

Security,  
Runtimes, and  
a  
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Review of  
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Arithmetic

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Taylor

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# Security, Runtimes, and a computational Review of Modular Arithmetic

FA 2018 Cryptography Seminar

J. David Taylor

September 10, 2018

# The Problem

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Alice wants to send an encrypted message to Bob so that Carol cannot read the message, if she intercepts it and knows what type of encryption is being used.

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Alice wants to send an encrypted message to Bob so that Carol cannot read the message, if she intercepts it and knows what type of encryption is being used.

## Definition

A Cipher is a tuple  $(K, M, C, enc, dec)$  where

- $K, M, C$  are *finite* sets, and for every  $k \in K$
- $enc_k : M \rightarrow C$ ,
- $dec_k : C \rightarrow M$ , and
- $dec_k \circ enc_k = id_M$ .

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Problem: How do we relate the ABC situation with the notion of a cipher?

# What is Cryptographic Security?

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Some possibilities:

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Some possibilities:

- “It should be impossible for the Carol to recover the key.”

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Some possibilities:

- “It should be impossible for the Carol to recover the key.”
- What about a cipher with  $M \subseteq C$  and  $enc_k = id_M$  for all  $k \in K$ ?

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Some possibilities:

- “It should be impossible for the Carol to recover the key.”
- What about a cipher with  $M \subseteq C$  and  $enc_k = id_M$  for all  $k \in K$ ?
- Clearly, the ciphertext yields no information on the key, yet Carol can read the message.

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Some possibilities:

- “It should be impossible for Carol to recover the entire plaintext from the ciphertext”

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Some possibilities:

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- What about a cipher that performs a Caesar cipher, but only on the first character?

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Some possibilities:

- “It should be impossible for Carol to recover any character of the plaintext from the ciphertext”

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Some possibilities:

- “It should be impossible for Carol to recover any character of the plaintext from the ciphertext”
- What if Alice is sending Bob accounting information and Carol can discern whether a specific account is above \$100,000 without knowing the value?

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## Definition

A secure encryption scheme is one which has the following property:

“Regardless of any information Carol already has, a ciphertext should leak no additional information about the underlying plaintext.”

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## Definition (Shannon)

A cipher is *perfectly secret* if for every probability distribution over  $M$ , every plaintext  $m \in M$ , and every ciphertext  $c \in C$  for which  $P(X_C = c) > 0$ ,

$$P(X_M = m | X_C = c) = P(X_m = m).$$

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Are any ciphers perfectly secure?

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Are any ciphers perfectly secure? Yes!

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- Fix a positive integer  $l > 0$ .

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- $M = K = C = 2^l$ , the set of binary sequences of length  $l$ .

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- $enc_k(m) = m \oplus k$ .

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# One-Time Pad: Example (a very short message)

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- $l = 8$  (Alice and Bob will trade a letter in ASCII)

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- $l = 8$  (Alice and Bob will trade a letter in ASCII)
- $k = 11010011$  (random)
- Alice encodes the letter 'E' using ASCII in binary as 'm=01000101'

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- $dec_k(10010110) = 01000101$ , which is the 'E' that Bob receives.

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- $enc_k(m) = 10010110 = c$ , which is a non-printable Unicode character. This is what Carol receives.
- $dec_k(10010110) = 01000101$ , which is the 'E' that Bob receives.
- Aside: a common means of encoding text as numbers is ASCII, or its Unicode extensions.

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- Requires truly random key

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- Requires truly random key
- Secure generation and exchange of the key

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- Requires truly random key
- Secure generation and exchange of the key
- Preserved security of the key

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- Requires truly random key
- Secure generation and exchange of the key
- Preserved security of the key
- Can only be used once

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- Requires truly random key
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- Can only be used once
- It's a theorem that perfect secrecy  $\Rightarrow |K| \geq |M|$

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- Requires truly random key
- Secure generation and exchange of the key
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- Can only be used once
- It's a theorem that perfect secrecy  $\Rightarrow |K| \geq |M|$
- These features hold in general for perfect secrecy.

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- Theoretically optimal

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- Theoretically optimal
- One of the most practical forms of encryption when at least one party must do everything by hand

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- Theoretically optimal
- One of the most practical forms of encryption when at least one party must do everything by hand
- When two parties meet securely and then depart

# One-Time Pad: Historical Uses

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- KGB spies used one-time pads during the Cold War

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- British Special Operations Executive used one-time pads during WWII to send messages between offices

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- The hotline between D.C. and Moscow established after the Cuban missile crisis used a one-time pad system with the keys stored on tapes.

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- U.S. Army Special Forces used one-time pads via Morse code in Vietnam.

# Big-O Notation

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## Definition

Let  $f, g : \mathbb{Z}_+ \rightarrow \mathbb{R}$ . We say that “ $f = O(g)$ ” if for all  $N > 0$  there is  $C > 0$  such that

$$n \geq N \Rightarrow f(n) \leq C \cdot g(n).$$

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Examples:

- $n^5 - 900n^4 + 3 = O(n^5)$

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Examples:

- $n^5 - 900n^4 + 3 = O(n^5)$
- the number of digits of  $n = O(\log n)$  (in any base)
- any bounded function is  $= O(1)$ .

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- The runtime of an algorithm is the number of elementary operations required to run the algorithm, where elementary operations are those that take a fixed amount of time.

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- The runtime of an algorithm is the number of elementary operations required to run the algorithm, where elementary operations are those that take a fixed amount of time.
- The one-time pad encryption and decryption algorithms each have a runtime  $O(l)$ , where  $l$  is the length of the sequences.

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- Multiplying a  $k$ -digit number and an  $l$ -digit number with the schoolbook algorithm has runtime of  $O(l \cdot k)$ .

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- Half a kilobyte =  $8^{500} \approx 10^{451}$ .

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We will assume that we are doing arithmetic with large integers (e.g. on the order of  $10^{100}$  to  $10^{500}$ ).

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We will assume that we are doing arithmetic with large integers (e.g. on the order of  $10^{100}$  to  $10^{500}$ ). This will affect runtime calculations.

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- We say that an algorithm is *efficient* if its runtime is  $O(n^k)$  for some  $k \geq 0$ . (where  $n$  is the size of input to the algorithm)

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## Definition

A cipher is *computationally secure* if any probabilistic polynomial time (PPT) algorithm succeeds in breaking the cipher with negligible probability.

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## Definition

A cipher is *computationally secure* if any probabilistic polynomial time (PPT) algorithm succeeds in breaking the cipher with negligible probability.

Note: This is an asymptotic condition.

# Computational vs Perfect Secrecy

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- Computational Secrecy is only a guarantee against PPT algorithms.

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- Computational Secrecy is only a guarantee against PPT algorithms. Carol could carry out a brute-force attack trying all keys. This has a runtime of  $O(|K|)$ .

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- Computational Secrecy is only a guarantee against PPT algorithms. Carol could carry out a brute-force attack trying all keys. This has a runtime of  $O(|K|)$ .
- Computational Secrecy allows a small probability of success by Carol.

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- Computational Secrecy is only a guarantee against PPT algorithms. Carol could carry out a brute-force attack trying all keys. This has a runtime of  $O(|K|)$ .
- Computational Secrecy allows a small probability of success by Carol. If Carol had a collection of pairs  $(m_1, c_1), \dots, (m_i, c_i)$ , she could guess  $k \in K$  (uniformly) and check if it works. This has a runtime of  $O(1)$  and probability  $1/|K|$  of success.

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- For every pair of integers  $a, b$  there are *unique* integers  $q, r$  such that

$$a = qb + r \text{ and } 0 \leq r < |b|.$$

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- For every pair of integers  $a, b$  there are *unique* integers  $q, r$  such that

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- Using Newton's Method,  $q, r$  can be computed with runtime  $O(\log(a) \times \log(b))$ .

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- At most 4 iterations are required for double precision (53 bit integer) floating point numbers.

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- See Wikipedia: "Division algorithm"

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- Given integers  $a, b$ ,  $\gcd(a, b)$  can be computed as follows:

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- Given integers  $a, b$ ,  $\gcd(a, b)$  can be computed as follows:
- $r_0 := a, r_1 := b$ ,

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- Given integers  $a, b$ ,  $\gcd(a, b)$  can be computed as follows:
- $r_0 := a, r_1 := b, s_0 := 1, s_1 := 0, t_0 := 0, t_1 = 1,$

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- Given integers  $a, b$ ,  $\gcd(a, b)$  can be computed as follows:
- $r_0 := a, r_1 := b, s_0 := 1, s_1 := 0, t_0 := 0, t_1 = 1,$
- Given sequences  $r, s, t$  up to index  $i$ , compute

$$r_{i-1} = q_i r_i + r_{i+1}$$

$$s_{i-1} = q_i s_i + s_{i+1}$$

$$t_{i-1} = q_i t_i + t_{i+1}$$

using the division algorithm in the first line, and multiplication/subtraction on the following two lines (since  $q_i$  is known).

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- Stop when  $r_{k+1} = 0$ .

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- Stop when  $r_{k+1} = 0$ .
- $\gcd(a, b) = r_k$ .
- (Bezout's Identity)

$$\gcd(a, b) = a \cdot s_k + b \cdot t_k.$$

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- $\gcd(a, b) = r_k$ .
- (Bezout's Identity)

$$\gcd(a, b) = a \cdot s_k + b \cdot t_k.$$

- The total runtime is at most  $O(\min(\log |a|, \log |b|)^2)$ .

# Extended Euclidean Algorithm: Example

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- Compute  $\text{gcd}(273, 186)$

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- Compute  $\gcd(273, 186)$
- $273 = 1 \cdot 186 + 87$ , so  $r_2 = 87$  and  $q_1 = 1$

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- $186 = 2 \cdot 87 + 12$ , so  $r_3 = 12$  and  $q_2 = 2$

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 $t_3 = t_1 - 2t_2 = 3$
- $87 = 7 \cdot 12 + 3$ , so  $r_4 = 3$  and  $q_3 = 7$

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 $s_4 = s_2 - 7s_3 = 15$   
 $t_4 = t_2 - 7t_3 = -22$
- $12 = 4 \cdot 3 + 0$ , so  $r_5 = 0$ ,

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 $s_4 = s_2 - 7s_3 = 15$   
 $t_4 = t_2 - 7t_3 = -22$
- $12 = 4 \cdot 3 + 0$ , so  $r_5 = 0$ , and the gcd is

$$3 = 273 \cdot (15) + 186 \cdot (-22)$$

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- Let  $a, a', m \in \mathbb{Z}$  with  $m > 0$ .

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- Let  $a, a', m \in \mathbb{Z}$  with  $m > 0$ . We say that  $a \equiv a' \pmod{m}$ , if  $m \mid (a - a')$ .

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- For each integer  $m$ ,  $\equiv$  is an equivalence relation on  $\mathbb{Z}$ .

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- We let  $\mathbb{Z}/m\mathbb{Z}$  be the set of equivalence classes.

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- For each integer  $m$ ,  $\equiv$  is an equivalence relation on  $\mathbb{Z}$ .
- We let  $\mathbb{Z}/m\mathbb{Z}$  be the set of equivalence classes. It is a ring under addition and multiplication of representatives.

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- The operations  $+$ ,  $-$  can be calculated in  $O(\log(m))$  operations.

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- For each integer  $m$ ,  $\equiv$  is an equivalence relation on  $\mathbb{Z}$ .
- We let  $\mathbb{Z}/m\mathbb{Z}$  be the set of equivalence classes. It is a ring under addition and multiplication of representatives.
- The operations  $+$ ,  $-$  can be calculated in  $O(\log(m))$  operations.
- The operation  $\times$  can be calculated in  $O(\log(m)^2)$  operations.

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- An integer  $u$  is a unit in  $\mathbb{Z}/m\mathbb{Z}$  iff  $\gcd(u, m) = 1$ .

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- An integer  $u$  is a unit in  $\mathbb{Z}/m\mathbb{Z}$  iff  $\gcd(u, m) = 1$ .
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- An integer  $u$  is a unit in  $\mathbb{Z}/m\mathbb{Z}$  iff  $\gcd(u, m) = 1$ .
- This can be checked by the Euclidean algorithm in  $O(\log(m)^2)$  operations.
- Furthermore, if  $\gcd(u, m) = u \cdot s_k + m \cdot t_k$ , then  $s_k = u^{-1}$  in  $\mathbb{Z}/m\mathbb{Z}$ .

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- An integer  $u$  is a unit in  $\mathbb{Z}/m\mathbb{Z}$  iff  $\gcd(u, m) = 1$ .
- This can be checked by the Euclidean algorithm in  $O(\log(m)^2)$  operations.
- Furthermore, if  $\gcd(u, m) = u \cdot s_k + m \cdot t_k$ , then  $s_k = u^{-1}$  in  $\mathbb{Z}/m\mathbb{Z}$ . So reciprocals can also be computed in  $O(\log(m)^2)$  time.

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- An integer  $u$  is a unit in  $\mathbb{Z}/m\mathbb{Z}$  iff  $\gcd(u, m) = 1$ .
- This can be checked by the Euclidean algorithm in  $O(\log(m)^2)$  operations.
- Furthermore, if  $\gcd(u, m) = u \cdot s_k + m \cdot t_k$ , then  $s_k = u^{-1}$  in  $\mathbb{Z}/m\mathbb{Z}$ . So reciprocals can also be computed in  $O(\log(m)^2)$  time.
- Let  $a, n \in \mathbb{Z}$  and  $n > 0$ . Then  $a^n \pmod m$  can be computed in  $O(n \log(m)^2)$  operations via repeated squaring or “Montgomery reduction”.

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- $1 = 273 \cdot (20) + 53 \cdot (-103)$

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- $1 = 273 \cdot (20) + 53 \cdot (-103)$
- Therefore,  $-103 \equiv 170 \pmod{273}$  is the reciprocal of 53 mod 273.

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- $1 = 273 \cdot (20) + 53 \cdot (-103)$
- Therefore,  $-103 \equiv 170 \pmod{273}$  is the reciprocal of  $53 \pmod{273}$ .
- Let's compute  $53^{10} \pmod{273}$ .

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- $1 = 273 \cdot (20) + 53 \cdot (-103)$
- Therefore,  $-103 \equiv 170 \pmod{273}$  is the reciprocal of  $53 \pmod{273}$ .
- Let's compute  $53^{10} \pmod{273}$ .
- $53^2 \equiv 79 \pmod{273}$

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- $1 = 273 \cdot (20) + 53 \cdot (-103)$
- Therefore,  $-103 \equiv 170 \pmod{273}$  is the reciprocal of  $53 \pmod{273}$ .
- Let's compute  $53^{10} \pmod{273}$ .
- $53^2 \equiv 79 \pmod{273}$
- $53^4 \equiv 79^2 \equiv 235 \pmod{273}$

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- $1 = 273 \cdot (20) + 53 \cdot (-103)$
- Therefore,  $-103 \equiv 170 \pmod{273}$  is the reciprocal of 53 mod 273.
- Let's compute  $53^{10} \pmod{273}$ .
- $53^2 \equiv 79 \pmod{273}$
- $53^4 \equiv 79^2 \equiv 235 \pmod{273}$
- $53^8 \equiv 235^2 \equiv 79 \pmod{273}$

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- $1 = 273 \cdot (20) + 53 \cdot (-103)$
- Therefore,  $-103 \equiv 170 \pmod{273}$  is the reciprocal of 53 mod 273.
- Let's compute  $53^{10} \pmod{273}$ .
- $53^2 \equiv 79 \pmod{273}$
- $53^4 \equiv 79^2 \equiv 235 \pmod{273}$
- $53^8 \equiv 235^2 \equiv 79 \pmod{273}$
- $53^{10} = 53^2 \cdot 53^8 \equiv 79 \cdot 79 \equiv 235 \pmod{273}$ .

# Modular Arithmetic: Totient

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- For every positive integer  $n$ , Euler's Totient function  $\phi(n)$  is defined as the number of positive integers less than  $n$  and coprime to it.

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- For every positive integer  $n$ , Euler's Totient function  $\phi(n)$  is defined as the number of positive integers less than  $n$  and coprime to it.
- $\phi(m) =$  the number of units in  $\mathbb{Z}/m\mathbb{Z}$ .

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- $\phi(m) =$  the number of units in  $\mathbb{Z}/m\mathbb{Z}$ .
- 

$$\phi(m) = m \cdot \prod_{p|m} \left(1 - \frac{1}{p}\right)$$

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- $\phi(m) =$  the number of units in  $\mathbb{Z}/m\mathbb{Z}$ .
- 

$$\phi(m) = m \cdot \prod_{p|m} \left(1 - \frac{1}{p}\right)$$

- (Euler's Theorem) If  $\gcd(a, m) = 1$ , then

$$a^{\phi(m)} \equiv 1 \pmod{m}$$

# Modular Arithmetic: Chinese Remainder Theorem

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- Suppose that  $m = \prod_{i=1}^s p_i^{e_i}$  is the prime factorization of  $m$  into distinct primes.

# Modular Arithmetic: Chinese Remainder Theorem

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- Suppose that  $m = \prod_{i=1}^s p_i^{e_i}$  is the prime factorization of  $m$  into distinct primes.
- The map  $\mathbb{Z}/m\mathbb{Z} \rightarrow \prod_{i=1}^s \mathbb{Z}/p_i^{e_i}\mathbb{Z}$  given by

$$m \mapsto (m \bmod p_i^{e_i})_i$$

is an isomorphism of rings.

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- The map  $\mathbb{Z}/m\mathbb{Z} \rightarrow \prod_{i=1}^s \mathbb{Z}/p_i^{e_i}\mathbb{Z}$  given by

$$m \mapsto (m \bmod p_i^{e_i})_i$$

is an isomorphism of rings.

- The inverse map is

$$(a_i)_i \mapsto \sum_{i=1}^s a_i \left( \frac{m}{p_i^{e_i}} \right) M_i,$$

where  $M_i$  is the reciprocal of  $\frac{m}{p_i^{e_i}} \bmod p_i^{e_i}$ .

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- Note that  $792 = 2^3 \cdot 3^2 \cdot 11$

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- Note that  $792 = 2^3 \cdot 3^2 \cdot 11$
- Let's solve  $5x \equiv 7 \pmod{792}$

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- Note that  $792 = 2^3 \cdot 3^2 \cdot 11$
- Let's solve  $5x \equiv 7 \pmod{792}$
- This is equivalent to solving the system

$$5x_2 \equiv 7 \pmod{8}$$

$$5x_3 \equiv 7 \pmod{9}$$

$$5x_{11} \equiv 7 \pmod{11}$$

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- $5^{-1} \equiv 5 \pmod{8}$

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- $5^{-1} \equiv 5 \pmod{8}$   
So  $x_2 = 7 \cdot 5 \equiv 3 \pmod{8}$ .

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- $5^{-1} \equiv 5 \pmod{8}$   
So  $x_2 = 7 \cdot 5 \equiv 3 \pmod{8}$ .
- $5^{-1} \equiv 2 \pmod{9}$

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- $5^{-1} \equiv 5 \pmod{8}$   
So  $x_2 = 7 \cdot 5 \equiv 3 \pmod{8}$ .
- $5^{-1} \equiv 2 \pmod{9}$   
So  $x_3 = 7 \cdot 2 \equiv 5 \pmod{9}$ .

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- $5^{-1} \equiv 5 \pmod{8}$   
So  $x_2 = 7 \cdot 5 \equiv 3 \pmod{8}$ .
- $5^{-1} \equiv 2 \pmod{9}$   
So  $x_3 = 7 \cdot 2 \equiv 5 \pmod{9}$ .
- $5^{-1} \equiv 9 \pmod{11}$

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- $5^{-1} \equiv 5 \pmod{8}$   
So  $x_2 = 7 \cdot 5 \equiv 3 \pmod{8}$ .
- $5^{-1} \equiv 2 \pmod{9}$   
So  $x_3 = 7 \cdot 2 \equiv 5 \pmod{9}$ .
- $5^{-1} \equiv 9 \pmod{11}$   
So  $x_{11} = 7 \cdot 9 \equiv 8 \pmod{11}$ .

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- $5^{-1} \equiv 5 \pmod{8}$   
So  $x_2 = 7 \cdot 5 \equiv 3 \pmod{8}$ .
- $5^{-1} \equiv 2 \pmod{9}$   
So  $x_3 = 7 \cdot 2 \equiv 5 \pmod{9}$ .
- $5^{-1} \equiv 9 \pmod{11}$   
So  $x_{11} = 7 \cdot 9 \equiv 8 \pmod{11}$ .
- Now we need to figure out which element of  $\mathbb{Z}/792\mathbb{Z}$  corresponds to  $(3 \pmod{8}, 5 \pmod{9}, 8 \pmod{11})$ .

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- Compute  $M_2 = 3$ ,  $M_3 = 4$ , and  $M_{11} = 2$ .

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- Compute  $M_2 = 3$ ,  $M_3 = 4$ , and  $M_{11} = 2$ .
- So ( mod 792)

$$\begin{aligned}x &\equiv 3 \cdot \left(\frac{792}{8}\right) \cdot M_2 + 5 \cdot \left(\frac{792}{9}\right) \cdot M_3 + 8 \cdot \left(\frac{792}{11}\right) \cdot M_{11} \\ &\equiv 3 \cdot 99 \cdot 3 + 5 \cdot 88 \cdot 4 + 8 \cdot 72 \cdot 2 \\ &\equiv 335 \pmod{792}\end{aligned}$$

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- Let  $p$  be a prime number and let  $e \in \mathbb{Z}$  be positive.

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- Let  $p$  be a prime number and let  $e \in \mathbb{Z}$  be positive.
- It is a theorem that  $(\mathbb{Z}/p^e\mathbb{Z})^\times$  is a cyclic group.

# Modular Arithmetic: Primitive Roots

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Modular  
Arithmetic

- Let  $p$  be a prime number and let  $e \in \mathbb{Z}$  be positive.
- It is a theorem that  $(\mathbb{Z}/p^e\mathbb{Z})^\times$  is a cyclic group.
- A generator of  $(\mathbb{Z}/p^e\mathbb{Z})^\times$  is called a primitive root mod  $p^e$ .

# Modular Arithmetic: Primitive Roots

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- It is a theorem that  $(\mathbb{Z}/p^e\mathbb{Z})^\times$  is a cyclic group.
- A generator of  $(\mathbb{Z}/p^e\mathbb{Z})^\times$  is called a primitive root mod  $p^e$ .
- Primitive roots are not easy to find in general.
- Corollary: Let  $g$  be a primitive root mod  $p^e$ . Then

$$\mathbb{Z}/\phi(p^e)\mathbb{Z} \rightarrow (\mathbb{Z}/p^e\mathbb{Z})^\times$$

given by

$$n \mapsto g^n$$

is an isomorphism of groups.

# Primitive Roots Example

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- $13^2 = 169$

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- $13^2 = 169$
- $\mathbb{Z}/169\mathbb{Z}$  is a cyclic group of order  $\phi(13^2) = 13^2(1 - 1/13) = 156$ .

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 $\phi(13^2) = 13^2(1 - 1/13) = 156$ .
- $156 = 4 \cdot 3 \cdot 13$

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- $156 = 4 \cdot 3 \cdot 13$
- $2^{4 \cdot 3} \equiv 128 \pmod{169}$

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- $156 = 4 \cdot 3 \cdot 13$
- $2^{4 \cdot 3} \equiv 128 \pmod{169}$   
 $2^{4 \cdot 13} \equiv 146 \pmod{169}$

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- $156 = 4 \cdot 3 \cdot 13$
- $2^{4 \cdot 3} \equiv 128 \pmod{169}$   
 $2^{4 \cdot 13} \equiv 146 \pmod{169}$   
 $2^{3 \cdot 13} \equiv 99 \pmod{169}$

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 $2^{4 \cdot 13} \equiv 146 \pmod{169}$   
 $2^{3 \cdot 13} \equiv 99 \pmod{169}$   
 $2^{156} \equiv 1 \pmod{169}$

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- $13^2 = 169$
- $\mathbb{Z}/169\mathbb{Z}$  is a cyclic group of order  $\phi(13^2) = 13^2(1 - 1/13) = 156$ .
- $156 = 4 \cdot 3 \cdot 13$
- $2^{4 \cdot 3} \equiv 128 \pmod{169}$   
 $2^{4 \cdot 13} \equiv 146 \pmod{169}$   
 $2^{3 \cdot 13} \equiv 99 \pmod{169}$   
 $2^{156} \equiv 1 \pmod{169}$
- So 2 is a primitive root mod 169 and

$$\mathbb{Z}/156\mathbb{Z} \rightarrow (\mathbb{Z}/169\mathbb{Z})^\times$$

given by  $n \mapsto 2^n \pmod{169}$ , is an isomorphism of groups.

That's all folks!

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Thank You