

SOLUTIONS TO HOMEWORK 10

1. Let  $L_1/L_2/k$  be a tower of algebraic extensions, with  $L_i/k$  normal. Prove that there is a natural surjection of groups  $\text{Aut}(L_1/k) \rightarrow \text{Aut}(L_2/k)$ , with kernel  $\text{Aut}(L_1/L_2)$ .

Using the given tower, we regard  $k$  and  $L_2$  as subfields of  $L_1$ , with  $k \subseteq L_2$ . For any  $\sigma \in \text{Aut}(L_1/k)$ , we have  $\sigma(L_2) = L_2$  by normality of  $L_2/k$ , so we get a map  $\text{Aut}(L_1/k) \rightarrow \text{Aut}(L_2/k)$  which is a map of groups. By an earlier handout on embeddings into a normal extension, for any  $k$ -automorphism  $\sigma_2$  of  $L_2$ , we can fill in the top row of the commutative diagram

$$\begin{array}{ccc} L_1 & \xrightarrow{\quad ? \quad} & L_1 \\ \uparrow & & \uparrow \\ L_2 & \xrightarrow[\sigma_2]{\cong} & L_2 \end{array}$$

where the columns are the given inclusion of  $L_2$  into  $L_1$ . Pick a choice of  $\sigma_1$  fitting into the top row. Since  $L_1$  is algebraic over  $k$ , such a self-map  $\sigma_1$  of  $L_1$  over  $k$  must be an automorphism. Thus, the map  $\text{Aut}(L_1/k) \rightarrow \text{Aut}(L_2/k)$  is surjective. By *definition*, the kernel is obviously  $\text{Aut}(L_1/L_2)$ .

2. Let  $L/k$  be a finite normal extension,  $G = \text{Aut}(L/k)$ . For each subgroup  $H$  in  $G$ , define

$$L^H = \{x \in L \mid h(x) = x \text{ for all } h \in H\}.$$

For each intermediate field  $k'$  between  $L$  and  $k$ , define  $G_{k'} = \{g \in G \mid g(x) = x \text{ for all } x \in k'\}$ .

(i) Show that  $L^H$  is an intermediate field between  $k$  and  $L$  and that  $G_{k'}$  is a subgroup of  $G$ .

(ii) Let  $k = \mathbf{Q}$  and let  $L = \mathbf{Q}(\alpha, \beta)$  with  $\alpha^2 = 2$ ,  $\beta^2 = 3$ . Show that  $G = \text{Aut}(L/k) \simeq \mathbf{Z}/2 \times \mathbf{Z}/2$ . Show that the maps in (i) do give a bijection between intermediate fields between  $L$  and  $k$  and subgroups of  $\text{Aut}(L/k)$ .

(i) Chase definitions.

(ii) Since  $[L : \mathbf{Q}(\alpha)] = 2$  (why?),  $L$  is a splitting field for  $X^2 - 3$  over  $\mathbf{Q}(\alpha)$ . Thus, there exists  $\sigma \in \text{Aut}(L/\mathbf{Q}(\alpha))$  with  $\sigma(\beta) = -\beta$ . Similarly, there exists  $\tau \in \text{Aut}(L/\mathbf{Q}(\beta))$  with  $\tau(\alpha) = -\alpha$ . Since an element of  $\text{Aut}(L/\mathbf{Q})$  is determined by its action of  $\alpha$  and  $\beta$ , we see that there are at most 4 such automorphisms. Check ‘by hand’ that  $1, \sigma, \tau, \sigma\tau = \tau\sigma$  are 4 distinct automorphisms. Thus,  $\text{Aut}(L/\mathbf{Q}) = \langle \sigma, \tau \rangle$ . Since  $\sigma^2 = \tau^2 = 1$ , we see that  $\text{Aut}(L/\mathbf{Q}) \simeq \mathbf{Z}/2 \times \mathbf{Z}/2$ .

Using the basis  $1, \alpha, \beta, \alpha\beta$  of  $L$  over  $\mathbf{Q}$  and our knowledge of all of the intermediate subgroups, it is easy to check  $H = G_{L^H}$  for each  $H$ . For an intermediate field  $k'$  strictly between  $\mathbf{Q}$  and  $L$ , we must have  $[L : k'] = 2$ , so  $G_{k'}$  is an index 2 subgroup. Since  $k' \subseteq L^{G_{k'}} \subsetneq L$ , we must have that  $k' = L^{G_{k'}}$ . Such subfields are exactly one of the three ‘obvious’ quadratic subfields  $\mathbf{Q}(\alpha), \mathbf{Q}(\beta), \mathbf{Q}(\alpha\beta)$ . Hence, it is not hard to check that  $L^{G_{k'}} = k'$  for each  $k'$ .

3. (i) Let  $k$  be a field,  $G$  a finite subgroup of  $k^\times$ . Show that  $G$  is cyclic

(ii) Prove that if  $k$  is a finite field with characteristic  $p$ , then  $k$  is a quotient of  $\mathbf{F}_p[X]$ . Conclude that for every positive integer  $d$ , there exists an irreducible polynomial of degree  $d$  in  $\mathbf{F}_p[X]$ .

(i) Let  $G$  have order  $d$ . By the structure theorem for finite abelian groups we see that if  $d' \mid d$  is the least common multiple of the orders of the factors in a cyclic decomposition of  $G$ , necessarily  $x^{d'} = 1$  for all  $x \in G$ . Hence,  $d' \geq d$ , so  $d' = d$ . But clearly  $d' = d$  if and only if  $G$  is cyclic.

(ii) Choosing a generator of the *finite* cyclic group  $k^\times$ , we get a surjection  $\mathbf{F}_p[X] \rightarrow k$  as  $\mathbf{F}_p$ -algebras. The monic generator of the (non-zero!) kernel must therefore be irreducible and has degree equal to  $[k : \mathbf{F}_p]$ . Since we have shown previously that there exists an extension of  $\mathbf{F}_p$  with any desired finite degree, we’re done.

4. Choose a positive integer  $N$ . A *primitive  $N$ th root of unity* over a field  $k$  is an element  $\zeta$  in an extension of  $k$  so that  $\zeta^N = 1$  and the multiplicative group generated by  $\zeta$  has order exactly  $N$ .

(i) If  $N$  is divisible by the characteristic of  $k$  (in particular,  $k$  must have positive characteristic), then show that no primitive  $N$ th root of unity exists over  $k$ .

(ii) If  $N$  is not divisible by the characteristic of  $k$  (always the case if  $k$  has characteristic 0), then prove that a primitive  $N$ th root of unity exists over  $k$ . In addition, show that an extension  $L/k$  contains a primitive  $N$ th root of unity over  $k$  if and only if it contains a splitting field for  $X^N - 1 \in k[X]$ . In this case, show that the number of primitive  $N$ th roots of unity over  $k$  in  $L$  is  $\varphi(N) = |(\mathbf{Z}/N)^\times|$ .

(i) If  $N = pN'$ , then  $x^N = 1$  if and only if  $x^{N'} = 1$ .

(ii) Since  $X^N - 1$  is separable over  $k$  by the derivative test, it has  $N$  distinct roots in a splitting field. This set of roots is cyclic by Exercise 4(i), so since it has size  $N$ , a generator of this group is the same thing as a primitive  $N$ th root of unity in the splitting field. We know from finite group theory that a cyclic group of order  $N$  has exactly  $\varphi(N)$  generators. The rest is clear.

5. Let  $L = \mathbf{F}_p(X, Y)$ ,  $k = \mathbf{F}_p(X^p, Y^p)$ .

(i) Show that  $L$  is the splitting field over  $k$  of  $(T^p - X^p)(T^p - Y^p) \in k[T]$ . Prove that  $[L : k] = p^2$ .

(ii) Show that  $L/k$  is *not* generated by a single element.

(iii) Exhibit an explicit list of *infinitely many* distinct intermediate fields between  $L$  and  $k$ !

(i) Certainly  $L$  is such a splitting field. Moreover, neither  $X^p$  nor  $Y^p$  lie in  $k^p = \mathbf{F}_p(X^{p^2}, Y^{p^2})$  (proof?), so  $[L : k] \leq [L : k(X)][k(X) : k] \leq p^2$ . Since  $[k(X) : k] = p$  and  $[k(Y) : k] = p$ , if  $[L : k] < p^2$  then the degree is  $p$  and so  $k(X) = k(Y)$ . But  $Y \notin k(X) = \mathbf{F}_p(X, Y^p)$  (proof?). Thus,  $[L : k] = p^2$ .

(ii) By (i),  $L/k$  is a finite normal extension. If  $L = k(a)$ , where  $a$  has minimal polynomial  $f \in k[T]$ , then  $f$  has degree  $p^2$ . But clearly for all  $r \in L$  we have  $r^p \in k$ , so  $a$  satisfies a degree  $p$  polynomial over  $k$ , a contradiction.

(iii) Let  $k_j = k(X + X^{p^j}Y)$  for all positive integers  $j$ . Note that  $r_j = X + X^{p^j}Y$  is a root of  $T^p - (X^p + X^{p^2j}Y^p) \in k[T]$ , with the constant term not a  $p$ th power in  $k$  (proof?), so  $[k_j : k] = p$ . If  $k_j = k_{j'}$  with  $j \neq j'$ , and say we let  $k'$  denote this field, then  $k'$  contains  $r_j - r_{j'} = ((X^p)^j - (X^p)^{j'})Y$ . Since  $(X^p)^j - (X^p)^{j'} \in k \subseteq k'$  is *non-zero*,  $k'$  contains  $Y$ . Then  $k'$  contains  $r_j - (X^p)^j Y = X$ , so  $k'$  must fill up all of  $L$ . But  $[k' : k] \neq [L : k]$ , a contradiction.

6. (**Extra Credit**) Let  $k$  be a field. Let  $I$  be the set of all non-constant monic polynomials in  $k[T]$ , and  $\mathfrak{m}$  a maximal ideal in  $R = k[X_f]_{f \in I}$  which contains  $f(X_f)$  for all  $f \in I$ . As in class (via Zorn),  $\mathfrak{m}$  exists and  $L = R/\mathfrak{m}$  is an extension *field* of  $k$  in which all non-constant  $f \in k[T]$  have a root.

(i) Let  $x_f \in L$  be the image of  $X_f \in R$ .  $L$  is the composite of the subfields  $k(x_f) = k[X_f]$ , with  $x_f$  a root of  $f \in k[T]$ . Since each  $k(x_f)$  is algebraic over  $k$  (as  $x_f$  is),  $L/k$  is algebraic.

(ii) Clearly  $k \subseteq k_0 \subseteq L$ . If  $k$  has positive characteristic  $p$  and  $x^{p^m} \in k$  and  $y^{p^n} \in k$ , then for  $r \geq m, n$ ,  $(x + y)^{p^r} = x^{p^r} + y^{p^r} \in k$ , so  $x + y \in k_0$ , etc. In this way, we see  $k_0$  is a field. If  $k$  has characteristic 0, then  $k_0 = k$  is perfect. If  $k$  has characteristic  $p > 0$ , then to show  $k_0$  is perfect, we need to show that  $k_0 = k_0^p$ . For  $x \in k_0$ , with  $a = x^{p^n} \in k$ , the polynomial  $T^{p^{n+1}} - a$  has a root  $y \in L$ . Since  $(y^p)^{p^n} = a = x^{p^n}$ ,  $y \in k_0$  and  $y^p = x$ .

Since  $L/k_0$  is an algebraic extension (by (i)), the perfectness of  $k_0$  forces the perfectness of  $L$ .

(iii) Let  $f = \sum a_j T^j \in k_0[T]$  be non-constant. Choose  $n$  so large that  $a_j^{p^n} \in k$  for all  $j$  (with  $p = 1$  when  $k$  has characteristic 0). Thus,  $g = \sum a_j^{p^n} T^j \in k[T]$  has a root  $y \in L$ . Since  $L$  is perfect,  $y = z^{p^n}$  for some  $z \in L$ . Thus,  $f(z)^{p^n} = g(y) = 0$ , so  $f(z) = 0$ .

(iv) Supposing  $k$  is perfect, we choose  $f \in k[T]$  non-constant and let  $k_f/k$  be splitting field of  $f$ . Since  $k_f/k$  is a finite *separable* extension, we may choose a primitive element  $a$ . Letting  $g \in k[T]$  be the minimal polynomial of  $a$  over  $k$ , we may choose a root  $r$  to  $g$  in  $L$  and so get a  $k$ -embedding  $k_f \simeq k[T]/g(T) \hookrightarrow L$  taking  $a$  to  $r$ . Since  $f$  splits completely over  $k_f$ , it splits completely over  $L$ .

Let  $f \in L[T]$  be an irreducible monic,  $L' = L[T]/f$  an extension generated by a root  $t = T \bmod f$ . Since  $L'/L$  and  $L/k$  are algebraic, so is  $L'/k$ , so  $t$  satisfies some minimal polynomial  $h$  over  $k$ . But  $h$  splits completely over  $L$ , so the root  $t$  of  $h$  lies in  $L$ . Hence,  $L = L'$  and so  $f$  has degree 1. Therefore  $L$  is algebraically closed.

(v) By (iii), we can apply the arguments in (iv) to the extension  $L/k_0$  to conclude that  $L$  is algebraically closed. By (i),  $L/k$  is an algebraic closure.