is maximal  $\iff R/I$  is a field

In particular, maximal ideals are prime.

### Proof

- $I$  prime  $\iff xy \in I \implies x \in I$  or  $y \in I$   
 $\iff xy = 0$  in  $R/I \implies x = 0$  in  $R/I$  or  $y = 0$  in  $R/I$   
 $\iff R/I$  integral domain
- $I$  maximal  $\iff$  the only ideals of  $R/I$  are  $0$  and  $R/I$

Claim: A ring  $R$  has no non-trivial ideals  $\iff R$  is a field. □

### Corollary 2.10.4

$f : K \rightarrow L$  hom of fields,  $\ker = 0$  (injective) or  $K$  (zero map).

### Proof

Let  $x \in R$ ,  $x \neq 0$ .

$\langle x \rangle = \{rx \mid r \in R\} \neq 0$  principal ideal.

$\langle x \rangle = R \iff 1 \in \langle x \rangle \iff \exists y \in R$  such that  $yx = 1 \iff x$  has an inverse. □

### Definition 2.10.5

A poset (partially ordered set) is a set  $P$  with a relation  $<$  such that if  $a < b$ ,  $b < c$ , then  $a < c$ . (possibly not every pair  $a, b$  is comparable.) We write  $a \leq b$  for  $a < b$  or  $a = b$ .

$P = \text{poset}$ , a subset  $C \subset P$  is a chain if  $x \leq y$  or  $y \leq x \forall x, y \in C$ .

$C$  is an upper bound if  $\exists z \in P$  such that  $\forall x \in C, x \leq z$ .  
 $P$  has a maximal  $z$  if  $\nexists y \in P$  such that  $z < y$ .

**Lemma 2.10.6 (Zorn's Lemma)**

If  $P$  nonempty poset and every chain has an upper bound, then  $P$  has a maximal element.

Remark: Zorn's Lemma  $\iff$  Axiom of Choice.

**Theorem 2.10.7**

$R$  a ring with 1 then every proper ideal  $I$  of  $R$  is contained in a maximal ideal.

**Proof**

Let  $X = \{J \leq R \mid J \neq R, J \supseteq I\}$ .  $X$  poset with respect to inclusion.

If  $C$  is a chain in  $X$ , let  $I' = \bigcup_{J \in C} J$ .  $I'$  is an upper bound for  $C$ .  $I' \in X, I' \leq R$ : let  $x, y \in I' \implies x \in I_1, y \in I_2$  for some  $I_1, I_2 \in C$ .

$C$  chain  $\implies I_1 \subseteq I_2$  or  $I_2 \subseteq I_1$ .

Say  $I_1 \subseteq I_2$ :  $x \pm y \in I_2$ .

$I' \neq R$ :  $1 \in I' \implies 1 \in J$  for some  $J \in C$ . Contradiction.

$\implies X$  has a maximal element. □

**2.11 Integral Domains**

**Definition 2.11.1**

- $x|y$  if  $y = rx$  for some  $r \in R$  ( $\iff y \in (x)$ )
- $u \in R$  if a unit if  $\exists v \in R$  such that  $uv = 1$  ( $u^{-1} = v$ )
- $\pi \in R$  is irreducible if  $\pi \neq 0$  or unit and  $\pi = xy \implies x$  is a unit or  $y$  is a unit
- $\pi \in R$  is prime if  $\pi|xy \implies \pi|x$  or  $\pi|y$
- $x$  and  $y$  are associates if  $x|y$  and  $y|x \iff (x) = (y) \iff x = uy$  for some unit  $u$

**Example 2.11.2**

- $\pi$  prime  $\implies \pi$  irreducible:  
 $\pi = xy \implies \pi|xy \implies \pi|x$  or  $\pi|y$ . Say  $\pi|x$ .  
Then  $x = \pi x', x' \in R \implies \pi = \pi x'y \implies x'y = 1 \implies y$  a unit
- In  $\mathbb{Z}$ , the units are  $\pm 1$ , primes = irreducibles =  $\{\pm 2, \pm 3, \pm 5, \dots\}$ .  $n$  is associated to  $-n$ .
- $k = \text{field}, R = k[x]$ , primes = irreducibles =  $\{f(x) \mid f \text{ irreducible}\}$
- $\mathbb{Z}[\sqrt{-5}] = \{a + b\sqrt{-5} \mid a, b \in \mathbb{Z}\} \subseteq \mathbb{C}$ .  
 $N(a + b\sqrt{-5}) = a^2 + 5b^2 = z \cdot \bar{z}$   
 $N(\alpha\beta) = N(\alpha)N(\beta)$ . Units =  $\{\pm 1\}$   
2,3 are irreducible, but not prime  
 $2 = \alpha\beta$  say, then:

$$4 = N(2) = N(\alpha)N(\beta) \implies N(\alpha), N(\beta) = 1, 2, 4$$

$$N(\alpha) \neq 2 \quad \forall \alpha$$

$\implies N(\alpha) = 1$  or  $N(\beta) = 1 \implies \alpha$  is a unit or  $\beta$  is a unit.

$$2|(1 + \sqrt{-5})(1 - \sqrt{-5}) = 6$$

but  $2 \nmid 1 \pm \sqrt{-5}$ , if  $(1 \pm \sqrt{-5}) = 2(a + b\sqrt{-5})$   
 $\implies 4 = N(2)|N(1 \pm \sqrt{-5}) = 6$ . Contradiction.

- $(R, \mathfrak{m})$  local ring if  $R$  has unique maximal ideal  $\mathfrak{m}$ .  $\forall u \in R \setminus \mathfrak{m}$  is a unit.  
 If  $(u) \neq R$  then by theorem,  $(u) \subseteq \mathfrak{m}$ . Contradiction.  
 If  $(u) = R$ , then  $u$  is a unit.
- 

$$\begin{aligned} \pi \text{ prime} &\iff (\pi) \text{ is a prime} \\ &\iff \pi|xy \implies \pi|x \text{ or } \pi|y \\ &\iff xy \in (\pi) \implies x \in (\pi) \text{ or } y \in (\pi) \\ &\iff (\pi) \text{ is a prime ideal} \end{aligned}$$

## 2.12 Principal Ideal Domains

### Definition 2.12.1

A domain  $R$  is a PID if every ideal is of the form  $(x)$  for some  $x \in R$ .

Remark:  $(x) = (y) \iff x, y$  associates.

E.g.  $\mathbb{Z}, k[x], \mathbb{Z}[i]$ .

Non-e.g.  $(2, x) \subset \mathbb{Z}[x], (x, y) \subset k[x, y], (2, 1 + \sqrt{-5}) \subset \mathbb{Z}[\sqrt{-5}]$ .

### Definition 2.12.2

If  $a, b \in R$  (ID),  $d$  is a GCD of  $(a, b)$  if  $d|a, d|b$ , and if  $d'|a$  and  $d'|b$ , then  $d'|d$ .

If  $d$  and  $d'$  are both GCDs of  $a$  and  $b$ ,  $d'|d$  and  $d|d' \implies d, d'$  are associates.

$$(d = vd', d' = ud \implies \cancel{d} = uv\cancel{d} \implies uv = 1)$$

So  $(d') = (d)$  and any  $d''$  with  $(d'') = (d)$  is a GCD of  $a, b$ .

So the ideal is unique: think of  $(d)$  as the GCD of  $a, b$ .

### Proposition 2.12.3

- GCDs exist in PID
- If  $d$  is a GCD of  $a, b$ , then  $\exists x, y \in R$  such that  $ax + by = d$ .

### Proof

Consider  $I = (a, b)$ . Since  $R$  is a PID,  $I$  is principal, say  $I = (d)$ .

Claim:  $d$  is a GCD of  $a, b$

$$a \in (d) \implies d|a, b \in (d) \implies d|b. d \in (a, b) = \{ax + by \mid x, y \in R\}, d = ax + by.$$

So if  $d'|a, b \implies d'|ax + by = d$ . So  $d$  is a GCD.

Any other GCD  $d'$  is  $d' = du, u$  a unit.

$$\implies d' = du = (ax + by)u = axu + byu. \text{ So it holds for } d' \text{ as well.}$$

□

### Proposition 2.12.4

In a PID,  $\pi$  irreducible  $\iff \pi$  prime.

**Proof**

( $\Rightarrow$ ) Suppose  $\pi$  is irreducible and  $\pi \mid ab$ . Suppose  $\pi \nmid b$ , we want to show  $\pi \mid a$ . Consider  $\text{GCD}(\pi, b)$ . Divisors of  $\pi$  are units or  $(\text{unit}) \cdot \pi$  - does not divide  $b$ .

So 1 is a GCD of  $\pi, b$ . Then  $1 = \pi x + by \in R$ .

$$\Rightarrow a = \underbrace{\pi ax}_{\text{divisible by } \pi} + \underbrace{aby}_{\text{divisible by } \pi} \Rightarrow \pi \mid a.$$

( $\Leftarrow$ ) Trivial, holds in any ring. □

### 2.13 Euclidean Domains

**Definition 2.13.1**

Euclidean domains are a special class of PIDs. Domain  $R$  is Euclidean if  $\exists N : R \setminus \{0\} \rightarrow \mathbb{Z}_{\geq 0}$  such that  $\forall f, g \in R, g \neq 0, \exists q, r \in R$  such that

- $f = qg + r$
- $r = 0$  or  $N(r) < N(g)$

**Example 2.13.2**

- $R = \mathbb{Z}$  with  $N(x) = |x|$
- $k[x], N(f) = \text{deg}(f)$
- $\mathbb{Z}[i], N(x + iy) = x^2 + y^2$

**Theorem 2.13.3**

Every Euclidean domain is a PID.

**Proof**

Suppose  $R$  Euclidean,  $R \supset I$  ideal,  $I \neq (0)$ .

$$\emptyset \neq \{N(x) \mid x \in I \setminus \{0\}\} \subseteq \mathbb{Z}_{\geq 0}$$

So  $\exists d \in I$  such that  $N(d) \leq N(x), \forall x \in I \setminus \{0\}$ .

If  $x \in I$  then  $\exists q, r \in R$  such that

- $x = qd + r$
- $r = 0$  or  $N(r) < N(d)$

$d \in I, x \in I \Rightarrow r = x - qd \in I$ . So if  $r \neq 0, N(r) \geq N(d)$

$$\begin{aligned} &\Rightarrow r = 0 \\ &\Rightarrow d \mid x \\ &\Rightarrow I \subset (d), I \supset (d) \\ &\Rightarrow I = (d) \\ &\Rightarrow R \text{ is a PID} \end{aligned}$$

□

**Corollary 2.13.4**

(i)  $\mathbb{Z}, k[x], \mathbb{Z}[i]$  are PIDs

(ii)  $\mathbb{Z}[x], k[x], \mathbb{Z}[i]$  are not Euclidean by considering the ideals  $(2, x), (x, y), (2, 1 + \sqrt{-5})$

### Corollary 2.13.5

In  $\mathbb{Z}$ , prime ideals are  $(0), (p)$  - primes integers  $p$ .

In  $k[x]$ , prime ideals are  $(0), (f(x))$ ,  $f$  irreducible non-constant.

### Example 2.13.6

In  $k[x, y]$ ,  $(x - a, y - b)$  is prime  $\forall a, b \in k$ .

### Proof

$(x - a, y - b)$  is kernel of  $k[x, y] \rightarrow k$  by  $x \mapsto a, y \mapsto b, f(x, y) \mapsto f(a, b)$ . □

### Example 2.13.7

In  $\mathbb{Z}[i]$ , the irreducibles are  $a + ib \mid a^2 + b^2 = p_1 \cdots p_r$ , so  $a + bi \mid p$  for some  $p \in \mathbb{Z}$ .

(i)  $\pm 1 \pm i$

(ii)  $p \equiv 3 \pmod{4} \implies \pm p, \pm pi$

(iii)  $p \equiv 1 \pmod{4} \implies p = a^2 + b^2 = (a + bi)(a - bi)$

$N(xy) = N(x)N(y)$ .

$N(p) = p^2, xy = p \implies N(x)N(y) = p^2, x, y \text{ non-units} \implies N(x) = N(y) = p$ .

### Example 2.13.8

$\mathbb{Z} \left[ \frac{1 + \sqrt{-19}}{2} \right]$  is a non-Euclidean PID.

See Dummit and Foote P282 for proof.

### Definition 2.13.9

$u \in R$  (non-unit) is a universal side divisor if  $\forall x \in R$ , either  $u \mid x$  or  $u \mid x + (\text{unit})$ .

### Lemma 2.13.10

$R$  Euclidean  $\implies R$  has a universal side divisor.

### Proof

$N$  norm on  $R$ . Take  $u \in R \setminus \{0\}, R^\times$  with smallest norm.

If  $x \in R$  write  $x = qu + r \implies$  either  $r = 0 \implies u \mid x$   
or  $N(r) < N(u) \implies r$  a unit  $\implies u \mid x - r$ . □

Sketch Set  $N \left( a + b \left( \frac{1 + \sqrt{-19}}{2} \right) \right) = a^2 + ab + 5b^2 = \left( a + b \left( \frac{1 + \sqrt{-19}}{2} \right) \right) \left( a + b \left( \frac{1 - \sqrt{-19}}{2} \right) \right)$

$\alpha \beta \bar{\alpha} \bar{\beta} = \alpha \bar{\alpha} \beta \bar{\beta}$ .

$N(\alpha\beta) = N(\alpha)N(\beta)$ .

Units are  $\pm 1$ , so  $u$  is a USD  $\implies u \mid x, x \pm 1 \forall x \in R$ .

$x = 2 \implies u \mid 1, 2, 3 \implies u = \pm 2$  or  $\pm 3$ .

$ab = 3 \implies N(ab) = N(a)N(b) = 9 \implies \underline{N(a), N(b) = 3}$

$x = \frac{1 + \sqrt{-19}}{2}, x, x \pm 1$  not divisible by 2 or 3.  $N(x) = 5, N(x \pm 1) = 7$ .

### Definition 2.13.11

$R$  is Noetherian if  $R$  has the ascending chain condition on ideals. I.e. for all ideal chains  $I_1 \subset I_2 \subset I_3 \subset \dots$

in  $R$ , the chain stabilises:  $\exists N \in \mathbb{Z}_{\geq 0}$  such that  $I_n = I_N \forall n \geq N$ .

This is a finiteness condition of  $R$ . e.g. fields,  $\mathbb{Z} \ (m) \subset (n) \iff n|m$ .  
 $R$  Noetherian  $\implies R/I$  Noetherian for any  $I$ .

**Proposition 2.13.12**

$R$  Noetherian  $\iff$  every ideal is f.g.

**Proof**

( $\Leftarrow$ ) Suppose  $I_1 \subset I_2 \subset \dots$  ascending chain. Let  $I = \bigcup_n I_n$ , so  $I$  is an ideal.  $I = (x_1, \dots, x_d)$ ,  $\exists m$  such that  $x_1, \dots, x_d \in I_m \implies I \subset I_m$  but obviously  $I_m \subset I$  so  $I = I_m$  (chain stabilises after  $m$ ).

( $\Rightarrow$ ) Suppose  $I$  not f.g. Choose  $x_1 \in I$ , then  $(x_1) \neq I$ , choose  $x_2 \in I \setminus (x_1)$ , then  $(x_1, x_2) \neq I \dots$

$$(x_1) \subsetneq (x_1, x_2) \subsetneq (x_1, x_2, x_3) \subsetneq \dots$$

$\implies R$  not noetherian. □

**Corollary 2.13.13**

All PIDs are noetherian.

Recall that every proper ideal in a ring  $R$  is contained in a maximal ideal. The proof required Zorn's Lemma. With Noetherian rings, we don't need to use Zorn's Lemma.

**2.14 Structure of Prime Ideals in  $R$**

**Definition 2.14.1**

$\text{Spec}(R) = \{\mathfrak{p} \mid \mathfrak{p} \text{ prime ideal in } R\}$ .

E.g.  $\text{Spec}(\mathbb{Z}) = \{(p) \mid p \text{ prime}\} \cup \{0\}$ ,  $\text{Spec}(k[x]) = \{(f(x)) \mid f \text{ irreducible}\} \cup \{0\}$

More generally, if  $R$  is a PID, then

$$\text{Spec}(R) = \{(\pi) \mid \pi \text{ prime}\} \cup \{0\} \quad (\iff \text{irreducible})$$

**Proof**

If  $\mathfrak{p} \neq 0$  prime in  $R$  a PID,  $\mathfrak{p} = (\pi)$ ,  $\pi \in R$ .  $(\pi)$  prime  $\iff \pi$  prime. □

$R = \mathbb{C}[X, Y]$ , 3 kinds of prime ideals,  $(0)$ ,  $(f(x, y))$  with  $f$  irreducible,  $(x - a, y - b)$ ,  $a, b \in \mathbb{C}$ . Let  $e_{a,b} : \mathbb{C}[X, Y] \rightarrow \mathbb{C}$ ,  $f(x, y) \mapsto f(a, b)$ , then  $\ker e_{a,b} = (x - a, x - b)$  and  $\mathbb{C}[X, Y]/(x - a, x - b) \cong \mathbb{C}$ .

Remark:  $(f(x, y)) \subseteq (x - a, x - b) \iff f(a, b) = 0$ .

**Definition 2.14.2**

If  $I \subseteq R$  is a set, we write  $V(I) = \{\mathfrak{p} \in \text{Spec}(R) \mid I \subseteq \mathfrak{p}\}$ . These form the closed sets of a topology on  $\text{Spec}(R)$ , which is call the Zariski topology.

Remark:  $I \subseteq J \implies V(J) \subseteq V(I)$ .

- (i)  $V(R) = \emptyset$ ,  $V((0)) = \text{Spec}(R)$
- (ii)  $\bigcap_{j \in J} V(I_j) = V(\sum_{j \in J} I_j)$  because  $\mathfrak{p} \in \bigcap V(I_j) \iff \mathfrak{p} \supseteq I_j \ \forall j \iff \mathfrak{p} \supseteq \sum I_j \iff \mathfrak{p} \in V(\sum I_j)$ . ( $\sum I_j$  is the smallest ideal containing all  $I_j$ )
- (iii)  $V(I_1) \cup V(I_2) = V(I_1 \cap I_2)$ .  
 Suppose  $\mathfrak{p} \in \bigcup_{j=1}^n V(I_j)$ , then  $\mathfrak{p} \supseteq V(I_j)$  for some  $j$  so  $\mathfrak{p} \supseteq \bigcap_{i=1}^n I_j \implies \bigcup_{j=1}^n V(I_j) \subseteq V(\bigcap_{i=1}^n I_j)$ .  
 Suppose  $\mathfrak{p} \supseteq \bigcap_{j=1}^n I_j \supseteq \prod I_j \implies \mathfrak{p} \supseteq I_j$  for some  $j$  by primality of  $\mathfrak{p}$ .

So we have a topology.

**Definition 2.14.3**

$\text{Rad}(I) = \sqrt{I} := \bigcap_{\mathfrak{p} \supseteq I} \mathfrak{p}$  is called the radical of  $I$ .

It can be shown that  $\sqrt{I} = \{x \in R \mid x^n \in I \text{ for some } n \in \mathbb{Z}_{\geq 0}\}$ . Remark:  $I \subseteq \sqrt{I}$ .

Remark:  $V(\sqrt{I}) = V(I)$ .

**Proof**

$I \subseteq \sqrt{I} \implies V(\sqrt{I}) \subseteq V(I)$ .

Let  $\mathfrak{p} \in V(I)$ ,  $\mathfrak{p} \supseteq I$ , then  $\mathfrak{p} \supseteq \sqrt{I} = \bigcap_{\mathfrak{q} \supseteq I} \mathfrak{q}$ . □

**Definition 2.14.4**

$I$  is a radical ideal if  $I = \sqrt{I}$ .

e.g.  $\mathfrak{p}$  prime  $\sqrt{\mathfrak{p}} = \mathfrak{p}$ .

Claim:  $\exists$  1-1 correspondence

$$\begin{aligned} \{\text{radical ideals in } R\} &\xleftarrow{1-1} \{\text{closed sets in } \text{Spec}(R)\} \\ I &\longmapsto V(I) \end{aligned}$$

$$V(I) \subseteq V(J) \iff \sqrt{J} \subseteq \sqrt{I}$$

$$V(I) = V(J) \iff \sqrt{I} = \sqrt{J}$$

**Theorem 2.14.5**

$\exists$  1-1 correspondence

$$\{\text{primes ideals in } R\} \xleftarrow{1-1} \{\text{irreducible closed sets in } \text{Spec } R\}$$

**Definition 2.14.6**

A topological space is Noetherian if all chains of closed sets  $C_1 \supseteq C_2 \supseteq C_3 \supseteq \dots$  stabilises.

Exercise:  $\mathbb{R}$  with its usual topology is not Noetherian.

**Lemma 2.14.7**

$R$  Noetherian ring  $\iff \text{Spec}(R)$  Noetherian topological space.

**Proof**

Let  $C_1 \supseteq C_2 \supseteq C_3 \supseteq \dots$  closed sets in  $\text{Spec}(R)$ .

$C_i = V(I_j) \implies V(I_1) \supseteq V(I_2) \dots \implies \sqrt{I_1} \subseteq \sqrt{I_2} \subseteq \sqrt{I_3} \subseteq \dots$

$R$  Noetherian  $\implies$  this stabilises. □

**Definition 2.14.8**

A closed set  $C$  of a topological space  $X$  is irreducible if  $C \neq C_1 \cup C_2$  for  $C_1, C_2 \subsetneq C$  proper closed. ( $\iff C \subseteq C_1 \cup C_2$ ,  $C_1, C_2$  closed subsets then  $C \subseteq C_1$  or  $C_2 \subseteq C_2$ ).

**Lemma 2.14.9**

$X$  Noetherian topological space. Then any closed set of  $X$  can be expressed as a finite union  $C_1 \cup C_2 \cup \dots \cup C_n$  of irreducible closed sets  $C_1, \dots, C_n$ . This is unique if  $C_i \not\subseteq C_j \forall i \neq j$ .

**Proof**

Let  $S = \{\text{Closed subsets of } X \text{ that cannot be expressed in this way}\}$ . Want to show that  $S = \emptyset$ . Assume  $Y_0 \in S$ , then  $Y_0$  is not irreducible.  $\implies Y_0 = C_1 \cup C_2$ ,  $C_1, C_2 \subsetneq Y_0$  closed.

Claim  $C_1 \in S$  or  $C_2 \in S$  (otherwise  $Y_0 \notin S$ ). Say  $C_1 \in S$ . Set  $Y_1 = C_1 \implies Y_0 \supsetneq Y_1$ ,  $Y_0, Y_1 \in S$ .

Repeat process  $\implies Y_0 \supsetneq Y_1 \supsetneq Y_2 \supsetneq \dots$  does not stabilise. Contradiction.

Uniqueness:

If  $C_1 \cup \dots \cup C_n = C'_1 \cup \dots \cup C'_m$  with  $C_i \not\subseteq C'_j \forall i \neq j$  and  $C'_i \not\subseteq C'_j \forall i \neq j$ , then  $C_i \subseteq C'_1 \cup \dots \cup C'_m \implies C_i \subseteq C'_j$  for some  $j$ .

Similarly  $C'_j \subseteq C_k$  for some  $k \implies C_j \subseteq C'_j \subseteq C_k \implies i = k \implies C_i = C'_j$ . □

**Definition 2.14.10**

If  $C = \underbrace{C_1 \cup \dots \cup C_n}_{\text{irred. closed}}$  such that  $C_i \not\subseteq C_j \forall i \neq j$ , then  $C_i$ 's are the irreducible components of  $C$ .

Remark: If  $C = \text{Spec}(R)$ , then irreducible componenets of  $\text{Spec}(R)$  are the maximal irreducible closed subsets of  $\text{Spec}(R)$ .

If  $Z \subset X$  maximal irreducible,  $Z \subseteq C_1 \cup \dots \cup C_n \implies Z \subseteq C_i \implies Z = C_i$ . (maximal)

**Proof of Prime ideals of  $R \xrightarrow{1-1}$  Irreducible closed sets in  $\text{Spec}(R)$** 

Sufficient to prove:

1.  $\mathfrak{p}$  prime  $\implies V(\mathfrak{p})$  irreducible
2.  $V(\sqrt{I}) = V(I)$  irreducible  $\implies \sqrt{I}$  prime

Proof of 1:

$$V(\mathfrak{p}) \subseteq V(I) \cup V(J).$$

Since  $\mathfrak{p} \in V(\mathfrak{p})$ ,  $\mathfrak{p} \in V(I)$  or  $\mathfrak{p} \in V(J) \implies \mathfrak{p} \supseteq I$  or  $\mathfrak{p} \supseteq J \implies V(\mathfrak{p}) \subseteq V(I)$  or  $V(\mathfrak{p}) \subseteq V(J)$ .

Proof of 2:

Assume  $V(I) = V(\sqrt{I})$  irreducible. Let  $xy \in \sqrt{I}$ .

Prove  $x \in \sqrt{I}$  or  $y \in \sqrt{I}$ .  $xy \in \mathfrak{p} \forall \mathfrak{p}$  prime,  $\mathfrak{p} \supseteq I$

$\implies x \in \mathfrak{p}$  or  $y \in \mathfrak{p}$

$\implies \mathfrak{p} \in V((x)) \cup V((y))$

$\implies V((x)) \subseteq V((y))$

by irreducibility of  $V(\sqrt{I}) \implies V(\sqrt{I}) \subseteq V((x))$  or  $V(\sqrt{I}) \subseteq V((y))$ .

Say  $V(\sqrt{I}) \subseteq V((x))$ , then  $\sqrt{(x)} = \sqrt{\sqrt{I}} = \sqrt{I}$ .

$x \in (x) \subseteq \sqrt{(x)} \implies x \in \sqrt{I}$ . □

**Theorem 2.14.11 Hilbert's Basis Theorem**

$R$  Noetherian  $\implies R[X]$  Noetherian.

**Example 2.14.12**

$R$  Noetherian  $\implies R[X_1, \dots, X_n]$  Noetherian, and all quotients  $R[X_1, \dots, X_n]/I$  Noetherian. Try  $R = \mathbb{Z}, k$ .

Remark: Algebraic Geometry studies  $\text{Spec}(R)$ ,  $R = k[X_1, \dots, X_n]/I$ .

Geometric Counterpart of  $V(I)$  is

$$Z(I) = \{(z_1, \dots, z_n) \in k^n \mid f_i(z_1, \dots, z_n) = 0 \quad \forall i = 1, \dots, n\}$$

This means for  $k = \mathbb{C}$ ,  $\exists$  1-1 correspondence:

$$V(I) \cap \{\mathfrak{p} \text{ maximal}\} \xrightarrow{1-1} Z(I)$$

## 2.15 Unique Factorisation Domains

### Definition 2.15.1

A domain  $R$  is a Unique Factorisation Domain (UFD) if any  $x \in R \setminus \{0\}$ ,  $x = u\pi_1 \cdots \pi_n$ ,  $u$  a unit,  $\pi_i$  irreducible. If  $x = v\pi'_1 \cdots \pi'_n$ , then  $n = m$ , and up to reordering,  $\pi_i, \pi'_j$  associates.

### Proposition 2.15.2

In a UFD, irreducible  $\iff$  prime.

### Proof

( $\Leftarrow$ ) Holds in any ring.

( $\Rightarrow$ ) Let  $\pi$  be irreducible and  $\pi | xy$

$\implies \exists$  factorisation of  $cy$  with  $\pi$  in it, since (factorisation of  $x$ )(factorisation of  $y$ ) = factorisation of  $xy$

$\implies \pi$  is associative to one element in the factorisation of  $x$  or factorisation of  $y \implies \pi | x$  or  $\pi | y$ .  $\square$

Remark: In a UFD, GCD's exists (unique up to units).  $\text{GCD}(f, g) =$  product of irreducibles common to  $f, g$ .

### Proposition 2.15.3

PID  $\implies$  UFD.

### Proof

Let  $R$  be a PID,  $x \in R \setminus \{0\}$ .

Existence (This proof only uses that PID's are Noetherian)

Assume  $x$  is not a unit. If  $x$  irreducible, then done. So assume  $x$  not irreducible then  $x = x_1 y_1$ ,  $x_1, y_1$  not units  $\implies (x) \subsetneq (x_1)$ .

If  $x_1$  not irreducible,  $x_1 = x_2 y_2$ ,  $x_2, y_2$  not units, then continue with  $x_2, x_3$  etc.

$\implies (x) \subsetneq (x_1) \subsetneq (x_2) \subsetneq \cdots$

This must stop by Noetherian property of  $R$ .

$\implies$  one  $x_i$  is irreducible. Set  $\pi_1 = x_i$ ,  $x = \pi_1 z_1$ ,  $z_1 \in R$ . If  $z_1$  is not a unit, then  $z_1 = \pi_1 z_2$ , continue with  $z_2$  etc, then  $(x) \subsetneq (z_1) \subsetneq \cdots$ . Assume this must stop, i.e. at some point  $\pi_i$  is a unit.  $x = u\pi_1 \cdots \pi_n$ .

Uniqueness:

Induction on number of irreducible appearing in some factorisation of  $x$ .

Assume  $x = u\pi_1 \cdots \pi_n = v\pi'_1 \cdots \pi'_m$ .

$\pi_n | \pi'_1 \cdots \pi'_m \implies (\pi_n \text{ prime in PID}) \pi_n | \pi'_i$  for some  $i$ . Say  $i = m$ ,  $\pi_n | \pi'_m \implies \pi_n, \pi'_m$  are associates,

$\pi_n = \omega \pi'_m$ ,  $\omega$  a unit  $\implies u\omega \pi_1 \cdots \pi_{n-1} = v\pi'_1 \cdots \pi'_{m-1}$ .

Continue by induction.  $n - 1 = m - 1$  and up to reordering,  $\pi_i = \pi'_i$  are associates.  $\square$

### Example 2.15.4

Euclidean Domains  $\subset$  PID  $\subset$  UFD. PID  $\subset$  Noetherian rings.

GCD's computed by Euclidean algorithms,  $\text{GCD}(x, y) = ax + by$ , GCDs exist.

$\mathbb{Z}, k[X], \mathbb{Z}[i]$

$\mathbb{Z}[\sqrt{-5}]$  is Noetherian since  $\mathbb{Z}[\sqrt{-5}] \cong \mathbb{Z}[X]/(x^2 + 5)$  but not UFD:  $6 = 2 \cdot 3 = (1 + \sqrt{-5})(1 - \sqrt{-5})$ .

**Theorem 2.15.5**

$R$  UFD  $\implies R[X]$  UFD. (e.g.  $\mathbb{Z}[X]$ ,  $k[X, Y]$  UFDs but not PIDs)

**Proposition 2.15.6**

In a PID:

- Nonzero prime ideals are maximal
- $I \neq 0$  then  $I$  is contained in finitely many maximal ideals

**Corollary 2.15.7**

$R$  a PID.  $V(I)$  are either  $\text{Spec}(R)$ ,  $\emptyset$ , or  $\{(\pi_1), \dots, (\pi_n)\}$ .

**Proof**

1. Let  $(x)$  be prime, i.e.  $x$  prime. If  $(x) \subseteq (y)$ , then  $y|x \implies$  (since  $x$  prime irreducible)  $y$  is either a unit or an associate to  $x \implies (y) = R$  or  $(y) = (x)$
2.  $I = (x) \subseteq (\pi) \implies \pi|x \implies \pi$  is an (associate of) an irreducible in fact of  $x$  and there are finitely many.  $\square$

**Proposition 2.15.8 Gauss's Lemma**

If  $R$  is a UFD and  $K = \text{Frac}(R)$ . If  $f(x) \in R[X] \subseteq K[X]$  and  $f(x) = g(x)h(x)$ ,  $g, h \in K[X]$ ,  $g, h$  non-constant, then  $f(x)$  factors  $f(x) = g'(x)h'(x)$ ,  $g', h' \in R[X]$   $g', h'$  non-constant.

Exercise: If  $f(x)$  factors in  $\mathbb{Q}[X]$  then actually it factors in  $\mathbb{Z}[X]$ . ( $f \in \mathbb{Z}[X]$ )

**Proof**

Coefficient of  $g, h$  are  $\frac{p}{q}, p, q \in R$ . Clear denominators to get  $df(x) = g'(x)h'(x)$ ,  $d \in R, g', h' \in R[X]$ .

Now write  $d = u\pi_1 \cdots \pi_n$  (irreducibles/primes)

If  $n = 0$ , done.

Otherwise consider  $R[X]/(\pi_m) \cong R/(\pi_m)[X]$ . (exercise)

$R$  domain  $\iff R[X]$  domain.

$\pi_n$  prime  $\implies (R/(\pi_m))[X]$  domain.

In  $R[X]/(\pi_m)$ ,

$$0 = \overline{df(x)} = \overline{g'h'} \implies \overline{g'(x)} \text{ or } \overline{h'(x)}$$

$\implies \pi_m$  divides all coefficients  $g'$  or of  $h'$ . Factor  $\pi_m$  out and continue.  $\square$

**Corollary 2.15.9**

GCD of coefficients of  $f$  and  $g = 1 \iff$  GCD of coefficient of  $fg = 1$ .

**Proof**

( $\implies$ ) Follows from proof of Gauss's Lemma

( $\impliedby$ ) Clear.  $\square$

**Proposition 2.15.10**

If  $f \in R[X]$  non-constant, then  $f$  is irreducible in  $R[X]$   $\iff$   $f$  is irreducible in  $K[X]$  and GCD of coefficient of  $f$  is 1.

**Proof**

( $\implies$ ) If  $f$  is reducible in  $k[X]$  then  $f$  reducible in  $R[X]$  by Gauss lemma. Contradiction. If GCD of coefficient of  $f$  is not 1,  $f = \pi g(x)$ ,  $\pi$  irreducible in  $R \implies f$  not irreducible in  $R[X]$ . Contradiction.

( $\Leftarrow$ ) Assume  $f$  reducible in  $R[X]$ . Then  $f = gh$  non-constant,  $gh \in R[X]$  or  $f(x) = \pi g(x)$ .  $\pi$  irreducible in  $R$ ,  $g \in R[X]$ . Contradiction.  $\square$

**Theorem 2.15.11**

$R$  UFD  $\iff R[X]$  UFD.

**Proof**

( $\Leftarrow$ ) Clear.

( $\Rightarrow$ ) Let  $K = \text{Frac}(R)$ .

Existence:

Let  $f(x) \in R[X] \subseteq K[X]$ , then  $f = P_1 \cdots P_k$  in  $K[X]$ ,  $P_i$  irreducible polynomials in  $K[X]$ .

By proof of Gauss Lemma,  $f = P'_1 \cdots P'_k$  in  $R[X]$  with  $P'_i = d_i P_i$ ,  $d_i \in R$ .

May write  $P'_i = d_i P_i$  GCD of coefficients of  $P_i = 1$  (new  $P_i$ ). Then  $P_i$  irreducible in  $R[X]$  by proposition before.

$$\implies f = \underbrace{(d_1 \cdots d_k)}_{\text{in } R} P_1 \cdots P_k.$$

Uniqueness:

If  $f = dP_1 \cdots P_k = eQ_1 \cdots Q_l$ ,  $d, e \in R$ ,  $P_i, Q_i \in R[X]$  irreducible, then  $\text{GCD}(\text{coeff. } f) = d = e$  so  $d = e$  up to units.

$\implies P_1 \cdots P_k = uQ_1 \cdots Q_l$  in  $R[X] \subseteq K[X] \implies k = l$ . After possible reordering,  $P_i, Q_i$  associates in  $K[X]$ .  $Q = \frac{a}{b}P_i \implies bQ_i = aP_i$ .  $\text{GCD}(\text{coeff. } bQ_i) = b = a$  up to units.  $\square$